

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM372114

|   |  |                       |                      |
|---|--|-----------------------|----------------------|
| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT                                     |                       |                      |
| <b>NATURE OF CONVEYANCE:</b>  | ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL |                       |                      |
| <b>CONVEYING PARTY DATA</b>   |  |                       |                      |
| <b>Name</b>   | <b>Formerly</b>                                    | <b>Execution Date</b> | <b>Entity Type</b>   |
| SmithKline Beecham Limited  |  | 03/02/2015            | CORPORATION: ENGLAND |
| <b>RECEIVING PARTY DATA</b>   |  |                       |                      |
| <b>Name:</b>  | Novartis Pharma AG                                 |                       |                      |
| <b>Street Address:</b>  | Lichtstrasse 35                                    |                       |                      |
| <b>City:</b>  | 4056 Basel   |                       |                      |
| <b>State/Country:</b>   | SWITZERLAND  |                       |                      |
| <b>Entity Type:</b>   | CORPORATION: SWITZERLAND                           |                       |                      |
| <b>PROPERTY NUMBERS Total: 4</b>  |  |                       |                      |
| <b>Property Type</b>  | <b>Number</b>                                      | <b>Word Mark</b>      |                      |
| <b>Registration Number:</b>   | 2030440  | HYCANTIN              |                      |
| <b>Registration Number:</b>   | 2598707  | HYCANTIN              |                      |
| <b>Registration Number:</b>   | 2275693  | HYCANTIN              |                      |
| <b>Registration Number:</b>   | 3636471  | HYCANTIN CARES        |                      |
| <b>CORRESPONDENCE DATA</b>  |  |                       |                      |
| <b>Fax Number:</b>  | 9198618913   |                       |                      |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |  |                       |                      |
| <b>Phone:</b>   | 9198618903   |                       |                      |
| <b>Email:</b>   | mtepper@teiplaw.com                                |                       |                      |
| <b>Correspondent Name:</b>  | Tepper & Eyster, PLLC                              |                       |                      |
| <b>Address Line 1:</b>  | 3724 Benson Drive                                  |                       |                      |
| <b>Address Line 4:</b>  | Raleigh, NORTH CAROLINA 27609                      |                       |                      |
| <b>DOMESTIC REPRESENTATIVE</b>  |  |                       |                      |
| <b>Name:</b>  | Novartis Pharmaceuticals Corporation               |                       |                      |
| <b>Address Line 1:</b>  | One Health Plaza, Building 430                     |                       |                      |
| <b>Address Line 4:</b>  | East Hanover, NEW JERSEY 07936                     |                       |                      |
| <b>NAME OF SUBMITTER:</b>   | Maury M. Tepper, III                               |                       |                      |
| <b>SIGNATURE:</b>   | /Maury M. Tepper, III/                             |                       |                      |
| <b>DATE SIGNED:</b>   | 02/05/2016   |                       |                      |

OP \$115.00 2030440

**Total Attachments: 2**

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CONFIRMATORY ASSIGNMENT OF TRADEMARKS

This Confirmatory Assignment of Trademarks (this "Assignment") dated effective as of 2nd March 2015 (the "Effective Date"), is from SmithKline Beecham Limited, incorporated in England & Wales with an address of 980 Great West Road, Brentford, Middlesex TW8 9GS, England (the "Assignor"), to Novartis Pharma AG, a Swiss corporation with an address of corporation with an address of Lichtstrasse 35, 4056 Basel, Switzerland (the "Assignee").

WITNESSETH:

WHEREAS, Assignor is the record owner of the trademarks and the related United States registrations for the marks listed on Exhibit A, attached to this Assignment and incorporated herein (collectively, the "Marks"); and

WHEREAS, pursuant to that certain Intellectual Property Assignment Agreement, dated 2<sup>nd</sup> March, 2015, Assignor agreed to assign, transfer and convey to Assignee all right, title and interest in and to the Marks, together with the goodwill of the business, and all common law rights, associated with the Marks; and

WHEREAS, Assignor and Assignee desire to confirm and record the assignment of the Marks;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged:

1. Assignor hereby assigns, transfers and conveys to Assignee all right, title and interest in and to the Marks, together with (1) the goodwill of the business symbolized by the Marks, (2) any and all common law rights, title and interest associated with the Marks, and (3) all causes of action, claims and demands and other rights for, or arising from, any infringement, including past infringements, of the Marks.

2. Assignor further agrees, without further consideration, to cause to be performed such other lawful acts and to be executed such confirmatory assignments and other legal documents as Assignee, or its counsel, may from time to time reasonably request to effect fully this Assignment and to permit Assignee to be duly recorded as the registered owner of each of the rights hereby conveyed.

IN WITNESS WHEREOF, the Assignor has executed this Assignment on the date set forth below, but effective as of the Effective Date.

ASSIGNOR:

SmithKline Beecham Limited

By: Juana Green

Title: Authorized Attorney

Date: 18th January 2016

ASSIGNEE:

NOVARTIS PHARMA AG

By: [Signature] Christoph Klamp

Title: As Authorized Signatory

Date: 27th January 2016

Exhibit A to Confirmatory Assignment of Trademarks

| <u>Mark</u>         | <u>Reg. No/Serial No.</u> | <u>Reg. Date/Filing Date</u> |
|---------------------|---------------------------|------------------------------|
| HYCAMTIN            | 2030440                   | 1/14/1997                    |
| HYCAMTIN AND Design | 2598707                   | 7/23/2002                    |
| HYCAMTIN AND Design | 2275893                   | 9/7/1999                     |
| HYCAMTIN CARES      | 3838471                   | 6/9/2009                     |