

Form PTO-1594 (Rev. 07/05)  
OMB Collection 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

**RECORDATION FORM COVER SHEET  
TRADEMARKS ONLY**

To the Director of the U. S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies):**

This Realm LLC dba Realm Cellars  
1461 Railroad Avenue  
St. Helena CA 94574

- Individual(s)       Association  
 General Partnership       Limited Partnership  
 Corporation- State: \_\_\_\_\_  
 Other Limited Liability Corporation

Citizenship (see guidelines) \_\_\_\_\_

Additional names of conveying parties attached?  Yes  No

**3. Nature of conveyance /Execution Date(s) :**

Execution Date(s) December 22, 2015

- Assignment       Merger  
 Security Agreement       Change of Name  
 Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Additional names, addresses, or citizenship attached?  Yes  No

Name: Bank of the West

Internal

Address: \_\_\_\_\_

Street Address: 700 Main Street, Suite 212

City: Napa

State: CA

Country: USA

Zip: 94559

- Association      Citizenship \_\_\_\_\_  
 General Partnership      Citizenship \_\_\_\_\_  
 Limited Partnership      Citizenship \_\_\_\_\_  
 Corporation      Citizenship California

Other \_\_\_\_\_      Citizenship \_\_\_\_\_  
If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
(Designations must be a separate document from assignment)

**4. Application number(s) or registration number(s) and identification or description of the Trademark.**

A. Trademark Application No.(s)  
See Exhibit A

B. Trademark Registration No.(s)  
See Exhibit A

Additional sheet(s) attached?  Yes  No

**C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):**

See Exhibit A

**5. Name & address of party to whom correspondence concerning document should be mailed:**

Name: CT Liens

Internal Address: \_\_\_\_\_

Street Address: 187 Wolf Road, Suite 101

City: Albany

State: NY

Zip: 12205

Phone Number: 800-342-3676 EXT: 4064

Fax Number: 800-942-7049

Email Address: cls@ctalbany@wolterskluwer.com

**6. Total number of applications and registrations involved:**

5

**7. Total fee (37 CFR 2.6(b)(6) & 3.41)** \$140.00

- Authorized to be charged by credit card  
 Authorized to be charged to deposit account  
 Enclosed

**8. Payment Information:**

a. Credit Card      Last 4 Numbers 0974  
Expiration Date 6/17

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

9. Signature: [Signature]

Signature

12/29/2015

Date

Deborah Facciani, Vice President

Name of Person Signing

Total number of pages including cover sheet, attachments, and document: 6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

**TRADEMARK**

**REEL: 005702 FRAME: 0171**

**EXHIBIT A**

**Trademarks**

<b>Owner</b>	<b>Trademark</b>	<b>Serial No. / Reg. No.</b>	<b>File Date / Reg. Date</b>
This Realm, LLC	The Bard	4,347,385	6/4/2013
This Realm, LLC	Realm	2,949,266	5/18/2004
This Realm, LLC	Realm Cellars	2,949,267	5/10/2005
This Realm, LLC	"R" Design	4,835,025	10/20/15
This Realm, LLC	The Absurd	4,773,109	7/14/2015

**MEMORANDUM AND NOTICE OF SECURITY INTEREST  
IN INTELLECTUAL PROPERTY**

December 22, 2015

THIS REALM LLC, a California limited liability company, dba Realm Cellars (the "Debtor"), whose address is 1461 Railroad Avenue, St. Helena, California 94574 hereby acknowledges that it has granted BANK OF THE WEST, a California corporation ("Secured Party"), whose address is 1971 Saturn Street, Monterey Park, California 91755, a security interest in and to all of Debtor's right, title and interest in and to: (a) the trademarks and trademark registrations and applications therefor which are identified on Exhibit A attached hereto and herein incorporated by this reference owned by Debtor (the "Trademarks"), together with the goodwill and assets of the business; (b) the patents and patent applications which are identified on Exhibit B attached hereto and herein incorporated by this reference owned by Debtor (the "Patents"); and (c) the copyright registrations which are identified on Exhibit C attached hereto and herein incorporated by this reference owned by Debtor (the "Copyrights"), and all actions for infringement concerning the foregoing.


The terms and conditions of the aforementioned security interest are contained in that certain Loan and Security Agreement dated as of December 22, 2015 (the "Agreement"), between Debtor and Secured Party as security for the Obligations as set forth in the Agreement and other agreements referred to therein. Nothing contained in this Memorandum and Notice of Security Interest in Intellectual Property shall be construed as a present or absolute assignment of any of the collateral nor as limiting any interest which Secured Party may have in any other collateral described in the Agreement or otherwise.

*[Remainder of Page Intentionally Blank]*

*[Signature Page to Follow]*

IN WITNESS WHEREOF, the undersigned has duly executed this document as of the date first written above.

**THIS REALM LLC,**  
a California limited liability company dba  
Realm Cellars

By:   
Name: Scott Becker  
Title: Manager

**EXHIBIT B**

**Patents**

**None**

**EXHIBIT C**

**Copyrights**

**None**