## CH \$65.00 435

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 ETAS ID: TM351191 Stylesheet Version v1.2

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: SECURITY INTEREST

## **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Nitinol Devices and Componets, Inc.		08/03/2015	CORPORATION: DELAWARE

## **RECEIVING PARTY DATA**

Name:	Regions Bank, as administrative agent and collateral agent
Street Address:	150 4th Ave. N.
Internal Address:	One Nashville Place
City:	Nashville
State/Country:	TENNESSEE
Postal Code:	37219
Entity Type:	CORPORATION: ALABAMA

## **PROPERTY NUMBERS Total: 2**

Property Type	Number	Word Mark
Registration Number:	4357890	NDC
Registration Number:	4357887	NDC

## **CORRESPONDENCE DATA**

**Fax Number:** 6152482954

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 615-742-7944

**Email:** trademarks@bassberry.com

Correspondent Name: Martha B. Allard and Robert L. Brewer

Address Line 1: 150 3rd Ave. S. Address Line 2: Suite 2800

Address Line 4: Nashville, TENNESSEE 37201

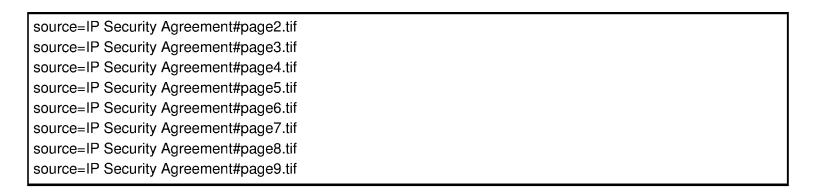
ATTORNEY DOCKET NUMBER:	108000-940
NAME OF SUBMITTER:	Martha B. Allard
SIGNATURE:	/Martha B. Allard/
DATE SIGNED:	08/12/2015

**Total Attachments: 9** 

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TRADEMARK REEL: 005598 FRAME: 0395

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## INTELLECTUAL PROPERTY SECURITY AGREEMENT

**THIS INTELLECTUAL PROPERTY SECURITY AGREEMENT**, dated as of August 3, 2015 (as amended, supplemented or otherwise modified from time to time, the "<u>Intellectual Property Security Agreement</u>"), is made by the undersigned (the "<u>Grantors</u>") in favor of Regions Bank ("<u>Regions Bank</u>"), as administrative agent for itself and the Lenders (in such capacity, the "<u>Administrative Agent</u>") under the Credit Agreement.

## RECITALS

WHEREAS, pursuant to that certain Credit Agreement of even date herewith by and among NITINOL DEVICES AND COMPONENTS, INC., a Delaware corporation ("Borrower"), REGIONS BANK, as administrative agent and collateral agent (in such capacities, the "Administrative Agent") for the banks and other financial institutions or entities (the "Lenders") from time to time parties thereto (as the same may be amended, restated, supplemented or otherwise modified from time to time, the "Credit Agreement") and pursuant to that certain Guarantee and Collateral Agreement of even date herewith by and among Borrower, certain Subsidiaries of Borrower and Administrative Agent for the Lenders from time to time parties thereto (as the same may be amended, restated, supplemented or otherwise modified from time to time, the "Guarantee and Collateral Agreement"); capitalized terms not otherwise defined herein and not otherwise noted parenthetically as being defined in other agreements shall have the meanings set forth in the Credit Agreement, the Lenders have agreed to make certain extensions of credit to the Borrower under the Credit Agreement, all as more specifically described therein;

WHEREAS, it is a condition precedent to the obligation of the Lenders to make their respective extensions of credit to the Borrower under the Credit Agreement that the Grantors execute and deliver this Intellectual Property Security Agreement to the Administrative Agent for recording with the United States Patent and Trademark Office and the United States Copyright Office; and

WHEREAS, the Grantors desire to execute this Intellectual Property Security Agreement to satisfy the condition described in the preceding paragraph.

