

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM362938

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	Assignment of Intellectual Property Security Interest		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
General Electric Capital Corporation, Retiring Agent		11/17/2015	CORPORATION: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Healthcare Financial Solutions, LLC, Successor Agent		
<b>Street Address:</b>	Two Bethesda Metro Center, Suite 600		
<b>City:</b>	Bethesda		
<b>State/Country:</b>	MARYLAND		
<b>Postal Code:</b>	20814		
<b>Entity Type:</b>	CORPORATION: MARYLAND		
<b>PROPERTY NUMBERS Total: 3</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	3409603	MITRALIGN	
<b>Serial Number:</b>	85697946	MITRALOCK	
<b>Registration Number:</b>	4484944	M MITRALIGN	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2149649501		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	214.964.9427		
<b>Email:</b>	tatyana.rozenberg@hkllaw.com		
<b>Correspondent Name:</b>	Tatyana Rozenberg		
<b>Address Line 1:</b>	Holland & Knight LLP		
<b>Address Line 2:</b>	200 Crescent Court, Suite 1600		
<b>Address Line 4:</b>	Dallas, TEXAS 75201		
<b>ATTORNEY DOCKET NUMBER:</b>	74770-31		
<b>NAME OF SUBMITTER:</b>	Tatyana Rozenberg		
<b>SIGNATURE:</b>	/Tatyana Rozenberg/		
<b>DATE SIGNED:</b>	11/18/2015		
<b>Total Attachments: 10</b>			
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**ASSIGNMENT OF INTELLECTUAL PROPERTY  
SECURITY AGREEMENT**

This **ASSIGNMENT OF INTELLECTUAL PROPERTY SECURITY AGREEMENT** (this “**Assignment**”), dated as of November 17, 2015, is by **GENERAL ELECTRIC CAPITAL CORPORATION**, (as the current and resigning administrative agent, the “**Retiring Agent**”) and **HEALTHCARE FINANCIAL SOLUTIONS, LLC**, (as the successor administrative agent together with its successors and assigns, the “**Successor Agent**”).

**RECITALS:**

**WHEREAS**, Mitralign, Inc., a Delaware corporation, as “Grantor”, and Retiring Agent are parties to one or more intellectual property security agreements identified in Exhibit A attached hereto (as the same have been and may hereafter be amended, restated, supplemented or otherwise modified from time to time, collectively, the “**Agreements**”) pursuant to which Grantor granted a security interest in and to and lien upon the intellectual property identified in Exhibit B (the “**Subject IP**”); and

**WHEREAS**, pursuant to that certain Omnibus Agency Transfer Agreement by and between Retiring Agent and Successor Agent, Retiring Agent has assigned to Successor Agent all of its rights, remedies, duties and other obligations under, among other documents, the Agreements and the Subject IP, in each instance, in its capacity as administrative agent and collateral agent, as the case may be.

**NOW, THEREFORE**, in consideration of the foregoing, and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Retiring Agent hereby assigns and transfers to Successor Agent and its successors and assigns, all of its rights, title and interest in and to the Agreements.

This Assignment may be executed in any number of counterparts, each of which when so executed shall be deemed an original and all of which taken together shall constitute one and the same instrument.

*- Remainder of Page Intentionally Left Blank; Signature Page Follows -*

IN WITNESS WHEREOF, Retiring Agent and Successor Agent have caused this Assignment to be duly executed as of the date first above written.

**RETIRING AGENT:**

**GENERAL ELECTRIC CAPITAL CORPORATION** as Retiring Agent

By: 

Name: H. Darren Alcus

Title: Duly Authorized Signatory

**SUCCESSOR AGENT:**

**HEALTHCARE FINANCIAL SOLUTIONS, LLC**, as Successor Agent

By: 

Name: H. Darren Alcus

Title: Duly Authorized Signatory

EXHIBIT A

Intellectual Property Security Agreement dated as of December 31, 2014, and recorded with the United States Patent and Trademark Office, Trademark Section, on January 5, 2015 at Reel 5434, Frame 0577.

