

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM337923

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	CHANGE OF NAME		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
MORI SEIKI CO., LTD		09/18/2013	CORPORATION:
RECEIVING PARTY DATA			
Name:	DMG MORI SEIKI CO., LTD		
Street Address:	106, KITAKORIYAMA-CHO, YAMATOKORIYAMA-SHI		
City:	NARA		
State/Country:	JAPAN		
Entity Type:	CORPORATION: JAPAN		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	3604795	ORC	
CORRESPONDENCE DATA			
Fax Number:	6192350398		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	6506459000		
Email:	cpaglobal@procopio.com		
Correspondent Name:	PROCOPIO, CORY, HARGREAVES & SAVITCH LLP		
Address Line 1:	1020 Marsh Road, Suite 200		
Address Line 4:	Menlo Park, CALIFORNIA 94025		
ATTORNEY DOCKET NUMBER:	120776-DMG006		
NAME OF SUBMITTER:	Michael C. Jones		
SIGNATURE:	/Michael C. Jones/		
DATE SIGNED:	04/10/2015		
Total Attachments: 9			
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Certificate of Corporate Registration [Partial Translation]

106, Kitakoriyama-cho, Yamatokoriyama-shi, Nara
 DMG MORI SEIKI CO., LTD.
 Corporation No. 1500-01-006212

Corporate name	<u>MORI SEIKI CO., LTD.</u>
	DMG MORI SEIKI CO., LTD. Revised: September 17, 2013 Registered: September 18, 2013
Head office	106, Kitakoriyama-cho, Yamatokoriyama-shi, Nara
Method of public notice	<u>Through electronic advertising.</u> <u>http://www.moriseiki.com/indexj.html</u> <u>However, when we are unable to communicate through electronic advertising, because of accidents or other unforeseen circumstances, we publish a notice in the Nihon Keizai Shimbun.</u>
	Through electronic advertising. http://www.dmgmoriseiki.co.jp However, when we are unable to communicate through electronic advertising, because of accidents or other unforeseen circumstances, we publish a notice in the Nihon Keizai Shimbun. Revised: November 1, 2013 Registered: November 11, 2013
Date of establishment of company	October 26, 1948

Purpose	<ol style="list-style-type: none"> 1. <u>Production, sale and repair of machines</u> 2. <u>Manufacture and repair of automobile parts, die and mold parts and machine tool parts</u> 3. <u>Motor truck carrier business and warehousing business</u> 4. <u>Sales/purchase, lease, brokerage, and management of lands</u> 5. <u>Management of cafeteria and conference rooms</u> 6. <u>Collection, processing, and provision of information</u> 7. <u>Loans and loan guarantees</u> 8. <u>General leasing business</u> 9. <u>Insurance agency business in compliance with accident insurance policies and Automobile Liability Insurance Law</u> 10. <u>Collection of life insurance</u> 11. <u>Collection of long-distance telephone subscription contracts for telecommunication companies</u> 12. <u>Management of hotels and inns</u> 13. <u>Temporary personnel service</u> 14. <u>Sale of an antique on the Antique Dealings Law</u> 15. <u>Sale of goods</u> 16. <u>Import, sale, installation and electric construction of photovoltaic power generation equipment</u> 17. <u>Installation construction of machine appliances</u> 18. <u>All business activities related to the items above</u> <p style="text-align: center;">Revised: June 18, 2010 Registered: July 2, 2010</p>
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DMG MORI SEIKI CO., LTD.

