

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM372493

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Morgan Stanley		01/06/2016	CORPORATION: DELAWARE
RECEIVING PARTY DATA			
Name:	Morgan Stanley Smith Barney Holdings LLC		
Street Address:	2000 Westchester Avenue		
City:	Purchase		
State/Country:	NEW YORK		
Postal Code:	10577		
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	4437616	THE RETIREMENT NAVIGATION PROCESS	
CORRESPONDENCE DATA			
Fax Number:	2125750671		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	212-790-9200		
Email:	Trademark@cll.com		
Correspondent Name:	Dorothy R. Whitney, Cowan, Liebowitz		
Address Line 1:	1133 Avenue of the Americas		
Address Line 4:	New York, NEW YORK 10036-6799		
ATTORNEY DOCKET NUMBER:	28596/___/DW		
NAME OF SUBMITTER:	Dorothy R. Whitney		
SIGNATURE:	/Dorothy R. Whitney/		
DATE SIGNED:	02/09/2016		
Total Attachments: 2			
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TRADEMARK ASSIGNMENT

This TRADEMARK ASSIGNMENT is made the 6th day of January, 2016, between Morgan Stanley, a Delaware corporation, with its principal place of business at 1585 Broadway, New York, NY 10036 ("Assignor") and Morgan Stanley Smith Barney Holdings LLC, a Delaware limited liability company, with its principal place of business at 2000 Westchester Avenue, Purchase, New York 10577 ("Assignee").

In consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby sells, assigns and transfers unto Assignee, its successors and assigns, absolutely and forever, all of its right, title and interest, whether statutory or at common law, in and to the mark listed on Schedule A (the "Mark"), together with the goodwill of the business symbolized by said mark throughout the world, and all registrations and pending applications therefor, together with all causes of action for any and all previously occurring infringements of the rights being assigned and the right to receive and retain the proceeds relating to those infringements.

Assignor agrees to execute further papers and to do such other acts as may be necessary and proper to vest full title in and to the Mark in the Assignee.

IN WITNESS WHEREOF, the parties hereto have entered into and signed this Trademark Assignment as of the date first above written.

MORGAN STANLEY

By:



Mitchell Bompey

Title:

Managing Director

SCHEDULE A

Mark

Serial No.

Reg. No.

THE RETIREMENT NAVIGATION PROCESS

85/841,124

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