CH \$115.00 24381

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM382587

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	SECURITY INTEREST	

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
HOOPER HOLMES, INC.		04/29/2016	Corporation: NEW YORK
HOOPER DISTRIBUTION SERVICES, LLC		04/29/2016	Limited Liability Company: NEW JERSEY
HOOPER WELLNESS, LLC		04/29/2016	Limited Liability Company: KANSAS
ACCOUNTABLE HEALTH SOLUTIONS, LLC		04/29/2016	Limited Liability Company: KANSAS
HOOPER INFORMATION SERVICES, INC.		04/29/2016	Corporation: NEW JERSEY
HOOPER KIT SERVICES, LLC		04/29/2016	Limited Liability Company: KANSAS

RECEIVING PARTY DATA

Name:	SCM SPECIALTY FINANCE OPPORTUNITIES FUND, L.P.		
Street Address:	2 Greenwich Plaza		
Internal Address:	1st Floor		
City:	Greenwich		
State/Country:	CONNECTICUT		
Postal Code:	06830		
Entity Type:	Limited Partnership: DELAWARE		

PROPERTY NUMBERS Total: 4

Property Type	Number	Word Mark	
Registration Number:	2438158	HOOPER HOLMES	
Registration Number:	3559666	H HOOPERHOLMES	
Registration Number:	3834031	PROACTIVE HEALTH ASSESSMENT	
Registration Number:	2079142	HEALTHDEX	

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: ahesla@duanemorris.com

Correspondent Name: Duane Morris LLP

TRADEMARK

REEL: 005781 FRAME: 0823

900362810

Address Line 1: 190 S. LaSalle Street, Suite 3700

Address Line 2: Aubrie Hesla

Address Line 4: Chicago, ILLINOIS 60603

ATTORNEY DOCKET NUMBER:	G3175-00011
NAME OF SUBMITTER:	Michael A. Witt
SIGNATURE:	/s/ Michael A. Witt
DATE SIGNED:	05/02/2016

Total Attachments: 12

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INTELLECTUAL PROPERTY SECURITY AGREEMENT

THIS INTELLECTUAL PROPERTY SECURITY AGREEMENT (this "IP Security Agreement") dated as of April 29, 2016 is made by and among HOOPER HOLMES, INC., a New York corporation ("Hooper Holmes"), HOOPER DISTRIBUTION SERVICES, LLC, a New Jersey limited liability company ("Hooper Distribution"), HOOPER WELLNESS, LLC, a Kansas limited liability company ("Hooper Wellness"), ACCOUNTABLE HEALTH SOLUTIONS, LLC, a Kansas limited liability company ("Accountable Health"), HOOPER INFORMATION SERVICES, INC., a New Jersey corporation ("Hooper Information"), HOOPER KIT SERVICES, LLC, a Kansas limited liability company ("Hooper Kit"; together with Hooper Holmes, Hooper Distribution, Hooper Wellness, Accountable Health and Hooper Information, individually and collectively, "Grantor"), in favor of SCM SPECIALTY FINANCE OPPORTUNITIES FUND, L.P., a Delaware limited partnership ("Lender").

RECITALS:

WHEREAS, pursuant to that certain Credit and Security Agreement dated as of the date hereof (including all annexes, exhibits and schedules thereto, and as from time to time amended, restated, supplemented or otherwise modified, the "Credit Agreement") by and among Grantor and Lender, Lender has agreed to make certain Loans to Grantor.

NOW, THEREFORE, in consideration of the premises and agreements set forth herein and for other good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, Grantor (intending to be legally bound) hereby agrees as follows:

- 1. <u>Incorporation of Certain Documents</u>. The Credit Agreement and the terms and provisions thereof are hereby incorporated herein in their entirety by this reference thereto. All capitalized terms used but not otherwise defined herein shall have the same meanings ascribed thereto in the Credit Agreement.
- 2. <u>Security Interest in Intellectual Property</u>. To secure the complete satisfaction and payment and performance when due or declared due (whether at the stated maturity, acceleration or otherwise) of all of the Obligations, Grantor hereby grants to and in favor of Lender a first priority perfected security interest and Lien having priority over all other security interests and Liens (other than Permitted Liens), with power of sale upon the occurrence and during the continuance of an Event of Default, in and to any and all of Grantor's right, title and interest in and to any and all Intellectual Property now owned and existing and hereafter arising, created or acquired, including, without limitation, as identified on <u>Exhibit A</u> attached hereto and made a part hereof (and all proceeds thereof).