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	<ol style="list-style-type: none">1. <u>Production, sale and repair of machines</u>2. <u>Manufacture and repair of automobile parts, die and mold parts and machine tool parts</u>3. <u>Motor truck carrier business and warehousing business</u>4. <u>Sales/purchase, lease, brokerage, and management of lands</u>5. <u>Management of cafeteria and conference rooms</u>6. <u>Collection, processing, and provision of information</u>7. <u>Loans and loan guarantees</u>8. <u>General leasing business</u>9. <u>Insurance agency business in compliance with accident insurance policies and Automobile Liability Insurance Law</u>10. <u>Collection of life insurance</u>11. <u>Collection of long-distance telephone subscription contracts for telecommunication companies</u>12. <u>Management of hotels and inns</u>13. <u>Temporary personnel service</u>14. <u>Sale of an antique on the Antique Dealings Law</u>15. <u>Sale of goods</u>16. <u>Import, sale, installation and electric construction of photovoltaic power generation equipment</u>17. <u>Installation construction of machine appliances</u>18. <u>Manufacture, import, sales and repair of energy saving devices and renewable energy equipments.</u>19. <u>All business activities related to the items above</u>20. Revised: June 14, 2011 Registered: June 27, 2011
	<ol style="list-style-type: none">1. Production, sale and repair of machines2. Manufacture and repair of automobile parts, die and mold parts and machine tool parts3. Motor truck carrier business and warehousing business4. Sales/purchase, lease, brokerage, and management of lands5. Management of cafeteria and conference rooms6. Collection, processing, and provision of information7. Loans and loan guarantees8. General leasing business9. Insurance agency business in compliance with accident insurance policies and Automobile Liability Insurance Law10. Collection of life insurance11. Collection of long-distance telephone subscription contracts for telecommunication companies12. Management of hotels and inns

	13. Temporary personnel service 14. Sale of an antique on the Antique Dealings Law 15. Sale of goods 16. Import, sale, installation and electric construction of photovoltaic power generation equipment 17. Installation construction of machine appliances 18. Manufacture, import, sales and repair of energy saving devices and renewable energy equipments. 19. Import, sale and repair of medical equipment 20. All business activities related to the items above Revised: June 17, 2013 Registered: June 27, 2013
Unit of share	100 shares
Number of shares to be issued	<u>157,550,000</u> shares <u>200,000,000</u> shares Revised: June 18, 2010 Registered: July 2, 2010

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DMG MORI SEIKI CO., LTD.

Corporation No. 1500-01-006212

	300,000,000 shares	Revised: June 17, 2013 Registered: June 27, 2013
Number and type of shares issued	Total outstanding share 118,475,312 shares	Revised: December 28, 2009 Registered: January 6, 2010
Capital	41,132,607,817 yen cash	Revised: December 28, 2009 Registered: January 6, 2010
Name, address and office of Administrator on Shareholder Listing.	<u>Mitsubishi UFJ Trust Bank, Limited</u> <u>1-4-5 Marunouchi, Chiyoda-ku, Tokyo</u> <u>Mitsubishi UFJ Trust Bank, Limited</u> <u>Stock Transfer Agency Department</u> <u>3-6-3 Fushimi-cho, Chuo-ku, Osaka-shi</u> Revised: October 13, 2009	Registered: October 15, 2009
	Sumitomo Mitsui Trust Bank, Limited 1-4-1 Marunouchi, Chiyoda-ku, Tokyo Sumitomo Mitsui Trust Bank, Limited Stock Transfer Agency Department 1-4-1 Marunouchi, Chiyoda-ku, Tokyo Revised: June 16, 2012	Registered: June 28, 2012
Details of Directors	<u>Director Masahiko Mori</u>	June 17, 2009 (renewed)
		July 1, 2009 (registered)
	<u>Director Masahiko Mori</u>	June 18, 2010 (renewed)
		July 2, 2010 (registered)
	<u>Director Masahiko Mori</u>	June 14, 2011 (renewed)
		June 27, 2011 (registered)
	<u>Director Masahiko Mori</u>	June 15, 2012 (renewed)
		June 28, 2012 (registered)
	<u>Director Masahiko Mori</u>	June 17, 2013 (renewed)
		June 27, 2013 (registered)
	<u>Director Hiroshi Mizuguchi</u>	June 17, 2009 (renewed)
		July 1, 2009 (registered)
<u>Director Hiroshi Mizuguchi</u>	June 18, 2010 (renewed)	
	July 2, 2010 (registered)	
	June 14, 2011 (retired)	
	June 27, 2011 (registered)	

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DMG MORI SEIKI CO., LTD.

