

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM393898

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ENTITY CONVERSION		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
NeuWave Medical, Inc.		05/01/2015	Corporation: WISCONSIN
RECEIVING PARTY DATA			
Name:	NeuWave Medical, Inc.		
Street Address:	3529 Anderson Street		
City:	Madison		
State/Country:	WISCONSIN		
Postal Code:	53704		
Entity Type:	Corporation: DELAWARE		
PROPERTY NUMBERS Total: 4			
Property Type	Number	Word Mark	
Serial Number:	86819125	DISTAL ENERGY CONTROL	
Serial Number:	85119293	NEUWAVE MEDICAL	
Serial Number:	86779820	THE ARM	
Registration Number:	4099855	CERTUS	
CORRESPONDENCE DATA			
Fax Number:	6086621276		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	608.662.1277		
Email:	docketing@casimirjones.com		
Correspondent Name:	David W. Staple		
Address Line 1:	2275 Deming Way, Ste 310		
Address Line 4:	Middleton, WISCONSIN 53562		
ATTORNEY DOCKET NUMBER:	MBLATE-31602		
NAME OF SUBMITTER:	David W. Staple		
SIGNATURE:	/dws/		
DATE SIGNED:	08/05/2016		
Total Attachments: 3			
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DO NOT STAPLE

Sec. 179.76(3) & (5).
180.1161(3) & (5).
181.1161(3) & (5) and
183.1207(3) & (5).
Wis. Stats.

State of Wisconsin
DEPARTMENT OF FINANCIAL INSTITUTIONS
Division of Corporate & Consumer Services



CERTIFICATE OF CONVERSION

1. Before conversion:

Company Name:
NeuWave Medical, Inc.

Indicate (X) Entity Type	<input type="checkbox"/>	Limited Partnership (Ch. 179, Wis. Stats.)	Organized under the laws of <u>Wisconsin</u> (state or country *)
	<input checked="" type="checkbox"/>	Business Corporation (Ch. 180, Wis. Stats.)	
	<input type="checkbox"/>	Nonstock Corporation (Ch. 181, Wis. Stats.)	
	<input type="checkbox"/>	Limited Liability Company (Ch. 183, Wis. Stats.)	

2. Does the converting entity have a fee simple ownership interest in any Wisconsin real estate?

Yes No

IMPORTANT - If you answer yes, the entity is required to file a report with the Wisconsin Dept. of Revenue under sec. 73.14 of the Wis. Stats. within 60 days after the effective date of the conversion.

NOTE: Sec. 73.14(2)(a) provides a penalty of \$200 for each day that the report is late, not to exceed \$7,500. You may access the form at: <http://ww2.revenue.wi.gov/internet/merger.html>

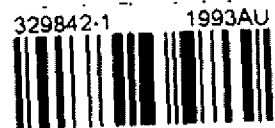
* If a foreign (out-of-state) business entity is converting to a Wisconsin business entity, attach a certificate of status (variously called "certificate of existence" or "certificate of good standing") issued by the Secretary of State or other appropriate official in the jurisdiction where the foreign business entity is organized, to include the name of the business entity and its **date of incorporation** or formation.

3. After conversion:

Company Name:
NeuWave Medical, Inc.

Indicate (X) Entity Type	<input type="checkbox"/>	Limited Partnership (Ch. 179, Wis. Stats.)	Organized under the laws of <u>Delaware</u> (state or country)
	<input checked="" type="checkbox"/>	Business Corporation (Ch. 180, Wis. Stats.)	
	<input type="checkbox"/>	Nonstock Corporation (Ch. 181, Wis. Stats.)	
	<input type="checkbox"/>	Limited Liability Company (Ch. 183, Wis. Stats.)	

FILING FEE - \$150.00 Use of this form is mandatory.
DFICORP/1000(R09/14)



TRADEMARK
REEL: 005847 FRAME: 0724

4. A Plan of Conversion containing all the following parts is **attached** as Exhibit A. (NOTE: A template for Plan of Conversion is included in this form. Use of the template is **optional**.)

