# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 ETAS ID: TM363044

Stylesheet Version v1.2

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Standard & Poor's Financial Services, LLC		09/30/2013	LIMITED LIABILITY COMPANY: DELAWARE

### **RECEIVING PARTY DATA**

Name:	Wealth Management Systems, Inc.	
Street Address:	110 Leroy Street	
Internal Address:	5th Floor	
City:	New York	
State/Country:	NEW YORK	
Postal Code:	10014	
Entity Type:	CORPORATION: NEW JERSEY	

### **PROPERTY NUMBERS Total: 2**

Property Type	Number	Word Mark
Registration Number:	3886390	PLAN ON DEMAND
Registration Number:	3224516	CHARTSOURCE

# **CORRESPONDENCE DATA**

Fax Number: 3123214299

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 312-321-4200

Email: officeactions@brinksgilson.com

Scott J. Slavick **Correspondent Name:** Address Line 1: P. O. Box 10395

Address Line 4: Chicago, ILLINOIS 60610

NAME OF SUBMITTER:	Scott J. Slavick
SIGNATURE:	/Scott J. Slavick/
DATE SIGNED:	11/19/2015

#### **Total Attachments: 4**

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# INTELLECTUAL PROPERTY ASSIGNMENT AGREEMENT

This INTELLECTUAL PROPERTY ASSIGNMENT AGREEMENT ("IP Assignment"), dated as of September 30, 2013, is made by Standard & Poor's Financial Services, LLC ("Seller"), a Delaware limited liability company, located at 1221 Avenue of the Americas, New York, New York 10020, in favor of Wealth Management Systems, Inc. ("Buyer"), a New Jersey corporation, located at 110 Leroy Street, 5<sup>th</sup> Floor, New York, New York 10014, the purchaser of certain assets of Seller pursuant to an Asset Purchase Agreement between Buyer and Seller, dated as of September 30, 2013 (the "Asset Purchase Agreement").

WHEREAS, under the terms of the Asset Purchase Agreement, Seller has conveyed, transferred and assigned to Buyer, among other assets, certain intellectual property of Seller, and has agreed to execute and deliver this IP Assignment, for recording with governmental authorities including, but not limited to, the US Patent and Trademark Office and the US Copyright Office;

# NOW THEREFORE, Seller agrees as follows:

- 1. Assignment. In consideration for the execution of the Asset Purchase Agreement, the payment of the consideration stipulated in the Asset Purchase Agreement and other good and valuable consideration, the receipt and sufficiency are hereby acknowledged, Seller hereby irrevocably conveys, transfers and assigns to Buyer all of Seller's right, title and interest in and to the following (the "Assigned IP"):
- (a) the trademarks set forth in Schedule 1 hereto, including the registrations and applications therefor, together with the goodwill connected with the use of and symbolized thereby (the "Trademarks");
- (b) all rights of any kind whatsoever of Seller accruing under any of the foregoing provided by applicable law of any jurisdiction, by international treaties and conventions and otherwise throughout the world;
- (c) any and all royalties, fees, income, payments and other proceeds from the Closing Date and hereafter due or payable with respect to any and all of the foregoing; and
- (d) any and all claims and causes of action, with respect to any of the foregoing, whether accruing before, on and/or after the date hereof, including all rights to and claims for damages, restitution and injunctive and other legal and equitable relief for past, present and future infringement, dilution, misappropriation, violation, misuse, breach or default, with the right but no obligation to sue for such legal and equitable relief and to collect, or otherwise recover, any such damages.

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- 2. <u>Recordation and Further Actions</u>. Seller authorizes the Commissioner for Trademarks and any other governmental officials to record and register this IP Assignment upon request by Buyer.
- 3. Terms of the Asset Purchase Agreement. The terms of the Asset Purchase Agreement, including, but not limited to, the representations, warranties, covenants, agreements and indemnities relating to the Assigned IP are incorporated herein by this reference. The parties hereto acknowledge and agree that the representations, warranties, covenants, agreements and indemnities contained in the Asset Purchase Agreement shall not be superseded hereby but shall remain in full force and effect to the full extent provided therein. In the event of any conflict or inconsistency between the terms of the Asset Purchase Agreement and the terms hereof, the terms of the Asset Purchase Agreement shall govern.
- 4. <u>Counterparts</u>. This IP Assignment may be executed in counterparts, each of which shall be deemed an original, but all of which together shall be deemed to be one and the same agreement. A signed copy of this IP Assignment delivered by facsimile, e-mail or other means of electronic transmission shall be deemed to have the same legal effect as delivery of an original signed copy of this IP Assignment.
- 5. <u>Successors and Assigns</u>. This IP Assignment shall be binding upon and shall inure to the benefit of the parties hereto and their respective successors and assigns.
- 6. Governing Law. This IP Assignment and any claim, controversy, dispute or cause of action (whether in contract, tort or otherwise) based upon, arising out of or relating to this IP Assignment and the transactions contemplated hereby shall be governed by, and construed in accordance with, the laws of the United States and the State of New York, without giving effect to any choice or conflict of law provision or rule (whether of the State of New York or any other jurisdiction).

[SIGNATURE PAGE FOLLOWS]

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IN WITNESS WHEREOF, Seller has duly executed and delivered this IP Assignment as of the date first above written.

Standard & Poor's Financial Services,

LLC

By: Name:

Name: Del Johnson
Title: Senior Vice President-Finance.
Address for Notices: 55 Water Street

55 Water Street New York, NY 10014

# SCHEDULE 1

# ASSIGNED TRADEMARKS REGISTRATIONS AND TRADEMARK APPLICATIONS

PLAN ON DEMAND, Reg. No. 3,886,390, registered on December 7, 2010, for: "online ordering services featuring printed forms and materials pertaining to retirement plans that plan providers may order for their plan sponsor clients, in class 35."

CHARTSOURCE, Reg. No. 3,224,516, registered on the Principal Register on April 3, 2007 for: "downloadable charts that provide graphics illustrating the principles of finance, investing, investment performance and historical economic information available online", in class 9.

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**RECORDED: 11/24/2015** 

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