

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM346968

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	SECURITY INTEREST		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Maxwell Technologies, Inc.		07/03/2015	CORPORATION: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	East West Bank		
<b>Street Address:</b>	555 Montgomery Street, 9th Floor		
<b>Internal Address:</b>	Attn: Alexis Coyle		
<b>City:</b>	San Francisco		
<b>State/Country:</b>	CALIFORNIA		
<b>Postal Code:</b>	94111		
<b>Entity Type:</b>	CORPORATION: CALIFORNIA		
<b>PROPERTY NUMBERS Total: 11</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	3213235	CONDIS	
<b>Serial Number:</b>	86407612	DURABLU	
<b>Serial Number:</b>	78133390	RAD-STAK	
<b>Serial Number:</b>	78402010	MAXWELL TECHNOLOGIES	
<b>Serial Number:</b>	78401285	D CELL	
<b>Serial Number:</b>	78133385	XRAY-PAK	
<b>Serial Number:</b>	78132070	BOOSTCAP	
<b>Serial Number:</b>	77358574	SCS750	
<b>Serial Number:</b>	77421526	MAXWELL'S GUARANTEE SUPPLY RADIATION PER	
<b>Serial Number:</b>	75673624	MAXWELL TECHNOLOGIES	
<b>Serial Number:</b>	74684716	RAD-PAK	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	8586385130		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	858-677-1400		
<b>Email:</b>	susan.reynholds@dlapiper.com		
<b>Correspondent Name:</b>	DLA Piper LLP (US)		
<b>Address Line 1:</b>	4365 Executive Drive, Suite 1100		
<b>TRADEMARK</b>			

CH \$290.00 3213235

**Address Line 4:** San Diego, CALIFORNIA 92121

**ATTORNEY DOCKET NUMBER:** 381874-23

**NAME OF SUBMITTER:** Troy Zander

**SIGNATURE:** /s/ Troy Zander

**DATE SIGNED:** 07/06/2015

**Total Attachments: 9**

source=IPSA#page1.tif  
source=IPSA#page2.tif  
source=IPSA#page3.tif  
source=IPSA#page4.tif  
source=IPSA#page5.tif  
source=IPSA#page6.tif  
source=IPSA#page7.tif  
source=IPSA#page8.tif  
source=IPSA#page9.tif

**EXECUTION VERSION**

**INTELLECTUAL PROPERTY SECURITY AGREEMENT**

This Intellectual Property Security Agreement is entered into as of July 3, 2015, by and between EAST WEST BANK ("Bank") and MAXWELL TECHNOLOGIES, INC., a Delaware corporation ("Grantor").

**RECITALS**

A. Bank has agreed to make certain advances of money and to extend certain financial accommodations to Grantor (the "Loans") in the amounts and manner set forth in that certain Loan and Security Agreement by and between Bank and Grantor, dated as of July 3, 2015 (as the same may be amended, modified or supplemented from time to time, the "Loan Agreement"; capitalized terms used herein are used as defined in the Loan Agreement). Bank is willing to make the Loans to Grantor, but only upon the condition, among others, that Grantor shall grant to Bank a security interest in certain Copyrights, Trademarks and Patents to secure the obligations of Grantor under the Loan Agreement.

B. Pursuant to the terms of the Loan Agreement, Grantor has granted to Bank a security interest in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Loan Agreement and all other agreements now existing or hereafter arising between Grantor and Bank, Grantor hereby represents, warrants, covenants and agrees as follows:

**AGREEMENT**

To secure its obligations under the Loan Agreement and under any other agreement now existing or hereafter arising between Grantor and Bank, Grantor grants and pledges to Bank a security interest in all of Grantor's right, title and interest in, to and under its Intellectual Property Collateral (including, without limitation, those Copyrights, Patents and Trademarks listed on Exhibits A, B and C hereto), and including without limitation all proceeds thereof (such as, by way of example but not by way of limitation, license royalties and proceeds of infringement suits), the right to sue for past, present and future infringements, all rights corresponding thereto throughout the world and all re-issues, divisionals, continuations, renewals, extensions and continuations-in-part thereof.