- A. The name, form of business entity, and identity of the jurisdiction governing the business entity that is to be converted.
- B. The name, form of business entity, and identity of the jurisdiction that will govern the business entity after conversion.
- C. The terms and conditions of the conversion.
- D. The manner and basis of converting the shares or other ownership interests of the business entity that is being converted into shares or other ownership interests of the new form of business entity.
- E. The effective date and time of conversion, if the conversion is to be effective other than at the time of filing the certificate of conversion as provided under sec. 179.11(2), 180.0123, 181.0123 or 183.0111, whichever governs the business entity prior to conversion.
- F. A copy of the articles of incorporation, articles of organization, certificate of limited partnership, or other similar governing document of the business entity after conversion as Exhibit B. If converting the entity to another state or country, the governing document is not required. (NOTE: Templates for certificate of limited partnership, articles of incorporation, and articles of organization are included in this form. Use of the templates is **optional**.)
- G. Other provisions relating to the conversion, as determined by the business entity.

5. The Plan of Conversion was approved in accordance with the applicable law of the jurisdiction that governs the organization of the business entity.

6. Registered Agent (Agent for Service of Process) and Registered Office (Agent's business office) of the business entity **PRIOR TO CONVERSION**:

Registered Agent (Agent for Service of Process): Daniel Sullivan	Registered Office: 3529 Anderson Street Madison, WI 53704
Additional Entry for a Limited Partnership only →	Record Office:

7. Registered Agent (Agent for Service of Process) and Registered Office (Agent's business office) of the business entity **AFTER CONVERSION**:

Registered Agent (Agent for Service of Process): Daniel Sullivan	Registered Office in WI (Street & Number, City, State (WI) and ZIP code): 3529 Anderson Street Madison, WI 53704
Additional Entry for a Limited Partnership only →	Record Office:

8. Executed on May 1, 2015 (date) by the business entity **PRIOR TO ITS CONVERSION**.



(Signature)

Mark (X) below the title of the person executing the document.

Daniel Sullivan

(Printed Name)

For a **limited partnership**

Title: General Partner

For a **limited liability company**

Title: Member OR Manager

For a **corporation**

Title: President OR Secretary or other officer title

INSTRUCTIONS (Ref. Sec. 179.76(3) & (5), 180.1161(3) & (5), 181.1161(3) & (5) and 183.1207(3) & (5), Wis. Stats. for document content)

Submit one original along with the required filing fee of \$150.00 to the address listed below. Make checks payable to the "**Department of Financial Institutions**". Filing fee is non-refundable. Sign the document manually or otherwise allowed under sec. 179.14 (1g)(c), 180.0103 (16), 181.0103 (23) or 183.0107 (1g)(c), Wis. Stats.

Mailing Address:

Department of Financial Institutions
Division of Corporate & Consumer Services
P O Box 7846
Madison WI 53707-7846

Physical Address for Express Mail:

Department of Financial Institutions
Division of Corporate & Consumer Services
201 W. Washington Ave Suite 300
Madison WI 53703

Phone: 608-261-7577
FAX: 608-267-6813
TTY: TTY

NOTICE: This form may be used to accomplish a filing required or permitted by statute to be made with the department. Information requested may be used for secondary purposes. This document can be made available in alternate formats upon request to qualifying individuals with disabilities.

1. Enter the company name, type of business entity, and state of organization of business entity **prior to conversion**. Definitions of foreign entity types are set forth in ss. 179.01(4), 180.0103(9), 181.0103(13) and 183.0102(8), Wis. Stats.

If a foreign (out-of-state) business entity is converting to a Wisconsin business entity, attach a certificate of status (variously called "certificate of existence" or "certificate of good standing") issued by the Secretary of State or other appropriate official in the jurisdiction where the foreign business entity is organized, to include the name of the business entity and its **date of incorporation** or formation.

2. Select yes or no to indicate whether the converting entity has a fee simple ownership interest in any Wisconsin real estate. See sec. 73.14 and 77.25, Wis. Stats., or contact the Wisconsin Department of Revenue at (608)266-1594 for questions regarding fee simple ownership interest and the filing requirements with that department.

3. Enter the company name, type of business entity, and state of organization of business entity **after conversion**.

DFI/CORP/1000(R09/14)

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