

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM376572

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Neuberger Berman Group LLC		03/03/2016	Limited Liability Company: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Capital Analytics II LLC		
<b>Street Address:</b>	325 N. St. Paul Street, Suite 4700		
<b>City:</b>	Dallas		
<b>State/Country:</b>	TEXAS		
<b>Postal Code:</b>	75201		
<b>Entity Type:</b>	Limited Liability Company: DELAWARE		
<b>PROPERTY NUMBERS Total: 1</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	4884000	CAPITAL ANALYTICS	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2142000853		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	2146515719		
<b>Email:</b>	ipdocketing@haynesboone.com		
<b>Correspondent Name:</b>	Marc Legrand, Haynes and Boone, LLP		
<b>Address Line 1:</b>	2323 Victory Avenue, Suite 700		
<b>Address Line 4:</b>	Dallas, TEXAS 75219		
<b>ATTORNEY DOCKET NUMBER:</b>	46211.100		
<b>NAME OF SUBMITTER:</b>	Marc Legrand		
<b>SIGNATURE:</b>	/Marc Legrand/		
<b>DATE SIGNED:</b>	03/14/2016		
<b>Total Attachments: 6</b>			
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## INTELLECTUAL PROPERTY ASSIGNMENT

This Intellectual Property Assignment (this "*Assignment*") is made effective as of March 1, 2016 (the "*Effective Date*"), by Neuberger Berman Group LLC, a Delaware limited liability company, with its principal place of business located at 605 Third Avenue, New York, New York 10158 ("*Assignor*") and Capital Analytics II LLC, a Delaware limited liability company, with its principal place of business located at 325 N. St. Paul Street, Suite 4700, Dallas, Texas 75201 ("*Assignee*").

### RECITALS

A. Assignor is the owner of the common law trademarks and service marks, trade names, trademark registrations, trademark applications, and domain names listed on the attached Attachment A (the "*Trademark Intellectual Property*").

B. Assignee, together with Assignor, developed for Assignee certain software systems listed in Attachment A (together with any patent, trademark, copyright, trade secret, or other intellectual property rights therein, the "*Software Intellectual Property*" and together with the Trademark Intellectual Property, the "*Intellectual Property*").

C. Assignee desires to obtain, and Assignor desires to transfer and assign to Assignee, all of Assignor's right, title and interest in and to the Intellectual Property according to the terms of this Assignment.

NOW, THEREFORE, in consideration of the covenants and agreements contained herein and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree as follows:

Section 1. Assignment. Assignor hereby sells, assigns, transfers and conveys to Assignee, its successors, assigns and legal representatives, and Assignee hereby assumes, the Assignor's entire worldwide right, title and interest in and to the Intellectual Property, free and clear of any liens, including, without limitation, all associated goodwill, all common law rights, all rights of priority in any country as may now or hereafter be granted to it by law, all applications, divisions, reissues, reexaminations, renewals, registrations, substitutions, continuations, extensions and foreign counterparts thereof, and all other corresponding rights that are or may be secured under the laws of the United States, any foreign country or jurisdiction or any multilateral organization, together with all income, royalties or payments due or payable as of the Effective Date or thereafter, all claims for damages and the right to sue for, collect, settle or release any past, present or future infringement, misuse or misappropriation of any of the Intellectual Property, in each case for Assignee's own use and enjoyment, and for the use and enjoyment of Assignee's successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment had not been made. For U.S. federal income tax purposes, the Assignment shall be treated as a contribution by Neuberger Berman Group LLC to NB Alternative Holdings LLC followed by a contribution by NB Alternative Holdings LLC to Capital Analytics II LLC, in each case, pursuant to Section 721 of the Internal Revenue Code of 1986, as amended.

Section 2. Assistance. From time to time, as and when requested by Assignee, Assignor shall execute and deliver, or cause to be executed and delivered, all documents and instruments and shall take, or cause to be taken, all further or other actions as Assignee may reasonably deem necessary or desirable to consummate the transactions contemplated by this Assignment, including, executing and delivering to Assignee such assignments, deeds, bills of sale, consents, powers of attorney, declarations, affidavits and other instruments as Assignee or its counsel may reasonably request as necessary or

desirable for such purpose and transferring the domain names listed on the attached Attachment A to an alternate domain name registrar to facilitate the transfer, and causing any individual listed as registrant to effectuate the transfer. However, for the avoidance of any doubt, after the Effective Date of this Intellectual Property Assignment, Assignor is relieved as to all obligations for prosecution, maintenance, enforcement or any other action with respect to the Intellectual Property except for the actions requested by Assignee in accordance with this Section 2 to reflect the assignment of the Intellectual Property to Assignee.

