# \$690.00 182670

# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM323879

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	RELEASE OF SECURITY INTEREST

### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
GCI CAPITAL MARKETS LLC		11/20/2014	LIMITED LIABILITY COMPANY: DELAWARE

### **RECEIVING PARTY DATA**

Name:	NAVEX GLOBAL, INC. (formerly known as Global Compliance Services, Inc., and successor by merger with each of Employment Law Training, Inc., Brightline Compliance, LLC, EthicsPoint, Inc., and Policy Technologies International, Inc.)
Street Address:	6000 Meadows Rd
City:	Lake Oswego
State/Country:	OREGON
Postal Code:	97035
Entity Type:	CORPORATION: DELAWARE
Name:	NAVEX GLOBAL HOLDING COMPANY
Street Address:	6000 MEADOWS ROAD
City:	LAKE OSWEGO
State/Country:	OREGON
Postal Code:	97035
Entity Type:	CORPORATION: DELAWARE

### **PROPERTY NUMBERS Total: 27**

Property Type	Number	Word Mark				
Registration Number:	1826704	ALERTLINE				
Registration Number:	2388228	ELT				
Registration Number:	2301959	SERVICE INTELLIGENCE				
Registration Number:	2478364	WORKING PEOPLE				
Registration Number:	2466575	WAVE				
Registration Number:	2474364	WAVEAMERICA				
Registration Number:	2502904	WAV AMERICA				
Registration Number:	2502905	WAVE				
Registration Number:	2508130	E ELT				
Registration Number:	2600657	BRIGHTLINE COMPLIANCE				
Registration Number:	2788107	E				
	•	TRADEMARK				

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Property Type	Number	Word Mark			
Registration Number:	2773379	ALERTLINE			
Registration Number:	3934625	GLOBAL COMPLIANCE			
Registration Number:	3741434	GLOBAL COMPLIANCE			
Registration Number:	3494370	INTEGRILINK			
Registration Number:	3733037	MAKING THE WORLD A BETTER WORKPLACE			
Registration Number:	3662286	BRIGHTLINE			
Registration Number:	2981636	CHANGING BEHAVIOR IN A CHANGING WORKPLAC			
Registration Number:	2898031	LEGAL ENGINEERING			
Registration Number:	4091685	CODE IN ACTION			
Registration Number:	4099673	ETHICS 360			
Registration Number:	4099674	WORKPLACE HARASSMENT 360			
Registration Number:	4091816	POLICY IN ACTION			
Registration Number:	4138669	WORKING PEOPLE NEWS			
Registration Number:	4179009	EELT			
Serial Number:	85030718	EEO 360			
Serial Number:	85087853	POLICY IN ACTION			

### **CORRESPONDENCE DATA**

**Fax Number:** 2124464900

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: susan.zablocki@kirkland.com

Correspondent Name: Susan Zablocki
Address Line 1: Kirkland & Ellis LLP
Address Line 2: 601 Lexington Avenue

Address Line 4: New York, NEW YORK 10022

ATTORNEY DOCKET NUMBER:	38123-183
NAME OF SUBMITTER:	Susan Zablocki
SIGNATURE:	/susan zablocki/
DATE SIGNED:	11/20/2014

### **Total Attachments: 7**

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RELEASE OF SECURITY INTEREST IN TRADEMARKS

THIS RELEASE OF SECURITY INTEREST IN TRADEMARKS ("Release") is

made as of this 20th day of November 2014, by GCI CAPITAL MARKETS LLC, in its capacity

as administrative agent ("Administrative Agent"), in favor of NAVEX GLOBAL, INC., a

Delaware corporation (formerly known as Global Compliance Services, Inc., a Delaware

corporation, and successor by merger with each of Employment Law Training, Inc., a Delaware

corporation, Brightline Compliance, LLC, a Virginia limited liability company, EthicsPoint, Inc.,

a Washington corporation, and Policy Technologies International, Inc., an Idaho corporation)

and NAVEX GLOBAL HOLDING COMPANY, a Delaware corporation (collectively, the

"Companies"").

Reference is made to that certain Payoff Letter dated as of November 19, 2014

between Administrative Agent and the Companies (the "Payoff Letter").

FOR GOOD AND VALUABLE CONSIDERATION, the receipt and adequacy of

which are hereby acknowledged, subject to the terms and conditions of the Payoff Letter,

Administrative Agent hereby releases its liens on and security interests in the trademarks listed

on Schedule A attached hereto and made a part hereof, which liens and security interests were

established under and pursuant to those certain Trademark Security Agreements dated

December 30, 2011, March 1, 2012, June 15, 2012, and May 13, 2014 executed by Companies

in favor of Administrative Agent and recorded with the United States Patent and Trademark

Office on May 11, 2012 at reel/frame 4777/0844, May 24, 2012 at reel/frame 4787/0179, June

28, 2012 at reel/frame 4810/0141, and June 13, 2014 at reel/frame 5302/0504, respectively.

[Signature Page Follows]

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IN WITNESS HEREOF, Administrative Agent has caused this Release to be duly executed by its duly authorized officer as of the day and year first above written.

