

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM321234

| | | | |
|---|------------------------------------|-----------------------|----------------------------|
| SUBMISSION TYPE: | NEW ASSIGNMENT | | |
| NATURE OF CONVEYANCE: | CHANGE OF NAME | | |
| CONVEYING PARTY DATA | | | |
| Name | Formerly | Execution Date | Entity Type |
| NYSE ALTERNEXT US LLC | | 03/18/2009 | LIMITED LIABILITY COMPANY: |
| RECEIVING PARTY DATA | | | |
| Name: | NYSE AMEX LLC | | |
| Street Address: | 86 Trinity Place | | |
| City: | New York | | |
| State/Country: | NEW YORK | | |
| Postal Code: | 10006 | | |
| Entity Type: | CORPORATION: DELAWARE | | |
| PROPERTY NUMBERS Total: 1 | | | |
| Property Type | Number | Word Mark | |
| Registration Number: | 1859977 | XMI | |
| CORRESPONDENCE DATA | | | |
| Fax Number: | 2156562498 | | |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | | | |
| Phone: | 215-656-3385 | | |
| Email: | pto.phil@dlapiper.com | | |
| Correspondent Name: | PAUL A. TAUFER, DLA PIPER LLP (US) | | |
| Address Line 1: | 1650 MARKET STREET, SUITE 4900 | | |
| Address Line 4: | PHILADELPHIA, PENNSYLVANIA 19103 | | |
| ATTORNEY DOCKET NUMBER: | ASX-14-1332 | | |
| NAME OF SUBMITTER: | Nancy Nunez | | |
| SIGNATURE: | /NN/ | | |
| DATE SIGNED: | 10/27/2014 | | |
| Total Attachments: 6 | | | |
| source=NYSE Alternext US LLC to NYSE AMEX LLC#page1.tif | | | |
| source=NYSE Alternext US LLC to NYSE AMEX LLC#page2.tif | | | |
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Delaware

PAGE 1

The First State

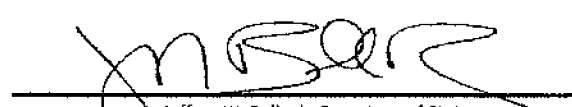
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "NYSE ALTERNEXT US LLC", CHANGING ITS NAME FROM "NYSE ALTERNEXT US LLC" TO "NYSE AMEX LLC", FILED IN THIS OFFICE ON THE EIGHTEENTH DAY OF MARCH, A.D. 2009, AT 2:13 O'CLOCK P.M.

4486791 8100

090279182

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 7194525

DATE: 03-18-09

TRADEMARK
REEL: 005388 FRAME: 0145

**CERTIFICATE OF AMENDMENT
TO CERTIFICATE OF FORMATION OF
NYSE ALTERNEXT US LLC**

Pursuant to the provisions of Section 18-202 of the Delaware Limited Liability Company Act, NYSE Alternext US LLC, a Delaware limited liability company which was previously named American Stock Exchange 2, LLC, hereby certifies that:

1. The name of the limited liability company is "NYSE Alternext US LLC."
2. At the time of filing of this Certificate of Amendment with the office of the Secretary of State of Delaware, the name of the limited liability company shall be changed to "NYSE Amex LLC."
3. Article 1 of the Certificate of Formation of the limited liability company is hereby amended to read in its entirety as follows:

"1. Name: The name of the limited liability company is NYSE Amex LLC."

IN WITNESS WHEREOF, NYSE Alternext US LLC has caused this Certificate of Amendment to be executed by an authorized person on this 18th day of March, 2009.

NYSE ALTERNEXT US LLC

By: /s/ Janet M. Kissane
Name: Janet M. Kissane
Title: Secretary/Authorized Person

FILING RECEIPT

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ENTITY NAME: NYSE AMEX LLC

DOCUMENT TYPE: AMENDMENT (FOR LLC)
NAME

COUNTY: NEWY

=====

FILED:07/13/2009 DURATION:***** CASH#:090713000693 FILM #:090713000608

FILER:

WACHTELL, LIPTON, ROSEN & KATZ
51 WEST 52ND STREET

NEW YORK, NY 10019

ADDRESS FOR PROCESS:

REGISTERED AGENT:

=====

SERVICE COMPANY: CONTINENTAL CORPORATE SERVICES, INC. - SERVICE CODE: 04

FEEs 120.00

FILING 60.00
TAX 0.00
CERT 0.00
COPIES 10.00
HANDLING 50.00

PAYMENTS 120.00

CASH 0.00
CHECK 0.00
CHARGE 0.00
DRAWDOWN 120.00
OPAL 0.00
REFUND 0.00

=====

DOS-1025 (04/2007)

TRADEMARK
REEL: 005388 FRAME: 0147

STATE OF NEW YORK

DEPARTMENT OF STATE

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.



WITNESS my hand and official seal of the Department of State, at the City of Albany, on July 14, 2009.

A handwritten signature in black ink, appearing to read "Daniel E. Shapiro".

Daniel E. Shapiro
First Deputy Secretary of State

090713000608

New York State
Department of State
Division of Corporations, State Records
and Uniform Commercial Code
Albany, NY 12231

CERTIFICATE OF AMENDMENT
OF
NYSE Alternext US LLC

Under Section 804 of the Limited Liability Company Law


FIRST: The name of the limited liability company as it appears on the index of names in the Department of State is: NYSE Alternext US LLC

SECOND: The jurisdiction of organization of the limited liability company is: Delaware.

THIRD: The date on which its application for authority to do business in this state was filed with the Department of State is: October 14, 2008.

FOURTH: Paragraph First of the Application for Authority is hereby amended to read as follows: "FIRST: The name of the limited liability company is NYSE Amex LLC." This name change was effected in the state of Delaware on March 18, 2009.

NYSE Alternext US LLC

By: 
Name: Janet Kissane
Title: Secretary/Authorized Person

WI1420335v2

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TRADEMARK
REEL: 005388 FRAME: 0149

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CERTIFICATE OF AMENDMENT
OF
NYSE Alternext US LLC

Under Section 804 of the Limited Liability Company Law

Filed by: Wachtell, Lipton, Rosen & Katz
(Name)

51 West 52nd Street
(Mailing address)

New York, New York 10019
(City, State and Zip code)

**DRAWDOWN
CONTINENTAL #04**

NOTE: This form was prepared by the New York State Department of State for filing a certificate of amendment for a foreign limited liability company. It does not contain all optional provisions under the law. You are not required to use this form. You may draft your own form or use forms available at legal supply stores. The Department of State recommends that legal documents be prepared under the guidance of an attorney. The certificate must be submitted with a \$60 filing fee made payable to the Department of State.

FILED
JUL 13 PM 2:28

(For office use only.)

cc
STATE OF NEW YORK
DEPARTMENT OF STATE

FILED JUL 13 2009

TAXS

BY: ES

2009 JUL 10 PM 3:07

RECEIVED

2009 JUL 13 PM 2:04

RECEIVED

693

TRADEMARK

REEL: 005388 FRAME: 0150

RECORDED: 10/27/2014

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