

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM348696

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	Notice of Grant of Security Interest in Trademarks		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
United Capital Financial Partners, Inc.		07/17/2015	CORPORATION: DELAWARE
RECEIVING PARTY DATA			
Name:	SunTrust Bank, as Administrative Agent		
Street Address:	3333 Peachtree Road, NE		
Internal Address:	Mail Code: GA-ATL-1980		
City:	Atlanta		
State/Country:	GEORGIA		
Postal Code:	30326		
Entity Type:	CORPORATION: GEORGIA		
PROPERTY NUMBERS Total: 7			
Property Type	Number	Word Mark	
Registration Number:	4318136	MONEY MIND	
Registration Number:	4331164	ONE BEST FINANCIAL LIFE	
Registration Number:	3247448	FCS	
Registration Number:	3302570	FINANCIAL CONTROL SCORECARD	
Registration Number:	4081117	HONEST CONVERSATIONS	
Registration Number:	3910458	UNITED CAPITAL PRIVATE WEALTH COUNSELING	
Registration Number:	3879750		
CORRESPONDENCE DATA			
Fax Number:	9192868199		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	919 286-8000		
Email:	PTO_TMconfirmation@mvalaw.com		
Correspondent Name:	MOORE & VAN ALLEN PLLC		
Address Line 1:	3015 CARRINGTON MILL BOULEVARD		
Address Line 2:	SUITE 400		
Address Line 4:	MORRISVILLE, NORTH CAROLINA 27560		
ATTORNEY DOCKET NUMBER:	410643.110		

OP \$190.00 4318136

NAME OF SUBMITTER:	John E. Slaughter
SIGNATURE:	/John E. Slaughter/
DATE SIGNED:	07/21/2015
Total Attachments: 4 source=NGSI-T#page1.tif source=NGSI-T#page2.tif source=NGSI-T#page3.tif source=NGSI-T#page4.tif	

NOTICE
OF
GRANT OF SECURITY INTEREST
IN
TRADEMARKS

United States Patent and Trademark Office

Ladies and Gentlemen:

Please be advised that pursuant to the Security and Pledge Agreement dated as of July 17, 2015 (as the same may be amended, modified, extended or restated from time to time, the "Agreement") by and among the Obligors party thereto (each an "Obligor" and collectively, the "Obligors") and SunTrust Bank, as Administrative Agent (the "Administrative Agent") for the holders of the Secured Obligations referenced therein, the undersigned Obligor has granted a continuing security interest in and continuing lien upon the trademarks and trademark applications set forth on Schedule I hereto to the Administrative Agent for the ratable benefit of the holders of the Secured Obligations.

The undersigned Obligor and the Administrative Agent, on behalf of the holders of the Secured Obligations, hereby acknowledge and agree that the security interest in the foregoing trademarks and trademark applications (i) may only be terminated in accordance with the terms of the Agreement and (ii) is not to be construed as an assignment of any trademark or trademark application.

[Signature Pages Follow]

Very truly yours,

UNITED CAPITAL FINANCIAL PARTNERS, INC.,
a Delaware corporation

By: 

Name: Gary L. Roth

Title: Chief Financial Officer

United Capital Financial Partners, Inc.
630 Newport Center Drive, Suite 500
Newport Beach, CA 92660
Attention: Gary L. Roth, Chief Financial Officer
Facsimile: 949.999.8545

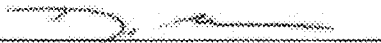
UNITED CAPITAL FINANCIAL PARTNERS, INC.
NOTICE OF GRANT OF SECURITY INTEREST IN TRADEMARKS

TRADEMARK

REEL: 005580 FRAME: 0887

Acknowledged and Accepted:

SUNTRUST BANK, as Administrative Agent

By: 
Name: *David Kennedy*
Title: *Director*

SunTrust Bank
Mail Code GA-ATL-1980
3333 Peachtree Road, NE
South Tower, 7th Floor
Atlanta, GA 30326
Attention: Doug Kennedy
Facsimile: 404-439-7390

UNITED CAPITAL FINANCIAL PARTNERS, INC.
NOTICE OF GRANT OF SECURITY INTEREST IN TRADEMARKS

Schedule 1

United Capital Financial Partners, Inc.
(Delaware Corporation)

U.S. Trademarks

Registered Marks

Mark	Reg. No.	Reg. Date
MONEY MIND	4318136	04/09/13
ONE BEST FINANCIAL LIFE	4331164	05/07/13
FCS	3247448	05/29/07
FINANCIAL CONTROL SCORECARD	3302570	10/02/07
HONEST CONVERSATIONS	4081117	01/03/12
UNITED CAPITAL PRIVATE WEALTH COUNSELING and Design	3910458	01/25/11
Design Only	3879750	11/23/10