To the extent that the granting of a security interest in a Trademark application filed in the United States Patent and Trademark Office (the "USPTO") on the basis of the applicant's intent to use such Trademark (pursuant to Section 1(b) of the Lanham Trademark Act (15 U.S.C. 1051(b)) (the "Lanham Act")) would render such Trademark (or the application therefor) invalid or unenforceable, then the security interest granted hereunder shall not attach to such Trademark application until such time as (A) an amendment is filed with the USPTO under section 1(c) of the Lanham Act to bring the application into conformity with section 1(a) of the

Lanham Act, or (B) a verified statement of use is filed with the USPTO under section 1(d) of the Lanham Act.

- 3. <u>Representation and Warranty</u>. Grantor hereby represents and warrants to Lender that <u>Exhibit A</u> hereto identifies all Intellectual Property which is registered or for which an application for registration is pending that is owned by Grantor in its own name and all material Intellectual Property licenses to which Grantor is a licensee of a registered or applied for Patent, Copyright or Trademark.
- 4. New Intellectual Property. If, before all Obligations shall have been indefeasibly paid in full in cash, Grantor shall (i) become aware of any existing material Intellectual Property of which Grantor has not previously informed Lender, (ii) obtain rights to any new patentable inventions or other material Intellectual Property, or (iii) become entitled to the benefit of any material Intellectual Property which benefit is not in existence on the date hereof, the provisions of this IP Security Agreement above shall automatically apply thereto and Grantor shall comply (without limitation) with Section 6.8 of the Credit Agreement (and the notice requirement set forth therein). Each Grantor hereby authorizes Lender to unilaterally modify this IP Security Agreement by amending Exhibit A to include any such additional Intellectual Property, and to file or refile this IP Security Agreement with the U.S. Patent and Trademark Office and U.S. Copyright Office, as applicable (at Grantor's sole cost). Upon Lender's reasonable request, Grantor agrees to duly execute and deliver any and all documents and instruments reasonably necessary or advisable to record or preserve Lender's interest in all Intellectual Property added to Exhibit A pursuant to this Section.
- 5. <u>Effect on Credit Agreement</u>. Grantor acknowledges and agrees that this IP Security Agreement is not intended to limit or restrict in any way the rights and remedies of Lender under and pursuant to the Credit Agreement but rather is intended to facilitate the exercise of such rights and remedies. Lender shall have, in addition to all other rights and remedies given it by the terms of this IP Security Agreement and the Credit Agreement, all rights and remedies allowed by law, in equity, and the rights and remedies of a secured party under the Uniform Commercial Code as enacted in New York. If any provision of this IP Security Agreement is deemed to conflict with the Credit Agreement, the terms of the Credit Agreement shall govern and control.
- 6. <u>Further Assurances</u>. Grantor agrees to promptly and duly execute and deliver such further agreements, instruments and documents, and to perform such further acts, as Lender shall reasonably request from time to time in order to carry out the purpose of this IP Security Agreement and agreements set forth herein. Grantor acknowledges that a copy of this IP Security Agreement will be filed by the Lender with the USPTO and, if applicable, the United States Copyright Office or Library of Congress, at the sole cost and expense of Grantor.
- 7. <u>Modification</u>. This IP Security Agreement cannot be altered, amended or modified in any way, except as specifically provided in <u>Section 4</u> hereof or by a writing signed by Grantor and Lender.

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- 8. <u>Cumulative Remedies</u>. All of Lender's rights and remedies with respect to the Intellectual Property, whether established hereby or by the Credit Agreement, or by any other agreements or by law shall be cumulative and may be exercised singularly or concurrently.
- 9. <u>Binding Effect; Benefits</u>. This IP Security Agreement shall be binding upon Grantor and its successors and permitted assigns, and shall inure to the benefit of Lender, its successors, nominees and assigns; <u>provided</u>, <u>however</u>, Grantor shall not assign this IP Security Agreement or any of Grantor's obligations hereunder without the prior written consent of Lender.
- 10. <u>Governing Law</u>. This IP Security Agreement shall be governed by, enforced and construed in accordance with the internal laws of the State of New York, without regard to choice of law or conflict of law principles that would require the application of any other laws.
- 11. <u>Headings; Counterparts</u>. Paragraph headings used herein are for convenience only and shall not modify the provisions which they precede. This IP Security Agreement may be signed in one or more counterparts, but all of such counterparts shall constitute and be deemed to be one and the same instrument. A signature hereto sent or delivered by facsimile or other electronic transmission shall be as legally binding and enforceable as a signed original for all purposes.
- 12. <u>Joint and Several</u>. If more than one Grantor executes this IP Security Agreement, the liability of all such parties shall be joint and several.
- 13. <u>Intercreditor Agreement</u>. This IP Security Agreement is subject to the terms and conditions of that certain Intercreditor Agreement by and between Lender, SWK Funding LLC, a Delaware limited liability company, and the other parties thereto dated as of even date herewith (as amended, restated, supplemented or otherwise modified from time to time).

