# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

ETAS ID: TM359900

**SUBMISSION TYPE: NEW ASSIGNMENT** 

**NATURE OF CONVEYANCE:** Security Agreement

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
SRA Insurance Agency, LLC, as Grantor		10/22/2015	LIMITED LIABILITY COMPANY: KANSAS

### **RECEIVING PARTY DATA**

Name:	Bank of America, N.A., as Collateral Agent		
Street Address:	101 N. Tryon St, Mail Code NC1-007-17-15		
City:	Charlotte		
State/Country:	NORTH CAROLINA		
Postal Code:	28255		
Entity Type:	Association: UNITED STATES		

# **PROPERTY NUMBERS Total: 1**

Property Type	Number	Word Mark
Registration Number:	4665010	CHAPPLE INSURANCE GROUP

## **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

michael.barys@thomsonreuters.com Email:

**Correspondent Name:** Ken Tan, Legal Assistant

80 Pine Street Address Line 1:

Address Line 2: c/o Cahill Gordon & Reindal LLP New York, NEW YORK 10005 Address Line 4:

NAME OF SUBMITTER:	Ken Tan, Legal Assistant	
SIGNATURE:	/Michael Barys/	
DATE SIGNED:	10/26/2015	

#### **Total Attachments: 7**

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# RECORDATION FORM COVER SHEET TRADEMARKS ONLY

To the Director of the U. S. Patent and Trademark Office: Pleas	se record the attached documents or the new address(es) below.	
Name of conveying party(ies):  SRA Insurance Agency, LLC, as Grantor	2. Name and address of receiving party(ies)  Additional names, addresses, or citizenship attached?  No  Name: Bank of America, N.A., as Collateral Agent	
Individual(s) Association Partnership Limited Partnership Corporation- State:  Other Limited Liability Company Citizenship (see guidelines) USA - Kansas Additional names of conveying parties attached? Yes No	Street Address: 101 N. Tryon Street, Mail Code NC1-007-17- City: Charlotte State: North Carolina Country: USA Zip: 28255  Individual(s) Citizenship  X Association Citizenship USA	
3. Nature of conveyance/Execution Date(s):  Execution Date(s) October 22, 2015  Assignment Merger  Security Agreement Change of Name  Other	Partnership Citizenship  Limited Partnership Citizenship  Corporation Citizenship  Other  Citizenship  If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  (Designations must be a separate document from assignment)	
4. Application number(s) or registration number(s) and A. Trademark Application No.(s)  C. Identification or Description of Trademark(s) (and Filing	B. Trademark Registration No.(s)  See Schedule A  Additional sheet(s) attached?  Yes No	
5. Name & address of party to whom correspondence concerning document should be mailed: Name: Ken Tan, Legal Assistant	6. Total number of applications and registrations involved:	
Internal Address:	7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$	
Street Address: c/o Cahill Gordon & Reindel LLP  80 Pine Street	Authorized to be charged to deposit account Enclosed	
City: New York	8. Payment Information:	
State: New York Zip: 10005		
Phone Number: (212) 701-3804	Day of Assessment Name to an	
Docket Number: 08061.0986	Deposit Account Number	
Email Address: KTan@cahill.com	Authorized User Name	
9. Signature:	10/23/2015	
Signature	Date	
Ken Tan	Total number of pages including cover sheet, attachments, and document: 7	
Name of Person Signing	ances, anacimanta, and document.	

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Branch, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

#### FIRST LIEN TRADEMARK SECURITY AGREEMENT

This FIRST LIEN TRADEMARK SECURITY AGREEMENT (as amended, amended and restated, supplemented or otherwise modified from time to time, the "<u>Trademark Security Agreement</u>") dated October 22, 2015, is made by SRA INSURANCE AGENCY, LLC, a Kansas Limited Liability Company (the "<u>Grantor</u>") in favor of Bank of America, N.A., as Collateral Agent (the "<u>Collateral Agent</u>") for the Secured Parties. Capitalized terms used herein and not otherwise defined herein shall have the meanings assigned to such terms in the Credit Agreement and the Security Agreement referred to therein.

