# OP \$165.00 8609235

# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM323741

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	Security Agreement

### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
OGIN, INC.		11/18/2014	CORPORATION: DELAWARE

### **RECEIVING PARTY DATA**

Name:	SILICON VALLEY BANK
Street Address:	275 Grove Street, Suite 2-200
City:	Newton
State/Country:	MASSACHUSETTS
Postal Code:	02466
Entity Type:	CORPORATION: CALIFORNIA

# **PROPERTY NUMBERS Total: 6**

Property Type	Number	Word Mark	
Serial Number:	86092359	FLODESIGN WIND TURBINE	
Serial Number:	86113390		
Serial Number:	85407310	I-TURBINE	
Serial Number:	85883891	OGIN	
Serial Number:	85883898	THE NEW SHAPE OF ENERGY	
Serial Number:	86092358	THERE'S CHANGE IN THE WIND	

### **CORRESPONDENCE DATA**

**Fax Number:** 8004947512

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 202-370-4750

**Email:** ipteam@nationalcorp.com

Correspondent Name: Darlena Bari Stark

Address Line 1: 1025 Vermont Ave NW, Suite 1130 Address Line 2: National Corporate Research, Ltd.

Address Line 4: Washington, D.C. 20005

ATTORNEY DOCKET NUMBER:	F152711
NAME OF SUBMITTER:	ANDREW NASH
SIGNATURE:	/ANDREW NASH/
DATE SIGNED:	11/19/2014

900307686 REEL: 005404 FRAME: 0597

# Total Attachments: 12 source=Trademark IPSA#page2.tif source=Trademark IPSA#page3.tif source=Trademark IPSA#page4.tif source=Trademark IPSA#page5.tif source=Trademark IPSA#page6.tif source=Trademark IPSA#page7.tif source=Trademark IPSA#page8.tif source=Trademark IPSA#page9.tif source=Trademark IPSA#page10.tif source=Trademark IPSA#page11.tif source=Trademark IPSA#page12.tif source=Trademark IPSA#page13.tif

### INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement (this "Agreement") is entered into as of November 18, 2014, by and between SILICON VALLEY BANK, a California corporation, with a loan production office located at 275 Grove Street, Suite 2-200, Newton, Massachusetts 02466 ("Bank") and OGIN, INC., a Delaware corporation with its principal place of business located at 221 Crescent Street, Suite 103A, Waltham, Massachusetts 02453 ("Grantor").

### RECITALS

- A. Bank has agreed to make certain advances of money and to extend certain financial accommodations to Grantor (the "Loans") in the amounts and manner set forth in that certain Loan and Security Agreement by and among Bank, Grantor, and ROSAMOND ENERGY, LLC dated as of February 17, 2011, as amended by that certain Joinder and First Loan Modification Agreement dated as of January 27, 2012, as amended by that certain Second Loan Modification Agreement and Termination of Pledge Agreement dated as of September 26, 2013, and as further amended by that certain Third Amendment to Loan and Security Agreement dated as of the date hereof (as the same may be amended, modified or supplemented from time to time, the "Loan Agreement"; capitalized terms used herein are used as defined in the Loan Agreement). Bank is willing to make the Loans to Grantor, but only upon the condition, among others, that Grantor shall grant to Bank a security interest in its Copyrights, Trademarks, Patents, and Mask Works (as each term is described below) to secure the obligations of Grantor to Bank.
- B. Pursuant to the terms of the Loan Agreement, Grantor has granted to Bank a security interest in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of Grantor's obligations to Bank, Grantor hereby represents, warrants, covenants and agrees as follows:

### **AGREEMENT**

- 1. <u>Grant of Security Interest</u>. To secure Grantor's obligations to Bank, Grantor grants and pledges to Bank a security interest in all of Grantor's right, title and interest in, to and under its intellectual property (all of which shall collectively be called the "Intellectual Property Collateral"), including, without limitation, the following:
- (a) Any and all copyright rights, copyright applications, copyright registrations and like protections in each work of authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret,

now or hereafter existing, created, acquired or held, including without limitation those set forth on Exhibit A attached hereto (collectively, the "Copyrights");