GCI Capital Markets LLC, as Administrative Agent)

Name: John Tuchschor

Title: managing Director

## Schedule A

# U.S. Trademarks

Mark	Appin. No.	Filed	Regn. No.	Registered	Owner
ALERTLINE (Stylized)	74/375,029	4/1/1993	1,826,704	3/15/1994	Global Compliance Services, Inc.
SERVICE INTELLIGENCE	75/560,805	9/28/1998	2,301,959	12/21/1999	Global Compliance Services, Inc.
WAVE	75/814,436	10/4/1999	2,466,575	7/3/2001	Global Compliance Services, Inc.
WAVEAMERICA	75/814,692	10/4/1999	2,474,364	7/31/2001	Global Compliance Services, Inc.
WAV AMERICA	75/845,900	11/10/1999	2,502,904	10/30/2001	Global Compliance Services, Inc.
WAVE (Stylized)	75/846,164	11/10/1999	2,502,905	10/30/2001	Global Compliance Services, Inc.
ALERTLINE (Stylized & Design)	76/461,613	10/23/2002	2,773,379	10/14/2003	Global Compliance Services, Inc.
INTEGRILINK	76/665,229	8/28/2006	3,494,370	9/2/2008	Global Compliance Services, Inc.
MAKING THE WORLD A BETTER WORKPLACE	76/665,355	8/29/2006	3,733,037	1/5/2010	Global Compliance Services, Inc.
GLOBAL COMPLIANCE	76/665,228	8/28/2006	3,741,434	1/26/2010	Global Compliance Services, Inc.
GLOBAL COMPLIANCE (Stylized & Design)	76/665,227	8/28/2006	3,934,625	3/22/2011	Global Compliance Services, Inc.
ALERTLINE (Jurisdiction: EU)	559237	6/19/1997	559237	6/22/1999	Global Compliance Services, Inc.
INTEGRILINK	008477771	8/6/2009	008477771	2/1/2010	Global Compliance Services, Inc.
BRIGHTLINE COMPLIANCE	76/212466	2/20/2011	2600657	7/30/2002	Brightline Compliance, LLC
BRIGHTLINE	76/691002	7/2/2008	3662286	8/4/2009	Brightline Compliance, LLC
CHANGING BEHAVIOR IN A CHANGING WORKPLACE	76975887	3/8/02	2981636	8/2/05	Employment Law Training, Inc.
CODE IN ACTION	85030689	5/5/10			Employment Law Training, Inc.
E ELT	75980614	1/28/98	2508130	11/13/01	Employment Law Training, Inc.

Mark	Appln, No.	Filed:	Regn. No.	Registered	Owner
EELT & Design	85482659	11/29/11			Employment Law Training, Inc.
EEO 360	85030718	5/5/10			Employment Law Training, Inc.
ELT	75424689	1/28/98	2388228	9/19/00	Employment Law Training, Inc.
ETHICS 360	85030699	5/5/10			Employment Law Training, Inc.
LEGAL ENGINEERING	78122409	4/17/02	2898031	10/26/04	Employment Law Learning Technologies, Inc.
POLICY IN ACTION	85087853	7/19/10			Employment Law Training, Inc.
Stylized E	76379963	3/8/02	2788107	12/2/03	Employment Law Training, Inc.
WAGE & HOUR 360	85030726	5/5/10		gagagagan adalah kalah kalah ayari sa dabangaga ayayarar sa da da	Employment Law Training, Inc.
WORKING PEOPLE	75565435	10/7/98	2478364	8/14/01	Employment Law Training, Inc.
WORKING PEOPLE NEWS	85418956	9/9/11			Employment Law Training, Inc.
WORKPLACE HARASSMENT 360	85030708	5/5/10			Employment Law Training, Inc.

# U.S. Trademarks

Mark	Appln. No.	Filed	Regn. No.	Registered	Owner
INTEGRITY AT WORK	85439179	10/4/11			EthicsPoint, Inc.
	78511576	11/4/04	3035481	12/27/05	EthicsPoint, Inc.
SUSTAINABLE ETHICAL CULTURE	78853969	4/4/06	3317554	10/23/07	EthicsPoint, Inc.
ethics point integrity at work  ethics point integrity at work	78197583	12/23/02	2939611	4/12/05	EthicsPoint, Inc.
ETHICSPOINT	76208520	2/8/01	2504591	11/6/01	EthicsPoint, Inc.

# **Trademarks**

	Applia Na.	Pilot	Rem Na	n N=psterei	Gwner/Applicant
POLICY TECHNOLOGIES INTERNATIONAL, INC.	85229252	1/28/11	4018991	B/30/11	Policy Technologies International, Inc., DBA PolicyTech
POLICYTECH	\$537 <b>6</b> 348	7/20/11			Policy Technologies International, Inc., DBA PolicyTech
POLICY & PROCEDURE MANAGER	77360271	12/27/07	3529446	11/4/08	Policy Technologies International, Inc., DBA PolicyTech

# **TRADEMARKS**

Mark	Serial No.	Filed	Regn. No.	Registered	Owner/Applicant
NAVEX GLOBAL	85855794	Pending Application filed 2/21/13	N/A	N/A	NAVEX Global Holding Company
POLICYTECH	86215209	Pending Application filed 3/7/14	N/A	N/A	NAVEX Global, Inc.

TRADEMARK REEL: 005405 FRAME: 0340

**RECORDED: 11/20/2014**