WHEREAS, Dolphin Midco, Inc., Dolphin Merger Sub, Inc., as the Borrower, which on the Closing Date shall be merged with and into AssuredPartners, Inc. (with AssuredPartners, Inc. as the surviving entity and the "Borrower"), BANK OF AMERICA, N.A. as Administrative Agent, Collateral Agent, L/C Issuer and Swing Line Lender (the "Administrative Agent") and each lender from time to time party thereto (collectively, the "Lenders" and, individually, a "Lender") have entered into the Credit Agreement dated October 22, 2015 (as amended, amended and restated, supplemented or otherwise modified from time to time, the ("Credit Agreement"), pursuant to which the Lenders have agreed to extend credit to the Borrower, the Hedge Banks have agreed to enter into the Secured Hedge Agreements and the Cash Management Banks have agreed to enter into the Cash Management Agreements, in each subject to the terms and conditions set forth in the Credit Agreement.

WHEREAS, in connection with the Credit Agreement, the Grantor has entered into the First Lien Security Agreement dated October 22, 2015 (as amended, amended and restated, supplemented or otherwise modified from time to time, the "Security Agreement") in order to induce the Lenders to extend credit, of the Hedge Banks to enter into the Secured Hedge Agreements and of the Cash Management Banks to enter into the Cash Management Agreements.

WHEREAS, under the terms of the Security Agreement, the Grantor has granted to the Collateral Agent, for the ratable benefit of the Secured Parties, a security interest in, among other property, certain intellectual property of the Grantor, and has agreed as a condition thereof to execute this Trademark Security Agreement for recording with the U.S. Patent and Trademark Office.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Grantor agrees as follows:

SECTION 1. <u>Grant of Security.</u> Grantor hereby grants to the Collateral Agent for the ratable benefit of the Secured Parties a security interest in all of Grantor's right, title and interest in and to the following (the "<u>Collateral</u>"):

(a) the United States registered Trademarks (as defined in the Security Agreement) and Trademarks for which United States applications are pending set forth in Schedule A hereto.

SECTION 2. <u>Recordation</u>. This Trademark Security Agreement has been executed and delivered by the Grantor for the purpose of recording the grant of security interest herein with the United States Patent and Trademark Office. The Grantor authorizes and requests that the Commissioner for Trademarks record this Trademark Security Agreement.

SECTION 3. <u>Execution in Counterparts.</u> This Trademark Security Agreement may be executed in any number of counterparts, each of which when so executed shall be deemed to be an original and all of which taken together shall constitute one and the same agreement.

SECTION 4. <u>Grants, Rights and Remedies.</u> This Trademark Security Agreement has been entered into in conjunction with the provisions of the Security Agreement. Grantor does hereby acknowledge and confirm that the grant of the security interest hereunder to, and the rights and remedies of, the Collateral Agent with respect to the Collateral are more fully set forth in the Security Agreement, the terms and provisions of which are incorporated herein by reference as if fully set forth herein. In the event of any conflict between the terms of this Trademark Security Agreement and the terms of the Security Agreement, the terms of the Security Agreement shall govern.

SECTION 5. <u>Governing Law.</u> This Trademark Security Agreement shall be governed by, and construed in accordance with, the laws of the State of New York.

SECTION 6. Severability. In case any one or more of the provisions contained in this Trademark Security Agreement should be held invalid, illegal or unenforceable in any respect, the validity, legality and enforceability of the remaining provisions contained herein and in the Security Agreement shall not in any way be affected or impaired thereby (it being understood that the invalidity of a particular provision in a particular jurisdiction shall not in and of itself affect the validity of such provision in any other jurisdiction). The parties hereto shall endeavor in good-faith negotiations to replace the invalid, illegal or unenforceable provisions with valid provisions the economic effect of which comes as close as possible to that of the invalid, illegal or unenforceable provisions.

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IN WITNESS WHEREOF, each Grantor has caused this Short Form IP Security Agreement to be duly executed and delivered by its officer thereunto duly authorized as of the date first above written.

[Signature Page to First Lien Trademark Security Agreement]

SRA INSURANCE AGENCY, LLC,

as Granter

By:

Name: Paul Vred hburg Title: Senior Vice President

[Signature Page to First Lien Trademark Security Agreement]

BANK OF AMERICA, N.A., as Collateral Agent

By:

Name: Chris Joseph Title: Vice President

[Signature Page to First Lien Trademark Security Agreement]

# SCHEDULE A

# <u>United States Trademarks and Trademark Applications</u>

Registered owner/ Grantor	Trademark	Country	Registration No. (Application No.)
SRA Insurance Agency, LLC	CHAPPLE INSURANCE GROUP	USA	4665010

**RECORDED: 10/26/2015**