## **AGREEMENT**

**NOW, THEREFORE,** for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Grantors agree as follows:

SECTION 1. <u>Grant of Security</u>. The Grantors hereby grant to the Administrative Agent, for the ratable benefit of the Lenders, a security interest in and to all of Grantors' right, title and interest in and to the following (the "<u>Intellectual Property Collateral</u>"), as collateral security for the prompt and complete payment and performance when due (whether at the stated

maturity, by acceleration or otherwise) of the Grantors' Obligations (as defined in the Guarantee and Collateral Agreement):

- (1) all trademarks, trade names, corporate names, company names, business names, fictitious business names, trade styles, service marks, logos and other source or business identifiers, and all goodwill associated therewith, now existing or hereafter adopted or acquired, all registrations and recordings thereof, and all applications in connection therewith (other than any "intent to use" trademark application for which a statement of use has not yet been filed (but only until such statement is filed with, and accepted by, the United States Patent and Trademark Office or the equivalent thereof in any jurisdiction)), including, without limitation, each trademark registration and application for trademark registration identified in Schedule 1, (2) the right to obtain all renewals thereof, (3) the right to sue or otherwise recover for any and all past, present and future infringements or misappropriations thereof, (4) all income, royalties, damages and other payments now and hereafter due and/or payable with respect thereto (including, without limitation, payments under all licenses entered into in connection therewith, and damages and payments for past, present or future infringements thereof), and (5) all other rights of any kind whatsoever of the Grantors accruing thereunder or pertaining thereto, together in each case with the goodwill of the business connected with the use of, and symbolized by, each of the above (collectively, the "Trademarks");
- (b) (1) all letters patent, all reissues and extensions thereof, including, without limitation, any of the foregoing identified in <u>Schedule 2</u>, (2) all applications for letters patent and all divisions, continuations and continuations-in-part thereof, including, without limitation, any of the foregoing identified in <u>Schedule 2</u>, (3) all rights to obtain any reissues or extensions of the foregoing, (4) the right to sue or otherwise recover for any and all past, present and future infringements or misappropriations thereof, and (5) all income, royalties, damages and other payments now and hereafter due and/or payable with respect thereto (including, without limitation, payments under all licenses entered into in connection therewith, and damages and payments for past, present or future infringements thereof) (collectively, the "<u>Patents</u>");
- (c) (1) all copyrights, whether registered or unregistered and whether published or unpublished, all registrations and recordings thereof, and all applications in connection therewith, including, without limitation, all copyright registrations, and applications for copyright registrations identified in Schedule 3, (2) the right to obtain all renewals thereof, (3) the rights to print, publish and distribute any of the foregoing, (4) the right to sue or otherwise recover for any and all past, present and future infringements or misappropriations thereof, (5) all income, royalties, damages and other payments now and hereafter due and/or payable with respect thereto (including, without limitation, payments under all licenses entered into in connection therewith, and damages and payments for past, present or future infringements thereof), and (6) all other rights of any kind whatsoever of the Grantors accruing thereunder or pertaining thereto (collectively, the "Copyrights");
- (d) (1) all Patent Licenses, Trademark Licenses, and Copyright Licenses, as defined in the Guarantee and Collateral Agreement (except to the extent any such Patent License, Trademark License or Copyright License constitutes Excluded Intellectual Property, as defined in the Guarantee and Collateral Agreement (2) the right to sue or otherwise recover for any and all past, present and future infringements or misappropriations of any of the foregoing, (3) all

income, royalties, damages and other payments now and hereafter due and/or payable with respect thereto (including, without limitation, payments under all licenses entered into in connection therewith, and damages and payments for past, present or future infringements thereof), and (4) all other rights of any kind whatsoever of the Grantors accruing thereunder or pertaining thereto; and

- (e) any and all proceeds of the foregoing.
- SECTION 2. <u>RECORDATION</u>. The Grantors authorize and request that the Register of Copyrights, the Commissioner of Patents and Trademarks and any other applicable government officer record this Intellectual Property Security Agreement.
- SECTION 3. <u>EXECUTION IN COUNTERPARTS</u>. This Intellectual Property Security Agreement may be executed in any number of counterparts (including by facsimile or other electronic transmission), each of which when so executed shall be deemed to be an original and all of which taken together shall constitute one and the same agreement.

