

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM322536

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	CHANGE OF NAME		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
NYSE ALTERNEXT US LLC		03/18/2009	LIMITED LIABILITY COMPANY: DELAWARE
RECEIVING PARTY DATA			
Name:	NYSE AMEX LLC		
Street Address:	86 TRINITY PLACE		
City:	NEW YORK		
State/Country:	NEW YORK		
Postal Code:	10006		
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE		
PROPERTY NUMBERS Total: 9			
Property Type	Number	Word Mark	
Registration Number:	3500067	FINISH LOW	
Registration Number:	1896457	INSTITUTIONAL INDEX	
Registration Number:	1896456	MAJOR MARKET INDEX	
Registration Number:	3496977	FINISH HIGH	
Registration Number:	2943016	INTELLIDEX	
Registration Number:	3328926	STRATAQUANT	
Registration Number:	3512588	DYNAMIC OTC INTELLIDEX	
Registration Number:	3512587	DYNAMIC MARKET INTELLIDEX	
Registration Number:	1952189	JAPAN INDEX	
CORRESPONDENCE DATA			
Fax Number:	2156562498		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	2156563385		
Email:	pto.phil@dlapiper.com		
Correspondent Name:	PAUL A. TAUFER, DLA PIPER LLP (US)		
Address Line 1:	1650 MARKET STREET, SUITE 4900		
Address Line 4:	PHILADELPHIA, PENNSYLVANIA 19103		
ATTORNEY DOCKET NUMBER:	MKT141193,1299,13301192		

CH \$240.00 3500067

NAME OF SUBMITTER:	NANCY NUNEZ
SIGNATURE:	/NN/
DATE SIGNED:	11/07/2014
Total Attachments: 6 source=NYSE Alternext US LLC to NYSE AMEX LLC#page1.tif source=NYSE Alternext US LLC to NYSE AMEX LLC#page2.tif source=NYSE Alternext US LLC to NYSE AMEX LLC#page3.tif source=NYSE Alternext US LLC to NYSE AMEX LLC#page4.tif source=NYSE Alternext US LLC to NYSE AMEX LLC#page5.tif source=NYSE Alternext US LLC to NYSE AMEX LLC#page6.tif	

Delaware

PAGE 1

The First State

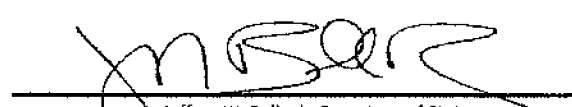
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "NYSE ALTERNEXT US LLC", CHANGING ITS NAME FROM "NYSE ALTERNEXT US LLC" TO "NYSE AMEX LLC", FILED IN THIS OFFICE ON THE EIGHTEENTH DAY OF MARCH, A.D. 2009, AT 2:13 O'CLOCK P.M.

4486791 8100

090279182

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 7194525

DATE: 03-18-09

TRADEMARK
REEL: 005396 FRAME: 0605

**CERTIFICATE OF AMENDMENT
TO CERTIFICATE OF FORMATION OF
NYSE ALTERNEXT US LLC**

Pursuant to the provisions of Section 18-202 of the Delaware Limited Liability Company Act, NYSE Alternext US LLC, a Delaware limited liability company which was previously named American Stock Exchange 2, LLC, hereby certifies that:

1. The name of the limited liability company is "NYSE Alternext US LLC."
2. At the time of filing of this Certificate of Amendment with the office of the Secretary of State of Delaware, the name of the limited liability company shall be changed to "NYSE Amex LLC."
3. Article 1 of the Certificate of Formation of the limited liability company is hereby amended to read in its entirety as follows:

"1. Name: The name of the limited liability company is NYSE Amex LLC."

IN WITNESS WHEREOF, NYSE Alternext US LLC has caused this Certificate of Amendment to be executed by an authorized person on this 18th day of March, 2009.

NYSE ALTERNEXT US LLC

By: /s/ Janet M. Kissane
Name: Janet M. Kissane
Title: Secretary/Authorized Person

FILING RECEIPT

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ENTITY NAME: NYSE AMEX LLC

DOCUMENT TYPE: AMENDMENT (FOR LLC)
NAME

COUNTY: NEWY

=====

FILED:07/13/2009 DURATION:***** CASH#:090713000693 FILM #:090713000608

FILER:

WACHTELL, LIPTON, ROSEN & KATZ
51 WEST 52ND STREET

NEW YORK, NY 10019

ADDRESS FOR PROCESS:

REGISTERED AGENT:

=====

SERVICE COMPANY: CONTINENTAL CORPORATE SERVICES, INC. - SERVICE CODE: 04

FEES	120.00	PAYMENTS	120.00
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FILING	60.00	CASH	0.00
TAX	0.00	CHECK	0.00
CERT	0.00	CHARGE	0.00
COPIES	10.00	DRAWDOWN	120.00
HANDLING	50.00	OPAL	0.00
		REFUND	0.00

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DOS-1025 (04/2007)

TRADEMARK
REEL: 005396 FRAME: 0607

STATE OF NEW YORK

DEPARTMENT OF STATE

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.



WITNESS my hand and official seal of the Department of State, at the City of Albany, on July 14, 2009.

A handwritten signature in black ink, appearing to read "Daniel E. Shapiro".

Daniel E. Shapiro
First Deputy Secretary of State

090713000608

New York State
Department of State
Division of Corporations, State Records
and Uniform Commercial Code
Albany, NY 12231

CERTIFICATE OF AMENDMENT
OF
NYSE Alternext US LLC

Under Section 804 of the Limited Liability Company Law


FIRST: The name of the limited liability company as it appears on the index of names in the Department of State is: NYSE Alternext US LLC

SECOND: The jurisdiction of organization of the limited liability company is: Delaware.

THIRD: The date on which its application for authority to do business in this state was filed with the Department of State is: October 14, 2008.

FOURTH: Paragraph First of the Application for Authority is hereby amended to read as follows: "FIRST: The name of the limited liability company is NYSE Amex LLC." This name change was effected in the state of Delaware on March 18, 2009.

NYSE Alternext US LLC

By: 
Name: Janet Kissane
Title: Secretary/Authorized Person

WI1420335v2

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TRADEMARK
REEL: 005396 FRAME: 0609

090713000608

CERTIFICATE OF AMENDMENT
OF
NYSE Alternext US LLC

Under Section 804 of the Limited Liability Company Law

Filed by: Wachtell, Lipton, Rosen & Katz
(Name)

51 West 52nd Street
(Mailing address)

New York, New York 10019
(City, State and Zip code)

**DRAWDOWN
CONTINENTAL #04**

NOTE: This form was prepared by the New York State Department of State for filing a certificate of amendment for a foreign limited liability company. It does not contain all optional provisions under the law. You are not required to use this form. You may draft your own form or use forms available at legal supply stores. The Department of State recommends that legal documents be prepared under the guidance of an attorney. The certificate must be submitted with a \$60 filing fee made payable to the Department of State.

FILED
JUL 13 2009
PM 2:28

(For office use only.)

cc
STATE OF NEW YORK
DEPARTMENT OF STATE

FILED JUL 13 2009

TAXS

BY: ES

2009 JUL 10 PM 3:07

RECEIVED

2009 JUL 13 PM 2:04

RECEIVED

693

TRADEMARK

REEL: 005396 FRAME: 0610

RECORDED: 11/07/2014

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