# CH \$90.00 860

# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM377527

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
NEXGEN STORAGE, INC.		03/16/2016	Corporation: DELAWARE

### **RECEIVING PARTY DATA**

Name:	PACIFIC WESTERN BANK
Street Address:	406 BLACKWELL STREET
Internal Address:	SUITE 240
City:	DURHAM
State/Country:	NORTH CAROLINA
Postal Code:	27701
Entity Type:	STATE CHARTERED BANK: CALIFORNIA

#### **PROPERTY NUMBERS Total: 3**

Property Type	Number	Word Mark
Serial Number:	86605158	
Serial Number:	86605137	NEX GEN
Serial Number:	85250016	NEXGEN STORAGE

#### **CORRESPONDENCE DATA**

**Fax Number:** 9193541278

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 9193143114

**Email:** loandocsdept@square1bank.com

Correspondent Name: PACIFIC WESTERN BANK
Address Line 1: 406 BLACKWELL STREET

Address Line 2: SUITE 240

Address Line 4: DURHAM, NORTH CAROLINA 27701

NAME OF SUBMITTER:	NICHOLAS NANCE
SIGNATURE:	/NICHOLASNANCE-JLT/
DATE SIGNED:	03/22/2016

**Total Attachments: 6** 

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#### INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement is entered into as of March 16, 2016, by and between PACIFIC WESTERN BANK, a California state chartered bank ("Bank"), and NEXGEN STORAGE, INC., a Delaware corporation ("Grantor").

#### **RECITALS**

- A. Bank has agreed to make certain advances of money and to extend certain financial accommodations to Grantor and PIVOT3, INC., a Delaware corporation ("Co-Borrower"), (the "Loans") in the amounts and manner set forth in that certain Amended and Restated Loan and Security Agreement by and between Bank, Grantor and Co-Borrower dated of even date herewith (as the same may be amended, modified or supplemented from time to time, the "Loan Agreement"; capitalized terms used herein are used as defined in the Loan Agreement). Bank is willing to make the Loans to Grantor and Co-Borrower, but only upon the condition, among others, that Grantor shall grant to Bank a security interest in certain Copyrights, Trademarks and Patents to secure the obligations of Grantor under the Loan Agreement.
- B. Pursuant to the terms of the Loan Agreement, Grantor has granted to Bank a security interest in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Loan Agreement and all other agreements now existing or hereafter arising between Grantor and Bank, Grantor hereby represents, warrants, covenants and agrees as follows:

#### **AGREEMENT**

To secure its Obligations, Grantor grants and pledges to Bank a security interest in all of Grantor's right, title and interest in, to and under its Intellectual Property Collateral (including without limitation those Copyrights, Patents and Trademarks listed on Exhibits A, B and C hereto), and including without limitation all proceeds thereof (such as, by way of example but not by way of limitation, license royalties and proceeds of infringement suits), the right to sue for past, present and future infringements, all rights corresponding thereto throughout the world and all re-issues, divisions continuations, renewals, extensions and continuations-in-part thereof.

This security interest is granted in conjunction with the security interest granted to Bank under the Loan Agreement. The rights and remedies of Bank with respect to the security interest granted hereby are in addition to those set forth in the Loan Agreement and the other Loan Documents, and those which are now or hereafter available to Bank as a matter of law or equity. Each right, power and remedy of Bank provided for herein or in the Loan Agreement or any of the Loan Documents, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein and the exercise by Bank of any one or more of the rights, powers or remedies provided for in this Intellectual Property Security Agreement, the Loan Agreement or any of the other Loan Documents, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, including Bank, of any or all other rights, powers or remedies.

Grantor represents and warrants that Exhibits A, B, and C attached hereto set forth any and all intellectual property rights in connection to which Grantor has registered or filed an application with either the United States Patent and Trademark Office or the United States Copyright Office, as applicable.

This Agreement may be executed in two or more counterparts, each of which shall be deemed an original but all of which together shall constitute the same instrument.

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IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:

Address of Grantor:	NEXGEN STORAGE, INC.
361 Centennial Parkway, Suite 230 Louisville, Colorado 80027	By: MP Naw /
Attn: Chief Financial Officer	Name: Michael Planshy
	Title: CFO
	BANK:
Address of Bank:	PACIFIC WESTERN BANK
406 Blackwell Street, Suite 240 Crowe Building Durham, NC 27701	Ву:
Attn: Manager	Name:
	Title:

[Signature Page to Intellectual Property Security Agreement]

WEST\267717646 358756-000598 IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

	GRANTOR:
Address of Grantor:	NEXGEN STORAGE, INC.
061 Centennial Purkway, Suite 230 Lonisville, Colorado 80027	By:
Attn: Chief Financial Officer	Name:
	Tide:
	BANK:
Address of Bank:	PACIFIC WESTERN BANK
406 Blackwell Street, Suite 240	84: 275
Crowe Building Durham, NC 27701 Attn: Manager	Name: Hom Hoga /A
	Title: 507

[Signature Page to Intellectual Property Security Agreement]

# EXHIBIT A

Copyrights

<u>Description</u> <u>Registration Number</u> <u>Registration Date</u>
None

# EXHIBIT B

# Patents

Description	Patent/App. No.	File Date
Primary data storage system with quality of service	9176708	11/3/15
Primary data storage system with staged deduplication	13671537	11/7/12
Primary data storage system with data tiering	13671530	11/7/12
Primary data storage system with quality of service	13671544	11/7/12
Journal management	14273533	5/8/14
Adaptive bandwidth throttling	14280559	5/16/14

# EXHIBIT C

# Trademarks

<u>Description</u>	Serial/Registration No.	File Date
Design only	86605158	4/21/15
NEX GEN	86605137	4/21/15
NEXGEN STORAGE	85250016	2/23/11

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**RECORDED: 03/22/2016** 

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