SECTION 4. GOVERNING LAW. UNLESS OTHERWISE EXPRESSLY SET FORTH HEREIN, THIS INTELLECTUAL PROPERTY SECURITY AGREEMENT AND THE RIGHTS AND OBLIGATIONS OF THE PARTIES HEREUNDER SHALL BE GOVERNED BY, AND CONSTRUED AND INTERPRETED IN ACCORDANCE WITH, THE LAW OF THE STATE OF NEW YORK (INCLUDING SECTIONS 5-1401 AND 5-1402 OF THE GENERAL OBLIGATIONS LAW OF THE STATE OF NEW YORK) WITHOUT REFERENCE TO THE CONFLICTS OR CHOICE OF LAW PRINCIPLES THEREOF OTHER THAN SUCH SECTION 5-1401, EXCEPT TO THE EXTENT THAT THE LAWS OF A PARTICULAR JURISDICTION OTHER THAN THE STATE OF NEW YORK GOVERN THE PERFECTION, PRIORITY OR ENFORCEMENT OF LIENS ON AND SECURITY INTERESTS IN THE COLLATERAL.

SECTION 5. <u>CONFLICT PROVISION</u>. This Intellectual Property Security Agreement has been entered into in conjunction with the provisions of the Credit Agreement and the Guarantee and Collateral Agreement. The rights and remedies of each party hereto with respect to the security interest granted herein are without prejudice to, and are in addition to those set forth in the Guarantee and Collateral Agreement and the Credit Agreement, all terms and provisions of which are incorporated herein by reference. In the event that any provisions of this Intellectual Property Security Agreement are in conflict with the Guarantee and Collateral Agreement or the Credit Agreement, the provisions of the Guarantee and Collateral Agreement or the Credit Agreement shall govern.

[Signature Page Follows]

IN WITNESS WHEREOF, the undersigned Grantors have caused this Intellectual Property Security Agreement to be duly executed and delivered as of the date first above written.

NITINOL DEVICES AND COMPONENTS,

INC.

Name: Dean Schauer

Title: Chief Executive Officer

INTERFACE ASSOCIATES, INC.

Name: Dean Schauer

Title: Chief Executive Officer

**REEL: 005598 FRAME: 0400** 

## Schedule 1 to Intellectual Property Security Agreement

# REGISTERED TRADEMARKS AND TRADEMARK APPLICATIONS

OwnerMarkSerial No. / Registration No.Application/ Registration DateNitinol Devices and Components, Inc.NDC85767165/4357890June 25, 2013Nitinol Devices and Components, Inc.\$5767158/4357887June 25, 2013Nitinol Devices and Components, Inc.\$1168119/1168119April 29, 2013Nitinol Devices and Components, Inc.\$1168280/1168280April 29, 2013		, , ,			Components, Inc.	Components, Inc.
OwnerMarkSerial No. / Registration No.Application/ Registration DateNitinol Devices and Components, Inc.NDC85767165/ 4357890June 25, 2013Nitinol Devices and Components, Inc.\$5767158/ 4357887June 25, 2013Nitinol Devices and Components, Inc.\$1168119/ 1168119April 29, 2013	WIPO	April 29, 2013	1168280/ 1168280		Components, Inc.  Nitinol Devices and	Components, Inc.  Nitinol Devices and
OwnerMarkSerial No. / Registration No.Application/ Registration DateNitinol Devices and Components, Inc.NDC85767165/ 4357890June 25, 2013Nitinol Devices and Components, Inc.85767158/ 4357887June 25, 2013	WIPO		1168119/ 1168119	NDC	Nitinol Devices and	Nitinol Devices and
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OwnerMarkSerial No. / Registration No.Application/ Registration DateNitinol Devices and Components, Inc.NDC85767165/ 4357890June 25, 2013Nitinol Devices and85767158/ 4357887June 25, 2013					Components, Inc.	Components, Inc.
OwnerMarkSerial No. / Registration No.Application/ Registration DateNitinol Devices and Components, Inc.NDC85767165/4357890June 25, 2013	U.S.	June 25, 2013	85767158/ 4357887		Nitinol Devices and	Nitinol Devices and
OwnerMarkSerial No. / Registration No.Application/ Registration DateNitinol Devices andNDC85767165/ 4357890June 25, 2013					Components, Inc.	Components, Inc.
Owner Mark Serial No. / Application/ Registration No. Registration Date	U.S.	June 25, 2013	85767165/4357890	NDC	Nitinol Devices and	Nitinol Devices and
Application/		Registration Date	Registration No.	Mark	Owner	Loan Party
	Jurisdiction		Serial No. /			

## Schedule 2 to Intellectual Property Security Agreement

## REGISTERED PATENTS AND PATENT APPLICATIONS

Nitinol Devices and Components, Inc.