[Signature Pages Follow]

- 3 -

IN WITNESS WHEREOF, the undersigned has duly executed this Intellectual Property Security Agreement as of the date first written above.

HOOPER HOLMES, INC., a New York corporation

HOOPER WELLNESS, LLC, a Kansas limited liability company

ACCOUNTABLE HEALTH SOLUTIONS, LLC, a Kansas limited liability company HOOPER INFORMATION SERVICES, INC., a New Jersey corporation

HOOPER KIT SERVICES, LLC, a Kansas limited liability company

Name: Steven Balthazor
Title: Chief Financial Officer

As Chief Financial Officer of each of the above entities and, in such capacity, intending by this signature to legally bind each of the above entities

HOOPER DISTRIBUTION SERVICES, LLC, a New Jersey limited liability company

Name: Steven Balthazor

Title: Manager

Agreed and Accepted:

SCM SPECIALTY FINANCE OPPORTUNITIES FUND, L.P., a Delaware limited partnership

EXHIBIT A

Trademarks:

Trademark	Application Number/Date	Registration Number/Date	Status
HOOPER HOLMES	75/789,749	2,438,158	Registered
	September 1, 1999	March 27, 2001	
HOOPER HOLMES & LOGO	77/288,338	3,559,666	Registered
	September 25, 2007	January 13, 2009	
PROACTIVE HEALTH ASSESSMENT	77842086	3834031	Registered
	October 6, 2009	August 17, 2010	
HEALTHDEX	75133190	2079142	Renewed
	July 12, 1996	July 15, 1997	

Registered IP Trade names: Accountable Health Solutions

Service marks: iConnect (unregistered service mark)

Domain names:

fbtwellness.com nhwellness365.com fhlbwellness.com nipponlifewellness.com fortinetwellness.com gafwellness.com gmchealthquest.com gmchealthquestion.org gpwellnesship.com greenhillsrcwellness.com havneedlewellness.com healthmatterstx.com hillviewfitforlife.com hwy2hlth.com

hyveewellness.com jdsucanadahealthyconnections.com jdsuhealthyconnections.com jmwhighqualityliving.com jslwellness.com

opecwellness.com owenwellness.com pathtowellness.info pfgwellness.com phillipsmedisizestayingpositive.com pioneerwellnesscenter.com pmcwellnessworks.com principalwellnesscompany.com proliancewellness.com psplwell.com pvmchealthwise.com

pwc123.com pwc1234.com pwc1234.xyz pwcemployees.com

Exhibit A to Intellectual Property Security Agreement

konamipath.com kpsfit.com ksmwellness.com lendmarkhealthfit.com livewellchildrens.com livewellmbi.com livewellmoritz.com lnajourneytowellness.com

measwellness.com mehcwellness.com

meriterwellness.com meritresourcesbenefits.com

mccainwellnessforlife.com

meritwellness.com metalsaleswellness.com mgcommittobefit.com mhawellnessforlife.com

mhpbbt.com

muscowellness.com myaccountablehealthwellness.com

myexpresswellness.com myhealthymac.com myjmwellness.com mykiewitwellness.com myliihealthmatters.com myprincipalwellness.com myrestorewellness.com myunitywellness.com ncrwellness.com newwestwellness.com nfpwellness.com

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rsiwellness.com schurzwellness.com sgiwellness.com sncwellnessforus.com srabrightrewards.com suncokewellness.com swsinvestinwellness.com

takeaction.co

thisisnoblewellness.com thompsoncoburnwellness.com

ticcraftwellness.com totalfitteam.com totallyfitteam.com trbridgetohealth.com trihydrowellness.com twmcwellness.com walkingwarriors.com wellmarkwellness.com wellnessatreliance.com wellnessbartwest.com wellnessfourme.com wellnessvitalsigns.com window2wellness.com winegardwellness.com diabetes connected com wellnessconnectasthma.com wellnessconnectdiabetes.com wellnessconnectsleep.com

IP owned:

- Accountable Health Solutions Wellness Portal: A key aspect of the Accountable Health Solutions business is its internally developed internet based wellness portal. The portal is customizable and flexible. The portal contains and displays key client and member-facing information, including a wellness assessment and educational materials
- iConnect.

