

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM325220

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	MERGER		
<b>EFFECTIVE DATE:</b>	12/01/2014		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
LiquidPoint, LLC		12/01/2014	LIMITED LIABILITY COMPANY: ILLINOIS
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	CONVERGEX EXECUTION SOLUTIONS LLC		
<b>Street Address:</b>	1633 Broadway		
<b>Internal Address:</b>	48th Floor		
<b>City:</b>	New York		
<b>State/Country:</b>	NEW YORK		
<b>Postal Code:</b>	10019		
<b>Entity Type:</b>	LIMITED LIABILITY COMPANY: DELAWARE		
<b>PROPERTY NUMBERS Total: 3</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Serial Number:</b>	86071473	LIQUIDPOINT UCOB	
<b>Serial Number:</b>	86228523	NXP DARK	
<b>Registration Number:</b>	4363759	REAL-TIME EDGE	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2124684888		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	(212) 468-4800		
<b>Email:</b>	jkatz@dglaw.com		
<b>Correspondent Name:</b>	Jeffrey C. Katz		
<b>Address Line 1:</b>	Davis & Gilbert LLP, 1740 Broadway		
<b>Address Line 4:</b>	New York, NEW YORK 10019		
<b>ATTORNEY DOCKET NUMBER:</b>	027400-0001-000 (AGN JAC)		
<b>NAME OF SUBMITTER:</b>	Jeffrey C. Katz		
<b>SIGNATURE:</b>	/Jeffrey C. Katz/		
<b>DATE SIGNED:</b>	12/05/2014		
<b>Total Attachments: 3</b>			

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# Delaware

PAGE 1

*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"LIQUIDPOINT, LLC", AN ILLINOIS LIMITED LIABILITY COMPANY, WITH AND INTO "CONVERGEX EXECUTION SOLUTIONS LLC" UNDER THE NAME OF "CONVERGEX EXECUTION SOLUTIONS LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-FIFTH DAY OF NOVEMBER, A.D. 2014, AT 6:26 O'CLOCK P.M.

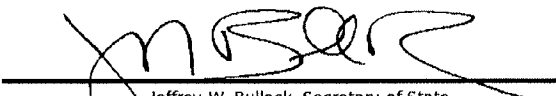
AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE FIRST DAY OF DECEMBER, A.D. 2014, AT 12:01 O'CLOCK A.M.

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You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 1904309

DATE: 11-26-14

TRADEMARK  
REEL: 005414 FRAME: 0101

**CERTIFICATE OF MERGER  
OF  
LIQUIDPOINT, LLC  
(an Illinois limited liability company)**

**WITH AND INTO**

**CONVERGEX EXECUTION SOLUTIONS LLC  
(a Delaware limited liability company)**

\*\*\*\*\*  
*In accordance with the provisions of Section 18-209 of the  
Delaware Limited Liability Company Act*  
\*\*\*\*\*

ConvergeX Execution Solutions LLC, a limited liability company duly organized and existing under and by virtue of the laws of the State of Delaware, desiring to merge LiquidPoint, LLC, a limited liability company duly organized and existing under and by virtue of the laws of the State of Illinois, with and into itself pursuant to the provisions of Section 18-209 of the Delaware Limited Liability Company Act (the "Delaware Code"), **DOES HEREBY CERTIFY** as follows:

**FIRST:** The name and state of formation of each constituent entity of the merger (the "Merger") are as follows: (i) ConvergeX Execution Solutions LLC, a Delaware limited liability company (the "Company"), and (ii) LiquidPoint, LLC, an Illinois limited liability company ("LP").

**SECOND:** An Agreement and Plan of Merger (the "Agreement and Plan of Merger"), dated November 13, 2014 between the Company and LP has been approved, adopted, certified, executed and acknowledged by each constituent entity, in accordance with the requirements of (i) Section 18-209 of the Delaware Code and (ii) in accordance with the laws of the State of Illinois.

**THIRD:** The name of the surviving entity of the Merger is ConvergeX Execution Solutions LLC, (the "Surviving Entity").

**FOURTH:** An executed copy of the Agreement and Plan of Merger is on file at an office of the Surviving Entity, ConvergeX Execution Solutions LLC, 1633 Broadway, 48<sup>th</sup> Floor, New York, NY 10019, Attn: Secretary.

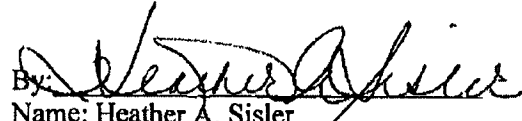
**SIXTH:** A copy of the Agreement and Plan of Merger will be furnished by the Surviving Entity, upon request and without cost, to any member of the constituent entity.

**SEVENTH:** The Merger shall become effective as of 12:01 a.m. on December 1, 2014.

\* \* \* \* \*

IN WITNESS WHEREOF, the Surviving Entity has caused this Certificate of  
Merger to be signed this 25<sup>th</sup> day of November, 2014.

CONVERGEX EXECUTION SOLUTIONS LLC  
a Delaware limited liability company

By:   
Name: Heather A. Sisler  
Its: Secretary & Authorized Person