

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM379184

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	MERGER
<b>EFFECTIVE DATE:</b>	04/01/2016
<b>SEQUENCE:</b>	2

## CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
SFWM Acquisition, LLC		04/01/2016	Limited Liability Company: DELAWARE

## RECEIVING PARTY DATA

<b>Name:</b>	Buckingham Asset Management, LLC
<b>Street Address:</b>	825 Third Avenue, 27th Floor
<b>Internal Address:</b>	c/o Focus Financial Partners, LLC
<b>City:</b>	New York
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	10022
<b>Entity Type:</b>	Limited Liability Company: DELAWARE

## PROPERTY NUMBERS Total: 1

Property Type	Number	Word Mark
<b>Registration Number:</b>	2684438	WMS SOLUTIONS FOR WEALTH MANAGEMENT

## CORRESPONDENCE DATA

Fax Number: 6179518736

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

Phone: 617-951-8132

Email: linda.salera@morganlewis.com

Correspondent Name: Linda A. Salera

Address Line 1: One Federal Street

Address Line 2: c/o Morgan, Lewis &amp; Bockius LLP

Address Line 4: Boston, MASSACHUSETTS 02110

<b>NAME OF SUBMITTER:</b>	Linda A. Salera
<b>SIGNATURE:</b>	/Linda A. Salera/
<b>DATE SIGNED:</b>	04/04/2016

Total Attachments: 3

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# Delaware

The First State

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I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"SFWM ACQUISITION, LLC", A DELAWARE LIMITED LIABILITY COMPANY,

WITH AND INTO "BUCKINGHAM ASSET MANAGEMENT, LLC" UNDER THE NAME OF "BUCKINGHAM ASSET MANAGEMENT, LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE ON THE FIRST DAY OF APRIL, A.D. 2016, AT 11:35 O`CLOCK A.M.



  
Jeffrey W. Bullock, Secretary of State

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SR# 20162024520

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 202079971  
Date: 04-01-16

**TRADEMARK**  
**REEL: 005764 FRAME: 0371**

**CERTIFICATE OF MERGER**  
**OF**  
**SFWM ACQUISITION, LLC**  
**(A DELAWARE LIMITED LIABILITY COMPANY)**  
**WITH AND INTO**  
**BUCKINGHAM ASSET MANAGEMENT, LLC**  
**(A DELAWARE LIMITED LIABILITY COMPANY)**

The undersigned limited liability company formed and existing under the laws of the State of Delaware does hereby certify as follows:

**FIRST:** The name and jurisdiction of formation or organization of each of the constituent entities which are to merge are as follows:

<u>Name</u>	<u>Jurisdiction of Formation or Organization</u>
Buckingham Asset Management, LLC	Delaware
SFWM Acquisition, LLC	Delaware

**SECOND:** An Agreement and Plan of Merger has been approved and executed by (i) SFWM Acquisition, LLC, a Delaware limited liability company (the "Non-Surviving LLC"), and (ii) Buckingham Asset Management, LLC, a Delaware limited liability company (the "Surviving LLC").

**THIRD:** The name of the surviving domestic limited liability company is Buckingham Asset Management, LLC.

**FOURTH:** The merger of the Non-Surviving LLC with and into the Surviving LLC shall be effective upon the filing of this Certificate of Merger with the Secretary of State of the State of Delaware on April 1, 2016 (the "Effective Date").

**FIFTH:** The executed Agreement and Plan of Merger is on file at a place of business of the Surviving LLC. The address of such place of business of the Surviving LLC is 8182 Maryland Avenue, Suite 500, St. Louis, MO 63105.

**SIXTH:** A copy of the Agreement and Plan of Merger will be furnished by the Surviving LLC, on request and without cost, to any member of the Surviving LLC and any member or person holding an interest in the Non-Surviving LLC.

[Signature Page Follows]

IN WITNESS WHEREOF, Buckingham Asset Management, LLC has caused this Certificate of Merger to be duly executed as of the Effective Date written above.

BUCKINGHAM ASSET MANAGEMENT, LLC

By: 

Name: Ruediger Adolf

Title: Authorized Person