

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM390402

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
<b>SEQUENCE:</b>	1		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Syntax, LLC		07/05/2016	Limited Liability Company: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Locus, LP		
<b>Street Address:</b>	2 Church Street		
<b>Internal Address:</b>	Clarendon House		
<b>City:</b>	Hamilton		
<b>State/Country:</b>	BERMUDA		
<b>Postal Code:</b>	HM 11		
<b>Entity Type:</b>	Limited Partnership: BERMUDA		
<b>PROPERTY NUMBERS Total: 3</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Serial Number:</b>	86181209	STRATIFIED ETF	
<b>Registration Number:</b>	4980036	STRATIFIED INDICES	
<b>Serial Number:</b>	86181153	STRATIFIED INDEX	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2129838903		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	2129838900		
<b>Email:</b>	sheldon@galvinpalmer.com		
<b>Correspondent Name:</b>	Sheldon Palmer		
<b>Address Line 1:</b>	630 Third Avenue		
<b>Address Line 2:</b>	23rd floor		
<b>Address Line 4:</b>	New York, NEW YORK 10017		
<b>NAME OF SUBMITTER:</b>	Sheldon Palmer		
<b>SIGNATURE:</b>	/sheldon palmer/		
<b>DATE SIGNED:</b>	07/06/2016		
<b>Total Attachments: 3</b>			

OP \$90.00 86181209

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ASSIGNMENT OF REGISTRATION OF MARK

WHEREAS, Syntax, LLC, a Delaware Limited Liability Company of 110 East 59th Street - 33rd Floor, New York NY 10022 has adopted and is using the mark STRATIFIED INDICES which is registered in the United States Patent and Trademark Office, Registration No. 4,980,036, dated June 14, 2016; and

WHEREAS Locus, LP, a Bermuda Limited Partnership of Clarendon House, 2 Church Street, Hamilton, HM 11 BERMUDA is desirous of acquiring said mark, the registration thereof and the good will of the business symbolized by the mark;

NOW, therefore, for good and valuable consideration, receipt of which is hereby acknowledged, said Syntax, LLC does hereby assign unto Locus, LP all right, title and interest in and to the said mark, together with the good will of the business symbolized by the mark, and the above identified registration thereof.

Syntax, LLC

By: Rory Riggs  
Rory Riggs

STATE OF NEW YORK )

) ss.:

COUNTY OF NEW YORK )

On the 6 day of July, 2016, before me appeared Rory Riggs, the person who signed this instrument, who acknowledged that he signed it as a free act on behalf of Syntax, LLC, with authority to do so.

CHRISTOPHER PALMER  
Notary Public, State of New York  
No. 00000000000000000000  
Commission Expires Nov. 10, 2017

Christopher Palmer  
Notary Public

ASSIGNMENT OF APPLICATION TO REGISTER MARK

WHEREAS, Syntax , LLC, a Delaware Limited Liability Company of 110 East 59th Street - 33rd Floor, New York NY 10022 has adopted and is using the mark STRATIFIED INDEX which is the subject of pending application to register in the United States Patent and Trademark Office, Serial Number 86/181153; filed January 31, 2014; and

WHEREAS Locus, LP, a Bermuda Limited Partnership of Clarendon House, 2 Church Street, Hamilton, HM 11 BERMUDA is desirous of acquiring said mark, the application therefor and the good will of the business symbolized by the mark;

NOW, therefore, for good and valuable consideration, receipt of which is hereby acknowledged, said Syntax , LLC does hereby assign unto Locus, LP all right, title and interest in and to the said mark, together with the good will of the business symbolized by the mark, and the above identified application therefor.

Syntax , LLC

By:   
Rory Riggs

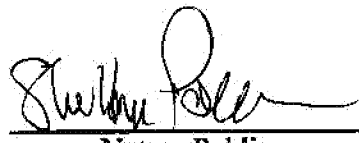
STATE OF NEW YORK )

) ss.:

COUNTY OF NEW YORK )

On the 5 day of July, 2016, before me appeared Rory Riggs, the person who signed this instrument, who acknowledged that he signed it as a free act on behalf of Syntax , LLC, with authority to do so.

SHELDON PALMER  
Notary Public, State of New York  
No. 31-4379058  
Qualified in New York County  
Commission Expires Nov. 10, 2019

  
Notary Public


ASSIGNMENT OF APPLICATION TO REGISTER MARK

WHEREAS, Syntax , LLC, a Delaware Limited Liability Company of 110 East 59th Street - 33rd Floor, New York NY 10022 has adopted and is using the mark STRATIFIED ETF which is the subject of pending application to register in the United States Patent and Trademark Office, Serial Number 86/181209; filed January 31, 2014; and

WHEREAS Locus, LP, a Bermuda Limited Partnership of Clarendon House, 2 Church Street, Hamilton, HM 11 BERMUDA is desirous of acquiring said mark, the application therefor and the good will of the business symbolized by the mark;

NOW, therefore, for good and valuable consideration, receipt of which is hereby acknowledged, said Syntax , LLC does hereby assign unto Locus, LP all right, title and interest in and to the said mark, together with the good will of the business symbolized by the mark, and the above identified application therefor.

Syntax , LLC

By:   
Rory Riggs

STATE OF NEW YORK )

) ss.:

COUNTY OF NEW YORK )

On the 5 day of July, 2016, before me appeared Rory Riggs, the person who signed this instrument, who acknowledged that he signed it as a free act on behalf of Syntax , LLC, with authority to do so.

STEPHEN PALMER  
Notary Public, State of New York  
No. 011620088  
Qualified in New York County  
Commission Expires Nov. 10, 2017

  
Notary Public