Intellectual Property Security Agreement dated as of December 31, 2014 and recorded with the United States Patent and Trademark Office, Patent Section, on January 5, 2015 at Reel 034635, Frame 0173.

EXHIBIT A

ASSIGNMENT OF INTELLECTUAL PROPERTY SECURITY AGREEMENT

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**TRADEMARK**  
**REEL: 005671 FRAME: 0268**

EXHIBIT B

Copyrights and Copyright Applications

None

Trademarks and Trademark Applications

Country	Mark	Registration Details		
		Number	Date	Renewal
US	MITRALIGN	3409603	08-Apr-08	08-Apr-18
US	MITRALOCK	85/697,946	15-Jan-13	
US	MITRALIGN Stylized New Logo	4,484,944	18-Feb-14	

US Patents

<b>Patent #:</b>	<b>Issue Date:</b>	<b>Title:</b>
6619291	16-Sep-03	METHOD AND APPARATUS FOR CATHETER-BASED ANNULOPLASTY
6718985	13-Apr-04	METHOD AND APPARATUS FOR CATHETER-BASED ANNULOPLASTY USING LOCAL PPLICATIONS
7037334	2-May-06	METHOD AND APPARATUS FOR CATHETER-BASED ANNULOPLASTY USING LOCAL PPLICATIONS
7431726	7-Oct-08	TISSUE FASTENING SYSTEMS AND METHODS UTILIZING MAGNETIC GUIDANCE
7166127	23-Jan-07	TISSUE FASTENING SYSTEMS AND METHODS UTILIZING MAGNETIC GUIDANCE
8142493	27-Mar-12	METHOD OF HEART VALVE REPAIR
8202315	19-Jun-12	CATHETER-BASED ANNULOPLASTY USING VENTRICULARLY POSITIONED CATHETER
8382829	26-Feb-13	METHOD TO REDUCE MITRAL REGURGITATION BY CINCHING THE COMMISSURE OF THE MITRAL VALVE
8460371	11-Jun-13	METHOD AND APPARATUS FOR PERFORMING CATHETER BASED ANNULOPLASTY USING LOCAL PPLICATIONS
8728097	20-May-14	TISSUE PPLICATION DEVICES AND METHODS FOR THEIR USE
8864822	21-Oct-14	DEVICES AND METHODS FOR INTRODUCING ELEMENTS INTO TISSUE
8845723	30-Sep-14	SYSTEMS AND METHODS FOR INTRODUCING ELEMENTS INTO TISSUE
8911461	16-Dec-14	SUTURE CUTTER AND METHOD OF CUTTING SUTURES



US Patent Applications

Title	Publication Date	Publication/Application No.	Status
METHOD AND APPARATUS FOR CATHETER BASED ANNULOPLASTY	29-Jan-04	US2004/0019378A1	ABANDONED
METHOD AND APPARATUS FOR PERFORMING CATHETER BASED ANNULOPLASTY USING LOCAL PPLICATIONS	28-May-2013 (Issued)	8,450,371	ISSUED 06/11/2013
TISSUE ANCHOR, ANCHORING SYSTEM AND METHODS OF USING THE SAME	11-Jan-07 1. 19-Mar-09	US2007-0010857A1 US2009-0076547	Notice of Allowance mailed 11/14/2014
TISSUE ANCHORS, SYSTEMS AND METHODS AND DEVICES	18-Sep-08 03-JUN-2014	US2008-0228265A1 20141202910007	ABANDONED
TISSUE PPLICATION DEVICE AND METHOD OF ITS USE	18-Mar-10	US2010-0070028A1	ALLOWED September 30, 2014
STEERABLE WIRE DELIVERY CATHETER WITH INTEGRATED ECHO VISUALIZATION	12-Apr-12	US2012-0089022A1	
SYSTEM AND METHOD FOR SECURING TISSUE	1. 03-May-12	US2012-0109155A1	Allowed September 25, 2014
STEERABLE GUIDE CATHETER HAVING PREFORMED CURVED SHAPE	41081	US20120158021	
ENERGY ASSISTED TISSUE PIERCING DEVICE AND METHOD OF USE THEREOF	07/03/2014	2014/0188108	
TRANSLATION CATHETERS, SYSTEMS, METHODS AND USE THEREOF	04/03/2014	20140094906	
METHOD AND DEVICES FOR PERCUTANEOUS TRICUSPID VALVE REPAIR	26-FEB-2014	US14/18720	
PLICATION LOCK DELIVERY SYSTEM AND METHOD OF USE THEREOF	27-Sep-13	2014/0094826 published 14/039779 -	