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<u>Director Kazuyuki Hiramoto</u>	June 17, 2009 (renewed)	
	July 1, 2009 (registered)	
	<u>Director Kazuyuki Hiramoto</u>	
	June 18, 2010 (renewed)	
	July 2, 2010 (registered)	
	<u>Director Kazuyuki Hiramoto</u>	
	June 14, 2011 (renewed)	
	June 27, 2011 (registered)	
	August 17, 2011 (resigned)	
	August 23, 2011 (registered)	
	<u>Director Takeshi Saito</u>	June 17, 2009 (renewed)
	July 1, 2009 (registered)	
<u>Director Takeshi Saito</u>	June 18, 2010 (renewed)	
July 2, 2010 (registered)		
June 14, 2011 (retired)		
June 27, 2011 (registered)		
<u>Director Hiroaki Tamai</u>	June 17, 2009 (renewed)	
July 1, 2009 (registered)		
<u>Director Hiroaki Tamai</u>	June 18, 2010 (renewed)	
July 2, 2010 (registered)		
<u>Director Hiroaki Tamai</u>	June 14, 2011 (renewed)	
June 27, 2011 (registered)		
<u>Director Hiroaki Tamai</u>	June 15, 2012 (renewed)	
June 28, 2012 (registered)		
<u>Director Hiroaki Tamai</u>	June 17, 2013 (renewed)	
June 27, 2013 (registered)		
<u>Director Naoshi Takayama</u>	June 17, 2009 (renewed)	
July 1, 2009 (registered)		
<u>Director Naoshi Takayama</u>	June 18, 2010 (renewed)	
July 2, 2010 (registered)		
<u>Director Naoshi Takayama</u>	June 14, 2011 (renewed)	
June 27, 2011 (registered)		
<u>Director Naoshi Takayama</u>	June 15, 2012 (renewed)	
June 28, 2012 (registered)		
<u>Director Naoshi Takayama</u>	June 17, 2013 (renewed)	
June 27, 2013 (registered)		

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DMG MORI SEIKI CO., LTD.

Corporation No. 1500-01-006212

<u>Director Tatsuo Kondo</u>	June 17, 2009 (assigned)
	July 1, 2009 (registered)
	<u>Director Tatsuo Kondo</u>
	June 18, 2010 (renewed)
	July 2, 2010 (registered)
	<u>Director Tatsuo Kondo</u>
	June 14, 2011 (renewed)
	June 27, 2011 (registered)
	<u>Director Tatsuo Kondo</u>
	June 15, 2012 (renewed)
	June 28, 2012 (registered)
	<u>Director Tatsuo Kondo</u>
June 17, 2013 (renewed)	
June 27, 2013 (registered)	
<u>Director Hisao Sato</u>	June 14, 2011 (assigned)
	June 27, 2011 (registered)
	<u>Director Hisao Sato</u>
	June 15, 2012 (renewed)
	June 28, 2012 (registered)
	<u>Director Hisao Sato</u>
June 17, 2013 (renewed)	
June 27, 2013 (registered)	
<u>8-3, 2-chome, Hyakurakuen, Nara-shi</u> <u>Representative Director Masahiko Mori</u>	June 17, 2009 (renewed)
	July 1, 2009 (registered)
	<u>8-3, 2-chome, Hyakurakuen, Nara-shi</u> <u>Representative Director Masahiko Mori</u>
	June 18, 2010 (renewed)
	July 2, 2010 (registered)
	<u>8-3, 2-chome, Hyakurakuen, Nara-shi</u> <u>Representative Director Masahiko Mori</u>
	June 14, 2011 (renewed)
	June 27, 2011 (registered)
	<u>8-3, 2-chome, Hyakurakuen, Nara-shi</u> <u>Representative Director Masahiko Mori</u>
	June 15, 2012 (renewed)
	June 28, 2012 (registered)
	<u>8-3, 2-chome, Hyakurakuen, Nara-shi</u> <u>Representative Director Masahiko Mori</u>
June 17, 2013 (renewed)	
June 27, 2013 (registered)	
<u>4-10, 2-chome, Katuragidai, Kanmaki-cho,</u> <u>Kitakatsuragi-gun, Nara</u> <u>Representative Director Hiroshi Mizuguchi</u>	June 17, 2009 (renewed)
	July 1, 2009 (registered)
	<u>4-10, 2-chome, Katuragidai, Kanmaki-cho,</u> <u>Kitakatsuragi-gun, Nara</u> <u>Representative Director Hiroshi Mizuguchi</u>
	June 18, 2010 (renewed)
	July 2, 2010 (registered)
	<u>4-10, 2-chome, Katuragidai, Kanmaki-cho,</u> <u>Kitakatsuragi-gun, Nara</u> <u>Representative Director Hiroshi Mizuguchi</u>
	June 14, 2011 (retired)
	June 27, 2011 (registered)