Pending U.S. Patent Applications

Ref. No.	Title	Inventors	Serial No.	Filing Date
10813-	ALTERNATING	Andrea Seba Les	13/100,132	5/3/11
705.500	CIRCUMFERENTIAL BRIDGE	Craig Bonsignore		
	STENT DESIGN AND	Stephen J.		
	METHODS FOR USE	Kleshinski		
	THEREOF			
10813-	METHOD FOR	Christine Trepanier	13/600,149	8/30/12
708.200	MANUFACTURING	Dieter Stoeckel		
	CYLINDRICAL NITINOL	Lot Vien		
	OBJECTS FROM SHEET	Payman Saffari		
		Thomas Duerig		
10813-	TISSUE TREATMENT	Christopher P.	13/656,601	10/19/12
709.200	DEVICE AND RELATED	Cheng		
	METHODS	Stephen J.		
		Kleshinski		
10813-	NITINOL DEVICES HAVING	Kelly A. Pike	62/115,564	2/12/15
711.100	ENHANCED DURABILITY	Maximillien E.		
		Launey		
		Thomas Duerig		

Interface Associates, Inc.:

Issued U.S. Patents

			pplications	Pending U.S. Patent Applications
10-29-2013	8,568,648	Josef J. Stupecky Eric Mabry	METHODS FOR MANUFACTURING MULTI-LAYER BALLOONS FOR MEDICAL APPLICATIONS	INAS.011D1
05-17-2011	7,942,847	Josef J. Stupecky Eric Mabry	MULTI-LAYER BALLOONS FOR MEDICAL APPLICATIONS AND METHODS FOR MANUFACTURING THE SAME	INAS.011A
08-25-2009	7,578,165	Josef J. Stupecky	MEASUREMENT APPARATUS AND METHODS FOR BALLOON CATHETERS	INAS.009A
09-04-2012	8,257,074	Josef J. Stupecky	BALLOON CATHETER FOLDING AND WRAPPING DEVICES AND METHODS	INAS.004C1
07-27-2010	7,762,804	Josef J. Stupecky	BALLOON CATHETER FOLDING AND WRAPPING DEVICES AND METHODS	INAS.004A
02-07-2006	6,993,953	Josef J. Stupecky	SWAGING DIE AND METHOD OF USE	INAS.003A
03-14-2006	7,010,953	Josef J. Stupecky	SWAGING MACHINE AND METHOD OF USE	Reference No. INAS.002A

INAS.026A

GRIPPING SYSTEM FOR STRAIGHTENING A BALLOON

David E. Yanes Mabry

Eric Malik

14/265,967

04-30-2014

Sumnani

CATHETER DURING FLUTING

MULTI-LAYER BALLOONS FOR MEDICAL APPLICATIONS

Title
METHODS FOR MANUFACTURING

Josef J. Stupecky

Eric

**Serial No.** 14/065,243

Filing Date 10-28-2013

Mabry

Listed Inventors

INAS.011C1

Reference No.

## INAS.030PR INAS.029PR Reference No. **BALLOONS** NESTED BALLOONS FOR MEDICAL APPLICATIONS AND METHODS FOR IMPROVED METHOD FOR NESTING MANUFACTURING THE SAME Title Eric Mabry F. Tonge Josef J. Stupecky Kevin Justin Herrera Listed Inventors Mabry Matthew Don Ngo-Chu Eric 62/023,062 62/069,303 Serial No. 07-10-2014 10-27-2014 Filing Date

## Pending Foreign Applications

Reference No.	Title	Listed Inventors	Serial No.	Filing Date
INAS.011VEP (Europe)	MULTI-LAYER BALLOONS FOR MEDICAL APPLICATIONS AND METHODS FOR MANUFACTURING THE SAME	Josef J. Stupecky Eric Mabry	06845731.6	12-15-2006
INAS.026WO (PCT)	GRIPPING SYSTEM FOR STRAIGHTENING A BALLOON CATHETER DURING FLUTING	David E. Yanes Eric Mabry Malik Sumnani	PCT/US14/036259	04-30-2014

## **Schedule 3 to Intellectual Property Security Agreement**

## REGISTERED COPYRIGHTS AND COPYRIGHT APPLICATIONS

None.

TRADEMARK REEL: 005598 FRAME: 0405

**RECORDED: 08/12/2015**