

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM360275

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
ConvergEx Execution Solutions LLC		10/28/2015	LIMITED LIABILITY COMPANY: DELAWARE
RECEIVING PARTY DATA			
Name:	Convergenx Group, LLC		
Street Address:	1633 Broadway		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10019		
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	3909386	CONVERGEX	
CORRESPONDENCE DATA			
Fax Number:	2124684888		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	2124684800		
Email:	jkatz@dglaw.com		
Correspondent Name:	Jeffrey C. Katz		
Address Line 1:	Davis & Gilbert LLP, 1740 Broadway		
Address Line 4:	New York, NEW YORK 10019		
ATTORNEY DOCKET NUMBER:	027400-0001-000 (AGN JAC)		
NAME OF SUBMITTER:	Jeffrey C. Katz		
SIGNATURE:	/Jeffrey C. Katz/		
DATE SIGNED:	10/28/2015		
Total Attachments: 1			
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TRADEMARK ASSIGNMENT

WHEREAS, ConvergEx Execution Solutions LLC, a limited liability company of the State of Delaware (hereinafter, "Assignor"), located at 1633 Broadway, New York, New York 10019, is the owner of the mark listed below (the "Mark") and the United States Patent and Trademark Office Registration for said Mark:

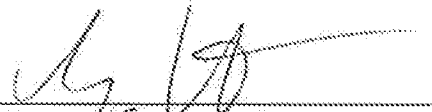
<u>Mark</u>	<u>Registration No.</u>	<u>Registration Date</u>
CONVERGEX	3909386	January 18, 2011

WHEREAS, Convergex Group, LLC, a limited company of the State of Delaware (hereinafter, "Assignee"), located at 1633 Broadway, New York, New York 10019, is desirous of acquiring said Mark and said registration thereof.

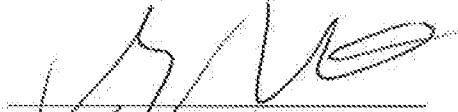
NOW, THEREFORE, for good and valuable consideration, receipt and sufficiency of which is hereby acknowledged, said Assignor does hereby assign unto said Assignee all rights, title and interest in and to said Mark, together with the goodwill of the business symbolized by the Mark, and said registration thereof, and all rights to damages or profits, due or accrued, arising out of past infringement of said Mark or injury to said goodwill, and the right to sue for and recover the same in Assignee's own name.

IN WITNESS WHEREOF, this Assignment has been executed to be effective as of the 28th day of October, 2015.

ConvergEx Execution Solutions LLC

By: 
Name: CEO
Title: Greg Voetsch

Convergex Group, LLC

By: 
Name: Greg Voetsch
Title: ELP