

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM339555

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ENTITY CONVERSION		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Sagent Advisors Inc.		04/01/2012	CORPORATION: DELAWARE
RECEIVING PARTY DATA			
Name:	Sagent Advisors, LLC		
Street Address:	299 Park Avenue, 9th Floor		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10171		
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	2957849	SAGENT ADVISORS	
CORRESPONDENCE DATA			
Fax Number:	9494754754		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	949-451-3800		
Email:	skann@gibsondunn.com		
Correspondent Name:	Stephanie S. Kann, Senior Paralegal		
Address Line 1:	3161 Michelson Drive		
Address Line 2:	Gibson, Dunn & Crutcher LLP		
Address Line 4:	Irvine, CALIFORNIA 92612		
ATTORNEY DOCKET NUMBER:	89774-00001		
NAME OF SUBMITTER:	Stephanie S. Kann		
SIGNATURE:	/stephanie s. kann/		
DATE SIGNED:	04/28/2015		
Total Attachments: 3			
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Delaware

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The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF "SAGENT ADVISORS INC.", CHANGING ITS NAME FROM "SAGENT ADVISORS INC." TO "SAGENT ADVISORS, LLC", FILED IN THIS OFFICE ON THE THIRTIETH DAY OF MARCH, A.D. 2012, AT 4:21 O'CLOCK P.M.

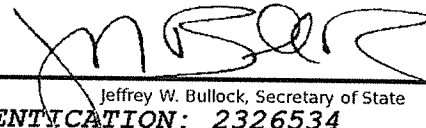
AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF CONVERSION IS THE FIRST DAY OF APRIL, A.D. 2012, AT 12:01 O'CLOCK A.M.

3698598 8100

150573173



You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 2326534

DATE: 04-27-15

TRADEMARK
REEL: 005505 FRAME: 0285

**CERTIFICATE OF CONVERSION TO LIMITED LIABILITY COMPANY
OF
SAGENT ADVISORS INC.
TO
SAGENT ADVISORS, LLC**

This certificate of Conversion to Limited Liability Company dated as of March 30, 2012, has been duly executed and is being filed by Sagent Advisors Inc., a Delaware corporation (the "Company"), and Scott D. Kaplan, as an authorized person of Sagent Advisors, LLC, a Delaware limited liability company (the "LLC"), to convert the Company to the LLC, under the Delaware Limited Liability Company Act (6 Del.C. § 18-101, et seq.) and the General Corporation Law of the State of Delaware (8 Del.C. § 101, et seq.) (the "GCL").

1. The Company's name when it was originally incorporated was Catalyst Advisors Inc. Immediately prior to the filing of this certificate of Conversion to Limited Liability Company the Company's name was Sagent Advisors Inc.

2. The Company filed its original certificate of incorporation with the Secretary of State of the State of Delaware and was first incorporated on September 11, 2003 in the State of Delaware, and was incorporated in the state of Delaware immediately prior to the filing of this Certificate of Conversion to Limited Liability Company.

3. The name of the LLC into which the Company shall be converted as set forth in its certificate of formation is Sagent Advisors, LLC.

4. The conversion of the Company to the LLC has been approved in accordance with the provisions of Sections 228 and 266 of the GCL.

5. The conversion of the Company to the LLC shall be effective as of 12:01 a.m. New York City Time, April 1, 2012.

IN WITNESS WHEREOF, the undersigned have executed this Certificate of Conversion to Limited Liability Company as of the date first-written.

SAGENT ADVISORS INC.

By: _____


Scott D. Kaplan
Chief Financial Officer

CERTIFICATE OF FORMATION

OF

SAGENT ADVISORS, LLC

This Certificate of Formation of Sagent Advisors, LLC (the "LLC"), dated as of March 30, 2012, is being duly executed and filed by the undersigned, as an authorized person, to form a limited liability company under the Delaware Limited Liability Company Act (6 Del.C. §18-101, et seq.).

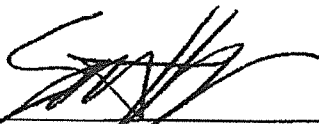
FIRST. The name of the limited liability company is Sagent Advisors, LLC.

SECOND. The address of the registered office of the LLC in the State of Delaware is c/o The Corporation Trust Company, Corporation Trust Center, 1209 Orange Street, City of Wilmington, County of New Castle, Delaware 19801.

THIRD. The name and address of the registered agent for service of process on the LLC in the State of Delaware is c/o The Corporation Trust Company, Corporation Trust Center, 1209 Orange Street, City of Wilmington, County of New Castle, Delaware 19801.

FOURTH. This Certificate of Formation shall be effective as of 12:01 a.m. New York City Time, April 1, 2012.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Formation as of the date first above written.



Scott D. Kaplan
Authorized Person