IP licensed:

AppDynamaics

AssureBridge

Atlassian

Kenai Design Studio (WMS)

Navigate Wellness, LLC, d/b/a Live Health America (LHA)

Orbeon, Inc. Olik Tech Inc.

Rackspace

Xtivia Inc / Liferay

Iron. Io MailGun

Exhibit A to Intellectual Property Security Agreement

MySql Clustering Nprinting Barracuda

Software related to the business (other than off-the-shelf, shrink wrapped or clickwrapped):
AppDynmaics
Orbeon, Inc.
Qlik Tech Inc
Rackspace
Xtivia Inc / Liferay

URLs and Domains: Inventory of URLs and domain name registrations, web hosting services or other vendors. Hooper Holmes does not have any external web hosting services or use other vendors. The list below is an inventory of the URLs hosted by Hooper Holmes

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nsProtect<sup>TM</sup> Secure Certificate - Advanced (APSWF.HOOPERHOLMES.COM) 25528927 Issued 26-Jul-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (APSWFSTG.HOOPERHOLMES.COM) 25528927 Issued 12-Oct-13
nsProtect™ Secure Certificate - Advanced (AVI.HOOPERHOLMES.COM) 25528927 Issued 16-Mar-14
nsProtect™ Secure Certificate - Advanced (AVISTG.HOOPERHOLMES.COM) 25528927 Issued 16-Mar-14
nsProtect™ Secure Certificate - Advanced (BARCODESERVICE.HOOPERHOLMES.COM) 25528927 Issued 7-Jun-15
nsProtect™ Secure Certificate - Advanced (EEXAMS.HOOPERHOLMES.COM) 25528927 Issued 5-Mar-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (EPARAMED.HOOPERHOLMES.COM) 25528927 Issued 8-Apr-14
nsProtect™ Secure Certificate - Advanced (EPORTAMEDICSTG.HOOPERHOLMES.COM) 25528927 Issued 22-Feb-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (EPORTSTG.HOOPERHOLMES.COM) 25528927 Issued 3-May-14
nsProtect™ Secure Certificate - Advanced (ERPROD.HOOPERHOLMES.COM) 25528927 Issued 19-Sep-13
nsProtect™ Secure Certificate - Advanced (ERSTG.HOOPERHOLMES.COM) 25528927 Issued 5-Nov-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (EXAMINERS.HOOPERHOLMES.COM) 25528927 Issued 3-Jun-14
nsProtect™ Secure Certificate - Advanced (FMS.HHPARTNERLINK.COM) 25528927 Issued 17-Sep-14
nsProtect™ Secure Certificate - Advanced (FTP.HOOPERHOLMESSERVICES.COM) 25528927 Issued 5-Mar-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (FTP1.HERITAGELABS.COM) 25528927 Issued 6-Jan-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (FTP1.PORTAMEDIC.COM) 25528927 Issued 23-Sep-13
nsProtect™ Secure Certificate - Advanced (GETDIRECTIONS.HOOPERHOLMES.COM) 25528927 Issued 7-Jun-15
nsProtect™ Secure Certificate - Advanced (HHARC.HOOPERHOLMES.COM) 25528927 Issued 6-Jun-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (HHBEWELL.HOOPERHOLMES.COM) 25528927 Issued 4-Dec-15
nsProtect<sup>TM</sup> Secure Certificate - Advanced (HHCONNECT.HOOPERHOLMES.COM) 25528927 Issued 25-Jan-14
nsProtect™ Secure Certificate - Advanced (HHKIT.HHPARTNERLINK.COM) 25528927 Issued 4-Oct-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (HHORDSTG.HOOPERHOLMES.COM) 25528927 Issued 30-May-15
nsProtect<sup>TM</sup> Secure Certificate - Advanced (HHPWD.HOOPERHOLMES.COM) 25528927 Issued 8-Jun-14
nsProtectTM Secure Certificate - Advanced (HHWSRESPSTG.HOOPERHOLMES.COM) 25528927 Issued 5-Jun-15
nsProtect™ Secure Certificate - Advanced (HHWSSTATUS.PORTAMEDIC.COM) 25528927 Issued 14-Jan-15
nsProtect™ Secure Certificate - Advanced (HWSTAGING.HOOPERHOLMES.COM) 25528927 Issued 24-Jan-15
nsProtect™ Secure Certificate - Advanced (LTF.HOOPERHOLMES.COM) 25528927 Issued 30-Sep-13