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DMG MORI SEIKI CO., LTD.

Corporation No. 1500-01-008212

<u>24-7, 1-chome, Shinike-cho, Chikusa-ku,</u> <u>Nagoya-shi</u> Representative Director <u>Tatsuo Kondo</u>	June 14, 2011 (assigned)
	June 27, 2011 (registered)
<u>24-7, 1-chome, Shinike-cho, Chikusa-ku,</u> <u>Nagoya-shi</u> Representative Director <u>Tatsuo Kondo</u>	June 15, 2012 (renewed)
	June 28, 2012 (registered)
24-7, 1-chome, Shinike-cho, Chikusa-ku, Nagoya-shi Representative Director <u>Tatsuo Kondo</u>	June 17, 2013 (renewed)
	June 27, 2013 (registered)
Auditor <u>Koji Kageyama</u>	June 28, 2007 (renewed)
	July 11, 2007 (registered)
	June 14, 2011 (retired)
	June 27, 2011 (registered)
Auditor <u>Katsuhiko Maehori</u> (External Auditor)	June 28, 2007 (renewed)
	July 11, 2007 (registered)
	June 14, 2011 (retired)
	June 27, 2011 (registered)
Auditor <u>Yasuo Noishiki</u> (External Auditor)	June 28, 2007 (renewed)
	July 11, 2007 (registered)
	June 14, 2011 (retired)
	June 27, 2011 (registered)
Auditor <u>Yoshito Kato</u> (External Auditor)	June 18, 2010 (renewed)
	July 2, 2010 (registered)
Auditor <u>Morikuni Uchigasaki</u>	June 14, 2011 (renewed)
	June 27, 2011 (registered)
Auditor <u>Michiyoshi Kuriyama</u> (External Auditor)	June 14, 2011 (renewed)
	June 27, 2011 (registered)

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DMG MORI SEIKI CO., LTD.

Corporation No. 1500-01-006212

	<u>Independent Auditors</u>	June 17, 2009 (renewed)
	<u>Ernst & Young ShinNihon LLC</u>	July 1, 2009 (registered)
	<u>Independent Auditors</u>	June 18, 2010 (renewed)
	<u>Ernst & Young ShinNihon LLC</u>	July 2, 2010 (registered)
	<u>Independent Auditors</u>	June 14, 2011 (renewed)
	<u>Ernst & Young ShinNihon LLC</u>	June 27, 2011 (registered)
	<u>Independent Auditors</u>	June 15, 2012 (renewed)
	<u>Ernst & Young ShinNihon LLC</u>	June 28, 2012 (registered)
	<u>Independent Auditors</u>	June 17, 2013 (renewed)
	<u>Ernst & Young ShinNihon LLC</u>	June 27, 2013 (registered)
Details of Board of Directors	Board of Directors	Registered May 3, 2006 in accordance with the regulations of Ordinance 87, Provision 136 (2005).
Details of Auditors	Auditors	Registered May 3, 2006 in accordance with the regulations of Ordinance 87, Provision 136 (2005).
Details of Board of Auditors	Board of Auditors	May 15, 2006 (registered)
Details of Independent Auditors	Independent Auditors	May 15, 2006 (registered)

This is to certify that the above information is a part of the corporate information which has already been registered and is not closed.

(Nara District Legal Affairs Bureau jurisdiction)

January 8, 2014

Tsu District Legal Affairs Bureau Iga Branch

Registrar

Hideji SOEMATSU