- (b) Any and all trade secrets, and any and all intellectual property rights in computer software and computer software products now or hereafter existing, created, acquired or held;
- (c) Any and all design rights that may be available to Grantor now or hereafter existing, created, acquired or held;
- (d) All patents, patent applications and like protections including, without limitation, improvements, divisions, continuations, renewals, reissues, extensions and continuations-in-part of the same, including without limitation the patents and patent applications set forth on Exhibit B attached hereto (collectively, the "Patents");
- (e) Any trademark and servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of Grantor connected with and symbolized by such trademarks, including without limitation those set forth on <u>Exhibit C</u> attached hereto (collectively, the "Trademarks");
- (f) All mask works or similar rights available for the protection of semiconductor chips, now owned or hereafter acquired, including, without limitation those set forth on Exhibit D attached hereto (collectively, the "Mask Works");
- (g) Any and all claims for damages by way of past, present and future infringements of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;
- (h) All licenses or other rights to use any of the Copyrights, Patents, Trademarks, or Mask Works and all license fees and royalties arising from such use to the extent permitted by such license or rights;
- (i) All amendments, extensions, renewals and extensions of any of the Copyrights, Trademarks, Patents, or Mask Works; and
- (j) All proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.
- 2. <u>Recordation</u>. Grantor authorizes the Commissioner for Patents, the Commissioner for Trademarks and the Register of Copyrights and any other government officials to record and register this Agreement upon request by Bank.
- 3. <u>Loan Documents</u>. This Agreement has been entered into pursuant to and in conjunction with the Loan Agreement, which is hereby incorporated by reference. The provisions of the Loan Agreement shall supersede and control over any conflicting or inconsistent provision herein. The rights and remedies of Bank with respect to the Intellectual

Property Collateral are as provided by the Loan Agreement and related documents, and nothing in this Agreement shall be deemed to limit such rights and remedies.

- 4. <u>Execution in Counterparts</u>. This Agreement may be executed in counterparts (and by different parties hereto in different counterparts), each of which shall constitute an original, but all of which when taken together shall constitute a single contract. Delivery of an executed counterpart of a signature page to this Agreement by facsimile or in electronic (i.e., "pdf" or "tif" format) shall be effective as delivery of a manually executed counterpart of this Agreement.
- 5. <u>Successors and Assigns</u>. This Agreement will be binding on and shall inure to the benefit of the parties hereto and their respective successors and assigns.
- 6. Governing Law. This Agreement and any claim, controversy, dispute or cause of action (whether in contract or tort or otherwise) based upon, arising out of or relating to this Agreement and the transactions contemplated hereby and thereby shall be governed by, and construed in accordance with, the laws of the United States and the Commonwealth of Massachusetts, without giving effect to any choice or conflict of law provision or rule (whether of the Commonwealth of Massachusetts or any other jurisdiction).

[Signature page follows.]

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed as a sealed instrument under the laws of the Commonwealth of Massachusetts by its officers thereunto duly authorized as of the first date written above.

GRANTOR:
OGIN, INC.
By Clarence The Comment of the Comme
Title: Go and Prosided
BANK:
SILICON VALLEY BANK
By:
Title:

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed as a sealed instrument under the laws of the Commonwealth of Massachusetts by its officers thereunto duly authorized as of the first date written above.

GRANTOR:
OGIN, INC.
Ву:
Title:
BANK:
SILICON VALLEY BANK
Ву:
Title:

# EXHIBIT A

Copyrights

Registration/ Application Number Registration/ Application <u>Date</u> Description

# EXHIBIT B

# Patents

<u>Description</u>	Registration/ Application <u>Number</u>	Registration, Application <u>Date</u>
WIND TURBINE WITH MIXERS AND EJECTORS	12/054050	3/24/2008
TURBINE WITH MIXERS AND EJECTORS	12/437610	5/8/2009
TURBINE WITH MIXERS AND EJECTORS	12/565090	9/23/2009
WIND TURBINE WITH MIXERS AND EJECTORS	12/437615	5/8/2009
WIND TURBINE WITH MIXERS AND EJECTORS	12/565027	9/23/2009
TURBINE WITH MIXERS AND EJECTORS	12/782943	5/19/2010
WIND TURBINE WITH MIXERS AND EJECTORS	12/236249	9/23/2008
SEGMENTED WIND TURBINE	12/749951	3/30/2010
NACELLE CONFIGURATIONS FOR A SHROUDED WIND TURBINE	12/793931	6/4/2010
FLUID TURBINE	13/016437	1/28/2011
FLUID TURBINE	13/025677	2/11/2011
AIRFOIL FOR ENERGY EXTRACTING SHROUDED FLUID TURBINES	13/234865	9/16/2011
BLADE TIP TO SHROUD CLEARANCE FOR SHROUDED FLUID TURBINES	13/627361	9/26/2012
TURBINE WITH UNEVENLY LOADED ROTOR BLADES	13/481444	5/25/2012
TURBINE BLADES WITH MIXED BLADE LOADING	13/481505	5/25/2012
AERODYNAMIC MODIFICATION OF A RING FOIL FOR A FLUID TURBINE	13/657768	10/22/2012