Title	Publication Date	Publication/Application No.	Status
TISSUE ANCHORS, SYSTEMS AND METHODS, AND DEVICES	3-Apr-14	on 04/03/2014 US2014/009460 (CIP 11/685240)	
TISSUE ANCHOR AND ANCHORING SYSTEM	26-Feb-13	13/777042 20140243859 published on 08/28/2014	
METHOD OF PERCUTANEOUS MITRAL VALVE ANNULOPLASTY	26-FEB-2008 27-FEB-2008 Prov. Dates	12/393604	
DEVICE FOR PERCUTANEOUSLY DELIVERING A CARDIAC IMPLANT THROUGH THE APPLICATION OF DIRECT ACTUATION FORCES EXTERNAL TO THE BODY	27-FEB-2008 25-MAY-2007	12/394528 14/249928 – 20140303720 – published on 04/10/2014	
TISSUE PPLICATION DEVICES AND METHODS FOR THEIR USE (CIP 12/393608) SYSTEMS AND METHODS FOR INTRODUCING ELEMENTS INTO TISSUE - DIVISIONAL	10-Apr-14 18-Aug-14		
HAND OPERATED DEVICE FOR CONTROLLED DEPLOYMENT OF A TISSUE ANCHOR AND METHOD OF USING SAME	26-Oct-11	2012/0109155A1	ALLOWED September 25, 2014
METHOD TO REDUCE MITRAL REGURGITATION BY CINCHING THE COMMISSURE OF THE MITRAL VALVE	25-July-13	2013/0190863	

PCT Patent Applications

Title	Publication Date	Publication/Application No.
TISSUE ANCHOR, ANCHORING SYSTEM AND METHODS OF USING THE SAME	19-Mar-08	EP1898802
TRANSLATION CATHETERS, SYSTEMS, METHODS AND USE THEREOF	15-MAR-2014	US14/27411
I METHOD AND DEVICES FOR PERCUTANEOUS TRICUSPID VALVE REPAIR	26-Feb-14	US14/18720
PLICATION LOCK DELIVERY SYSTEM AND METHOD OF USE THEREOF	27-Sep-13	US13/62282
TISSUE ANCHOR AND ANCHORING SYSTEM	26-Feb-14	US14/18722

Foreign Patents and Foreign Patent Applications

Country	Patent #:	Issue Date:	Title:
JP	4282993	27-Mar-09	METHOD AND APPARATUS FOR CATHETER-BASED ANNULOPLASTY
JP	4282994	27-Mar-09	METHOD AND APPARATUS FOR CATHETER-BASED ANNULOPLASTY
WO			METHOD AND APPARATUS FOR PERFORMING CATHETER-BASED ANNULOPLASTY
AU		12-Apr-07	
WO			METHOD AND APPARATUS FOR CATHETER-BASED ANNULOPLASTY USING LOCAL PLICATIONS
AU		5-Jul-07	
WO			METHOD AND APPARATUS FOR PERFORMING CATHETER-BASED ANNULOPLASTY USING LOCAL PLICATIONS
NZ		14-Aug-08	

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EXHIBIT B  
ASSIGNMENT OF INTELLECTUAL PROPERTY SECURITY AGREEMENT

**RECORDED: 11/18/2015**

**TRADEMARK  
REEL: 005671 FRAME: 0275**