This security interest is granted in conjunction with the security interest granted to Bank under the Loan Agreement. The rights and remedies of Bank with respect to the security interest granted hereby are in addition to those set forth in the Loan Agreement and the other Loan Documents, and those which are now or hereafter available to Bank as a matter of law or equity. Each right, power and remedy of Bank provided for herein or in the Loan Agreement or any of the Loan Documents, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein and the exercise by Bank of any one or more of the rights, powers or remedies provided for in this Intellectual Property Security Agreement, the Loan Agreement or any of the other Loan Documents, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, including Bank, of any or all other rights, powers or remedies.

Grantor represents and warrants that Exhibits A, B, and C attached hereto set forth any and all intellectual property rights in connection to which Grantor has registered or filed an application with either the United States Patent and Trademark Office or the United States Copyright Office, as applicable.

This Agreement may be executed in two or more counterparts, each of which shall be deemed an original but all of which together shall constitute the same instrument.

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:

MAXWELL TECHNOLOGIES, INC.

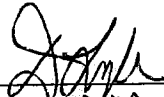
Address of Grantor:

3888 Calle Fortunada  
San Diego, CA 92123  
Attn: Chief Executive Officer

By: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

  
\_\_\_\_\_  
DAVID SUE  
\_\_\_\_\_  
CFO

BANK:

EAST WEST BANK

Address of Bank:

555 Montgomery Street, 9th Floor  
San Francisco, CA 94111  
Attn: Alexis Coyle

By: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

*[Signature Page to Intellectual Property Security Agreement]*

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:

Address of Grantor:

3888 Calle Fortunada  
San Diego, CA 92123  
Attn: Chief Executive Officer

MAXWELL TECHNOLOGIES, INC.

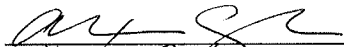
By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

BANK:

Address of Bank:

555 Montgomery Street, 9th Floor  
San Francisco, CA 94111  
Attn: Alexis Coyle

EAST WEST BANK

By:   
Name: Alexis Coyle  
Title: Managing Director

*[Signature Page to Intellectual Property Security Agreement]*

EXHIBIT A

Copyrights

Description

Registration Number

Registration Date

None

EXHIBIT B

Patents

<u>Description</u>	<u>Patent/App. No.</u>	<u>Issue/ File Date</u>
Device for accumulating electrical energy composed of a winding of superimposed strips and method of production	EP1202299	10/25/00
Device for accumulating electrical energy composed of a winding of superimposed strips and method of production	6,525,924	2/25/03
Electrochemical double layer capacitor having carbon power electrodes	6,627,252	9/30/03
Electrochemical Double Layer Capacitor Having Carbon Powder Electrodes	6,631,074	11/1/01
Energy storage device having a separator blocking parasitic ions	7,532,455	5/12/09
Radiation shielding of plastic integrated circuits	5,825,042	10/20/98
Radiation shielding of three dimensional multi-chip modules	5,880,403	3/9/99
Radiation shielding of three dimensional multi-chip modules	6,262,362	7/17/01
Radiation shielding of three dimensional multi-chip modules	EP0786142	10/4/95
Radiation induced single event latchup protection and recovery of integrated circuits	6,064,555	5/16/00
Electronic device packaging	6,368,899	4/9/02
Electronic device packaging	6,963,125	11/8/05
Method of making a multi-electrode double layer capacitor having single electrolyte seal and aluminum-impregnated carbon cloth electrodes	6,585,152	7/1/03
High efficiency electronic load	6,614,231	9/2/03
Electrochemical double layer capacitor having carbon powder electrodes	6,643,119	11/4/03
Electrochemical double layer capacitor having carbon powder electrodes	6,813,139	11/2/04
Electrochemical Double Layer Capacitor Having Carbon Powder Electrodes	6,955,694	10/18/05
Electrochemical Double Layer Capacitor Having Carbon Powder Electrodes	6,804,108	10/12/04
Ultrasonic sealed fill hole	7,511,941	3/31/09
Thermal interconnection for capacitor systems	7,511,942	3/31/09
Coupling of Cell to Housing	7,492,574	2/24/09
Sealed electro-technical device comprising two sealing joints and methods therefor	7,576,972	8/18/09
Method of making an article of manufacture for an ultracapacitor electrode apparatus	7,580,243	8/25/09
Self-correcting computer	7,467,326	12/16/08
Cache coherency during resynchronization of self-correcting computer	7,415,630	8/19/08
Cache coherency during resynchronization of self-correcting computer	7,613,948	11/3/09
Self-correcting computer	7,890,799	2/15/11
Apparatus and method for cold sparing in multi-board computer systems	7,673,186	3/2/10
Apparatus for shielding integrated circuit devices	7,696,610	4/13/10