RING AIRFOIL WITH PARALLEL INNER AND OUTER SURFACES	13/857750	4/5/2013
RINGED AIRFOIL WITH MIXING ELEMENTS	13/860037	4/10/2013
FLUID TURBINE WITH INTEGRATED PASSIVE YAW	13/861025	4/11/2013
FLUID TURBINE WITH HYBRID YAW	13/861034	4/11/2013
FLUID TURBINE WITH VORTEX GENERATORS	13/860173	4/10/2013
SYSTEMS AND METHODS FOR PROTECTING A WIND TURBINE IN HIGH WIND CONDITIONS	12/555380	9/8/2009
FLUID TURBINE LIGHTNING PROTECTION SYSTEM	13/617695	9/14/2012
FLUID TURBINE WITH VARIABLE PITCH SHROUD SEGMENTS	14/041895	9/30/2013
FLUID TURBINE WITH A ROTOR UPWIND OF A RINGED AIRFOIL	13/897075	5/17/2013
MIXER-EJECTOR TURBINE WITH ANNULAR AIRFOILS	14/133547	12/18/2013
FLUID TURBINE WITH SLIP RING	14/198362	3/5/2014
INFLATABLE WIND TURBINE	12/555446	9/8/2009
FLUID TURBINE	13/078366	4/1/2011
ULTRACAPACITOR INTERFACE IN WIND TURBINE AND RING GENERATOR	12/629714	12/2/2009
THERMAL PROTECTION OF ELECTRICAL GENERATING COMPONENTS UNDER CONTINUOUS ACTIVE POWER GENERATION	13/902318	5/24/2013
WIND TURBINE	12/779545	5/13/2010
WIND TURBINE	12/779510	5/13/2010
DOWN WIND FLUID TURBINE	13/870348	4/25/2013
WIND TURBINES WITH MIXERS AND EJECTORS	12/425358	4/16/2009

WIND TURBINE WITH MIXERS AND EJECTORS	29/328993	12/5/2008
WIND TURBINE WITH MIXERS AND EJECTORS	29/343342	9/11/2009
WIND TURBINE WITH MIXERS AND EJECTORS	29/328992	12/5/2008
WIND TURBINE WITH MIZERS AND EJECTORS	29/343343	9/11/2009
WIND TURBINE WITH MIXERS AND EJECTORS	29/328991	12/5/2008
WIND TURBINE	29/343344	9/11/2009
WIND TURBINE WITH SEGMENTED LOBES IN MIXER	29/378014	10/28/2010
WIND TURBINE	29/358567	3/29/2010
WIND TURBINE	29/358568	3/29/2010
WIND TURBINE	29/358569	3/29/2010
WIND TURBINE WITH SCALLOPED LOBES	29/378018	10/28/2010
WIND TURBINE WITH NON-SCALLOPED LOBES	29/378008	10/28/2010
WIND TURBINE	29/378411	11/4/2010
WIND TURBINE	29/378623	11/6/2010
WIND TURBINE	29/378624	11/6/2010
WIND TURBINE	29/378628	11/6/2010
WIND TURBINE	29/378627	11/6/2010
WIND TURBINE	29/378417	11/4/2010
WIND TURBINE	29/378572	11/5/2010
WIND TURBINE	29/378838	11/10/2010
WIND TURBINE	29/378833	11/10/2010

WIND TURBINE	29/378626	11/6/2010
FLUID TURBINE	29/389598	4/14/2011
WATER TURBINE	29/378547	11/5/2010

# EXHIBIT C

# Trademarks

Description	Registration/ Application <u>Number</u>	Registration/ Application <u>Date</u>
FLODESIGN WIND TURBINE	8917007	3/1/2010
	EP	
FLODESIGN WIND TURBINE	86/092,359	10/15/2013
FLODESIGN WIND TURBINE CORPORATION	86/113,390	11/8/2013
I-TURBINE & DESIGN	85/407,310	8/25/2011
OGIN	85/883,891	3/22/2013
THE NEW OILABE OF PAICHON	05/000 000	2/22/22/2
THE NEW SHAPE OF ENERGY	85/883,898	3/22/2013
THERE'S CHANGE IN THE WIND	9 <i>61</i> 000 259	10/15/2012
THERES CHARGE IN THE WIND	86/092,358	10/15/2013

# EXHIBIT D

Mask Works

Registration/ Application Number Description Registration/ Application

**RECORDED: 11/19/2014** 

<u>Date</u>