Section 3. Counterparts. This Assignment may be executed in any number of original, facsimile or portable document format (pdf) counterparts, each of which when so executed and delivered shall be an original, but all of which together shall constitute one instrument.

Section 4. GOVERNING LAW. THIS ASSIGNMENT WILL BE GOVERNED BY AND CONSTRUED AND INTERPRETED IN ACCORDANCE WITH THE SUBSTANTIVE LAWS OF THE STATE OF DELAWARE, WITHOUT GIVING EFFECT TO ANY CONFLICTS OF LAW RULE OR PRINCIPLE THAT MIGHT RESULT IN THE APPLICATION OF THE LAWS OF ANOTHER JURISDICTION.

\* \* \* \* \*

IN WITNESS WHEREOF, the undersigned, being duly authorized action on behalf of Assignor and Assignee have executed this Assignment as of the Effective Date.

**ASSIGNOR:**

NEUBERGER BERMAN GROUP LLC

By: William A. Arnold

Name: William A. Arnold

Title: Chief Financial Officer

State of New York

)SS:

County of <sup>NEW</sup> York

On this 3<sup>rd</sup> day of March, 2016 before me, a Notary Public in and for said county, appeared William A. Arnold, personally known to me to be the same person whose name is subscribed to the foregoing Intellectual Property Assignment document, and acknowledged that he signed and delivered the document as his free and voluntary act for the uses and purposes therein set forth.

JUDY GUIHRING  
Notary Public, State of New York  
No. 01GUS037542  
Qualified in Westchester County  
Certificate Filed in New York County  
Commission Expires December 27, 2018

ASSIGNEE:

CAPITAL ANALYTICS II LLC

By: Randal R. Boyts

Name: Randal R. Boyts

Title: Managing Director

State of Texas

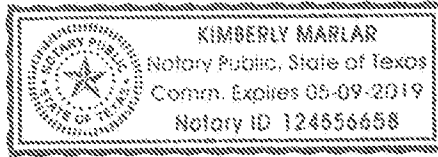
)SS:

SS

County of Dallas

Kimberly Marlar

On this 7<sup>th</sup> day of March, 2016 before me, a Notary Public in and for said county, appeared Randal R. Boyts, personally known to me to be the same person whose name is subscribed to the foregoing Intellectual Property Assignment document, and acknowledged that he signed and delivered the document as his free and voluntary act for the uses and purposes therein set forth.



Attachment A

**Trademarks**

CAPITAL ANALYTICS and Design  and  capital analytics


Jurisdiction	Application No.	Registration No.	Registration Date
U.S.	86/419423	4884000	January 12, 2016

CAPITAL ANALYTICS and Design  capital analytics

Jurisdiction	Application No.	Registration No.	Registration Date
E.C.	002328722	002328722	11/18/2002

**Common law rights**

CAPITAL ANALYTICS

 capital analytics

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 capital analytics

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**Domain Names**

capitalanalytics.com  
capitalanalytics.sucks  
capitalanalytics.xxx-block  
nbcapitalanalytics.xxx  
capitalanalytics.biz  
capitalanalytics.info  
capitalanalytics.net  
capitalanalytics.org  
capitalanalytics.us  
www-ng.capitalanalytics.com  
www-pi.capitalanalytics.com

## Software Systems

Product	Description / Use
eFront FrontInvest*	<ul style="list-style-type: none"> <li>• Track contacts details and relationships, and management of e-docs</li> <li>• Management of deal pipeline from prospects through closure</li> <li>• Management of assets and investment cashflows</li> <li>• Management of Fund Structures, LP relationships, LP cashflows</li> <li>• Management of account postings into general ledgers</li> <li>• eFront Server Framework</li> <li>• Client business processes management</li> </ul>
Electra*	<ul style="list-style-type: none"> <li>• Bank Reconciliation</li> <li>• Custodian Reconciliation Data Collection Service</li> <li>• Securities Transaction and Asset Reconciliation System</li> </ul>
Investran* and DX ITGC*	<ul style="list-style-type: none"> <li>• Partnership investment accounting</li> <li>• Reporting and Analytics</li> <li>• PM, risk management, trade execution, performance attribution</li> </ul>
PETE	Travel and expense tracking (fund level tracking), integrated with MAS500
CARL	Document store for Financial Statements, Capital Calls; used as Data room
PACE	Used to start workflows and load documents to CARL
AltDX	Interface generating and dashboard capability
Dashboard Analytics	Data analytics performance portal (LogiAnalytics) gives portfolio level reporting and analytics; converting to FrontAnalytics in FY'17
MAS500*	<ul style="list-style-type: none"> <li>• Accounts Payable for PFIG</li> <li>• Check writing</li> </ul>

With respect to the licensed software systems marked with an asterisk (\*), only modifications to such licensed software are subject to this Assignment.