<u>Description</u>	<u>Patent/App. No.</u>	<u>Issue/ File Date</u>
Apparatus for shielding integrated circuit devices	8,018,739	9/13/11
Composite electrode and method for fabricating same	7,090,946	8/15/06
Composite electrode and method of fabricating same	7,722,686	5/25/10
Charge balancing circuit	6,806,686	10/19/04
Multi-electrode double layer capacitor having hermetic electrolyte seal	6,842,330	1/11/05
Method of Making Multi-Electrode Double Layer Capacitor Having Hermetic Electrolyte Seal	7,090,706	8/15/06
Method of Making Multi-Electrode Double Layer Capacitor Having Hermetic Electrolyte Seal	7,407,520	8/5/08
Method of Making Multi-Electrode Double Layer Capacitor Having Hermetic Electrolyte Seal	7,116,545	3/9/05
Common pole capacitor housing apparatus and method	6,952,338	10/4/05
Capacitor heat protection	7,016,177	3/21/04
Capacitor heat reduction apparatus and method	7,027,290	4/11/06
Electrode impregnation and bonding	7,102,877	9/5/06
Electrical energy storage devices with separator between electrodes and methods for fabricating the devices	7,920,371	8/2/09
HV capacitor and testing method	7,170,738	1/30/07
HV Capacitor and testing method	7,483,257	1/27/90
Capacitor start-up apparatus and method with fail safe short circuit protection	7,180,277	2/20/07
Capacitor start-up apparatus and method with fail safe short circuit protection	7,880,449	2/1/11
Self-supporting capacitor structure	7,180,726	2/20/07
Electrode design	7,227,737	6/5/07
Dry-particle based adhesive and dry film and methods of making same	8,815,443	8/26/14
Dry Particle Based Adhesive and Dry Film and Methods of Making Same	14/466,855	8/22/14
Dry particle based adhesive electrode and methods of making same	7,295,423	11/13/07
Capacitor with battery form factor housing	7,307,830	12/11/07
A method of processing high voltage capacitors	7,325,285	2/5/08
Method of processing high voltage capacitors	8,110,011	2/7/12
Recyclable dry particle based adhesive electrode and methods of making same	7,342,770	3/11/08
Recyclable dry-particle based adhesive electrode and methods of making same	12/042,935	3/5/08
Energy storage system	7,345,454	3/18/08
Dry particle based capacitor and methods of making same	7,352,558	4/1/08
Dry particle based energy storage device product	7,791,861	9/7/10
Dry particle based energy storage device product	8,072,734	12/6/11
Method and apparatus for shielding an integrated circuit from radiation	7,382,043	6/3/08
Densification of compressible layers during electrode lamination	7,384,433	6/10/08
Method of manufacturing an electrode product	7,883,553	2/8/11
Method of manufacturing an electrode or capacitor product	7,935,155	5/3/11
Method of making, apparatus, and article of manufacturing for an electrode termination contact interface	7,433,174	10/7/08
System and method for effectively implementing an immunity mode in an electronic device	7,437,599	10/14/08