nsProtect<sup>TM</sup> Secure Certificate - Advanced (PLSTG.HOOPERHOLMES.COM) 25528927 Issued 5-Oct-13
nsProtect<sup>TM</sup> Secure Certificate - Advanced (PMD.HHPARTNERLINK.COM) 25528927 Issued 17-Sep-14
nsProtect™ Secure Certificate - Advanced (REPORTING HOOPERHOLMES COM) 25528927 Issued 7-Mar-14
nsProtect™ Secure Certificate - Advanced (RSAPROD.EPORTAMEDIC.COM) 25528927 Issued 17-Dec-14
nsProtect™ Secure Certificate - Advanced (RSASTG.EPORTAMEDIC.COM) 25528927 Issued 17-Dec-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (SAS70.HOOPERHOLMES.COM) 25528927 Issued 17-Mar-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (SPONSORS.HOOPERHOLMES.COM) 25528927 Issued 28-Feb-15
nsProtect<sup>TM</sup> Secure Certificate - Advanced (SSP.HHPARTNERLINK.COM) 25528927 Issued 21-Oct-13
nsProtect<sup>TM</sup> Secure Certificate - Advanced (STAGING.HHPARTNERLINK.COM) 25528927 Issued 10-Oct-14
nsProtect™ Secure Certificate - Advanced (STAGINGFMS.HHPARTNERLINK.COM) 25528927 Issued 25-Oct-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (STG.EHERITAGELABS.COM) 25528927 Issued 4-Oct-14
nsProtect™ Secure Certificate - Advanced (TRAINING.HHIPARAMED.COM) 25528927 Issued 9-Oct-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (TSM5.HHNET01.COM) 25528927 Issued 28-Apr-15
nsProtect™ Secure Certificate - Advanced (WELLNESS.HOOPERHOLMES.COM) 25528927 Issued 17-Jul-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (WWW.APPRAISETESTS.COM) 25528927 Issued 29-Apr-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (WWW.EHERITAGELABS.COM) 25528927 Issued 4-Oct-14
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nsProtect<sup>TM</sup> Secure Certificate - Advanced (WWW.HERITAGELABS.NET) 25528927 Issued 8-Mar-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (WWW.HHIPARAMED.COM) 25528927 Issued 10-Sep-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (WWW.HHPARTNERLINK.COM) 25528927 Issued 9-Sep-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (WWW.HOOPERHOLMESSERVICES.COM) 25528927 Issued 19-Mar-14
nsProtect<sup>TM</sup> Secure Certificate - Advanced (WWW.MAASTEG.COM) 25528927 Expired 21-May-13
nsProtect<sup>TM</sup> Secure Certificate - Advanced (WWW.MAASTEG.COM) 25528927 Issued 21-May-15
nsProtect<sup>TM</sup> Secure Certificate - Advanced (WWW.RELI-ONA1C.COM) 25528927 Issued 9-Jun-14
nsProtect<sup>TM</sup> Secure Certificate - Basic (EMAIL.HOOPERHOLMES.COM) 25528927 Issued 29-Apr-15
nsProtect<sup>TM</sup> Secure Certificate - Basic (EMAIL.HOOPERHOLMES.COM) 25528927 Issued 29-Apr-15
nsProtect<sup>TM</sup> Secure Certificate - Basic (TSM1.HHNET01.COM) 25528927 Issued 12-May-15
nsProtect<sup>TM</sup> Secure Certificate - Basic (TSM2.HHNET01.COM) 25528927 Issued 12-May-15
nsProtect<sup>TM</sup> Secure Certificate - Basic (TSM3.HHNET01.COM) 25528927 Issued 1-Dec-14
nsProtect<sup>TM</sup> Secure Certificate - Basic (TSM4.HHNET01.COM) 25528927 Issued 30-Jan-15
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Domain Name Account No. Points To Private Folder Auto Renew Expiration Date WHOIS Administrative Contact WHOIS Technical Contact Account Holder

amidiabetic.biz 25528927 ns17.domaincontrol.com|ns18.domaincontrol.com No Default Off 2/28/2015 Hooper Holmes, Inc Hooper Holmes, Inc Hooper Holmes, Inc

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Exhibit A to Intellectual Property Security Agreement

RECORDED: 05/02/2016