# OP \$40.00 4799718

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM374252

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Syncardia Systems, Inc.		02/22/2016	CORPORATION: DELAWARE

### **RECEIVING PARTY DATA**

Name:	SWK Funding LLC	
Street Address:	14755 Preston Road, Suite 105	
City:	Dallas	
State/Country:	TEXAS	
Postal Code:	75254	
Entity Type:	LIMITED LIABILITY COMPANY: TEXAS	

### **PROPERTY NUMBERS Total: 1**

Property Type	Number	Word Mark
Registration Number:	4799718	SYNSPUS

### CORRESPONDENCE DATA

**Fax Number:** 6175236850

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 617-523-2700

**Email:** susan.dinicola@hklaw.com,Ashley.lngraham@hklaw.com

Correspondent Name: HOLLAND & KNIGHT LLP
Address Line 1: 10 St. James Avenue

Address Line 4: Boston, MASSACHUSETTS 02116

ATTORNEY DOCKET NUMBER:	136144.00010
NAME OF SUBMITTER:	Susan C. DiNicola
SIGNATURE:	/Susan C. DiNicola/
DATE SIGNED:	02/23/2016

### **Total Attachments: 3**

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> TRADEMARK REEL: 005737 FRAME: 0748

### SECURITY AGREEMENT SUPPLEMENT

This SECURITY AGREEMENT SUPPLEMENT, dated as of February 22, 2016, is delivered by each of the Grantors listed on the signature pages hereof (collectively, jointly and severally, "Grantors" and each individually, "Grantor") pursuant to the Security Agreement, dated as of December 13, 2013 (as it may be from time to time further amended, restated, amended and restated, modified or supplemented, the "Security Agreement") and the Amended and Restated Security Agreement, dated as of December 13, 2013, among the Grantors named therein and CANTOR FITZGERALD SECURITIES, as Administrative Agent for the Secured Parties referenced therein, and CANTOR FITZGERALD SECURITIES, as Control Agent, for which a Notice of Grant of Security Interest in Trademarks was recorded with the United States Patent and Trademark Office as of December 16, 2013 at Reel 5176 and Frame 0858, and Reel 5714 and Frame 0475, respectively (the "Notices"), and for which an Assignment of Security Agreement from CANTOR FITZGERALD SECURITIES to SWK FUNDING LLC ("Successor Agent") was recorded at Reel 5735 and Frame 0050 and Reel 5735 and Frame 0064 on February 18, 2016 (the "Assignments"). Capitalized terms used herein and not otherwise defined herein shall have the meanings ascribed thereto in the Security Agreement.

Each Grantor hereby confirms the grant to each member of the Secured Parties, set forth in the Security Agreement of, and does hereby grant to the Successor Agent, for the benefit of each member of the Secured Parties, a continuing security interest in all of such Grantor's right, title and interest in and to all Patents and Trademarks (as defined in the Security Agreement) to secure the Secured Obligations, in each case whether now or hereafter existing or in which such Grantor now has or hereafter acquires an interest and wherever the same may be located. Each Grantor hereby agrees that the attached <u>Supplement to Security Agreement</u> shall constitute part of and an addition to the intellectual property defined in the Security Agreement. Each Grantor hereby represents and warrants that, as of the date of this Security Agreement Supplement, the Trademarks defined in the Security Agreement (as supplemented hereby) includes all of the registered Trademarks owned by such Grantor other than any Trademark that has been abandoned, is no longer in use by any Grantor or which, after the date hereof, will no longer be utilized by any Grantor.

[REMAINDER OF PAGE INTENTIONALLY LEFT BLANK; SIGNATURES FOLLOW]

TRADEMARK REEL: 005737 FRAME: 0749 IN WITNESS WHEREOF, each Grantor has caused this Security Agreement Supplement to be duly executed and delivered by its duly authorized officer as of the date first set forth above.

SYNCARDIA SYSTEMS, INC.

By: MICHAEL P. GARIPPA

Title: CEO . PRESIDENT

TRADEMARK REEL: 005737 FRAME: 0750

# SECURITY AGREEMENT SUPPLEMENT

Mark	Reg. No.	Reg. Date
SYNSPUS	4799718	08/25/15

TRADEMARK REEL: 005737 FRAME: 0751

**RECORDED: 02/23/2016**