<u>Description</u>	<u>Patent/App. No.</u>	<u>Issue/ File Date</u>
Thermal interconnects for coupling energy storage devices	7,440,258	10/21/08
Thermal interconnects for coupling energy storage devices	7,859,826	12/28/10
Particle based electrodes and methods of making same	7,791,860	9/7/10
Particle Based Electrodes and Methods of Making Same	7,492,571	2/17/09
Particle based electrodes and methods of making same	8213156	7/3/12
Error detection and correction method and system for memory devices	7,475,326	1/6/09
Particle based electrodes and methods of making same	7,791,860	9/7/10
Ultracapacitor electrode with controlled sulfur content	7,811,337	10/12/10
Active voltage management system for energy storage device	7,816,891	10/19/10
Methods and apparatus for managing and controlling power consumption and heat generation in computer systems	8,032,889	10/4/11
Methods and apparatus for managing and controlling power consumption and heat generation in computer systems	8,661,446	2/25/14
Energy storage device having a collector plate	8,092,934	1/12/12
Energy storage device	8,098,481	1/17/12
Electrode for energy storage device	8,279,580	10/2/12
Electrode for Energy Storage Device with Microporous and Mesoporous Activated Carbon Particles	8,591,601	11/26/13
Low-inductive impedance, thermally decoupled, radii-modulated electrode core	8,518,573	8/27/13
Dry Particle Based Electrodes and Methods of Making Same	7,508,651	3/24/09
Ultracapacitor module assembly design	D571294	6/17/08
Ultracapacitor module assembly design	D571295	6/17/08
Energy storage systems and methods	13/574,706	7/23/12
Systems and methods for managing a degraded state of a capacitor system	13/675,924	11/13/12
Ultracapacitor and integrated battery combination	13/797,099	3/12/13
Ultracapacitor and battery device with standard form factor	13/797,358	3/12/13
Ultracapacitor/battery combination and bus bar system	13/797,496	3/12/13
Ultracapacitor and battery combination with electronic management system	13/797,545	3/12/13
Maximizing life of capacitors in series nodules	13/806,085	12/20/13
Electrolyte for three-volt ultracapacitor	14/047,593	10/7/13
Coated housing for ultracapacitor	14/047,798	10/7/13
Carbon surface modification for three-volt ultracapacitor	14/047,818	10/7/13
Electrode porosity for three-volt ultracapacitor	14/047,860	10/7/13
Electrode graphite film and electrode divider ring for an energy storage device	14/208288	3/13/14
Collector plate for energy storage device and methods of manufacturing	14/246661	4/7/14
Energy storage device with enhanced energy density	14/303511	6/12/14
Method for self aligning electrode	7851238	2/24/09
System, Method and Apparatus for Error Correction in Multi-Processor Systems	8930753	1/6/15
Processor Power and Thermal	14188583	2/24/2014
Anode Lithiation Through Constant Voltage or Constant Current Charge	14258784	4/22/14
Dimple to prevent swelling of pouch cells	14599949	1/15/2015
Conical insulation for capacitor active parts	14/644,015	3/11/14
Fail safe starting system for combustion engines	14/692116	4/22/14

<u>Description</u>	<u>Patent/App. No.</u>	<u>Issue/ File Date</u>
LiC anode formulations for Li ion capacitor applications	14/680,834	4/7/15
Cooling of LIC Cell Pack	14/680,735	4/7/15
Energy Storage Electrodes and Associated Methods	14/690153	4/18/15
Zion Klondike Design Patent 2	29/519359	4/7/15
Cell Module Form Factor	29/509,263	11/14/14
Composite binder for LIC and LIB, PTFE Plus	61/981,602	
Coated Current Collector for Three Volt Ultracapacitor	61/929,241	
Series string bi-directional voltage support	62/066,733	
Use of laminated bus bars for ultracapacitors cells pack	62/060,332	
Systems and Methods for Improving Cell Balancing and Cell Failure Detection	62/128,315	
Parallel string voltage support	62/145,746	
System and Methods for Improved Starting of Combustion Engines II	62/145782	
Electrode for Three-Volt Ultracapacitor with Metallic Flakes	62/117,341	
Aluminum Alloy for Ultracapacitor Cell	62/136,332	
Ultra Capacitor Finned Interconnect	62/145987	

EXHIBIT C

Trademarks

<u>Description</u>	<u>Serial/Registration No.</u>	<u>File Date</u>
DURABLU	86407612	9/26/14
RAD-STAK	78133390	6/5/02
MAXWELL TECHNOLOGIES	78402010	4/14/04
D CELL	78401285	4/13/04
XRAY-PAK	78133385	6/5/02
BOOSTCAP	78132070	5/30/02
SCS750	77358574	12/21/07
MAXWELL'S GUARANTEE SUPPLY RADIATION PERFORMANCE	77421526	3/13/08
MAXWELL TECHNOLOGIES	75673624	4/2/99
RAD-PAK	74684716	6/5/95
CONDIS	3213235	5/5/06