

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM322553

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	MERGER AND CHANGE OF NAME		
<b>EFFECTIVE DATE:</b>	10/01/2008		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
AMERICAN STOCK EXCHANGE LLC		10/01/2008	LIMITED LIABILITY COMPANY: DELAWARE
<b>NEWLY MERGED ENTITY DATA</b>			
<b>Name</b>	<b>Execution Date</b>	<b>Entity Type</b>	
AMERICAN STOCK EXCHANGE 2, LLC	10/01/2008	LIMITED LIABILITY COMPANY: DELAWARE	
<b>MERGED ENTITY'S NEW NAME (RECEIVING PARTY)</b>			
<b>Name:</b>	NYSE ALTERNEXT US LLC		
<b>Street Address:</b>	86 TRINITY PLACE		
<b>City:</b>	NEW YORK		
<b>State/Country:</b>	NEW YORK		
<b>Postal Code:</b>	10006		
<b>Entity Type:</b>	LIMITED LIABILITY COMPANY: DELAWARE		
<b>PROPERTY NUMBERS Total: 1</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	0896924	AMEX	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2156562498		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	215-656-3385		
<b>Email:</b>	pto.phil@dlapiper.com		
<b>Correspondent Name:</b>	PAUL A. TAUFER, DLA PIPER LLP (US)		
<b>Address Line 1:</b>	1650 MARKET STREET, SUITE 4900		
<b>Address Line 4:</b>	PHILADELPHIA, PENNSYLVANIA 19103		
<b>ATTORNEY DOCKET NUMBER:</b>	MKT-14-1419		
<b>NAME OF SUBMITTER:</b>	NANCY NUNEZ		
<b>SIGNATURE:</b>	/NN/		
<b>DATE SIGNED:</b>	11/07/2014		

TRADEMARK

**Total Attachments: 4**

source=ASX to ASX2 & NYSE Alternext US LLC#page1.tif

source=ASX to ASX2 & NYSE Alternext US LLC#page2.tif

source=ASX to ASX2 & NYSE Alternext US LLC#page3.tif

source=ASX to ASX2 & NYSE Alternext US LLC#page4.tif

# Delaware

PAGE 1

## The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"AMERICAN STOCK EXCHANGE LLC", A DELAWARE LIMITED LIABILITY COMPANY,

WITH AND INTO "AMERICAN STOCK EXCHANGE 2, LLC" UNDER THE NAME OF "NYSE ALTERNEXT US LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE THIRTIETH DAY OF SEPTEMBER, A.D. 2008, AT 5:34 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE FIRST DAY OF OCTOBER, A.D. 2008, AT 1 O'CLOCK A.M.

4486791 8100M

081001119



*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 6885879

DATE: 09-30-08

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

TRADEMARK  
REEL: 005396 FRAME: 0807

**CERTIFICATE OF MERGER**

**OF**

**AMERICAN STOCK EXCHANGE LLC  
(a Delaware Limited Liability Company)**

**INTO**

**AMERICAN STOCK EXCHANGE 2, LLC  
(a Delaware Limited Liability Company)**

**(Pursuant to Section 18-209 of the Delaware Limited Liability Company)**

IT IS HEREBY CERTIFIED THAT:

FIRST: The name and jurisdiction of formation of each of the domestic limited liability companies in the Merger are as follows:

- (a) American Stock Exchange LLC ("Amex"), a limited liability company organized under the laws of the State of Delaware; and
- (b) American Stock Exchange 2, LLC ("Amex 2"), a limited liability company organized under the laws of the State of Delaware.

SECOND: An Agreement and Plan of Merger (the "Merger Agreement") dated as of January 17, 2008, by and among NYSE Euronext, Amsterdam Merger Sub, The Amex Membership Corporation, AMC Acquisition Sub, Inc., American Stock Exchange Holdings, Inc., Amex and Amex 2 (the "Merger Agreement"), setting forth the terms and conditions of the merger of Amex into Amex 2 (the "Merger"), was approved and executed by each of Amex and Amex 2.

THIRD:

- (a) The name of the surviving company in the merger herein certified is "American Stock Exchange 2, LLC";
- (b) At the effective time of this Certificate of Merger, the name of the surviving limited liability company shall be changed to "NYSE Alternext US LLC"; and
- (c) Article 1 of the Certificate of Formation of the surviving limited liability company is hereby amended as of the effective time to read in its entirety as follows:

"1. Name: The name of the limited liability company is NYSE Alternext US LLC."

FOURTH: The Merger Agreement is on file at the place of business of the surviving domestic limited liability company in the Merger, American Stock Exchange 2, LLC, 86 Trinity Place, New York, New York 10006.

FIFTH: A copy of the Merger Agreement will be furnished by American Stock Exchange 2, LLC, on request and without cost, to any member of American Stock Exchange LLC or American Stock Exchange 2, LLC.

SIXTH: The Merger shall be effective on October 1, 2008, at 1:00 a.m. (New York time).

IN WITNESS WHEREOF, the undersigned has caused this Certificate to be signed this 30th day of September, 2008.

AMERICAN STOCK EXCHANGE 2, LLC  
By American Stock Exchange Holdings, Inc.  
As Managing Member

By: /s/ Claire P. McGrath  
Name: Claire P. McGrath  
Title: President

NYI #3478502

RECORDED: 11/07/2014

TRADEMARK  
REEL: 005396 FRAME: 0810