

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM410317

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
PLUG POWER INC.		12/23/2016	Corporation:
RECEIVING PARTY DATA			
Name:	NY GREEN BANK		
Street Address:	1359 Broadway, 19th Floor		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10018		
Entity Type:	State-sponsored Financing Entity: NEW YORK		
PROPERTY NUMBERS Total: 18			
Property Type	Number	Word Mark	
Serial Number:	78128598	GENSYS	
Serial Number:	78128612	GENCORE	
Serial Number:	77965604	GENDRIVE	
Serial Number:	75249656	PLUG POWER	
Serial Number:	75873989	PLUG POWER FUEL CELL SYSTEMS	
Serial Number:	75873987	PLUG POWER	
Serial Number:	86383668	GENKEY	
Serial Number:	86382815	GENFUEL	
Serial Number:	86382799	GENCARE	
Serial Number:	86973775	GENSURE	
Serial Number:	86973782	PLUG POWER PP	
Serial Number:	86973790	PP	
Serial Number:	86973793	PLUG POWER	
Serial Number:	86973803	GENFUEL	
Serial Number:	86973809	GENCARE	
Serial Number:	86973812	GENKEY	
Serial Number:	86973817	GENDRIVE	
Serial Number:	86973821	GENSURE	

OP \$465.00 78128598

CORRESPONDENCE DATA**Fax Number:**

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 202 457 1814
Email: christopher.jamison@hklaw.com
Correspondent Name: Holland & Knight
Address Line 1: 800 17th Street, NW
Address Line 4: Washington, D.C. 20006

NAME OF SUBMITTER:	Christopher Jamison
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SIGNATURE:	/CRJ/
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DATE SIGNED:	12/28/2016
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Total Attachments: 4

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NOTICE
OF
GRANT OF SECURITY INTEREST
IN
TRADEMARKS

United States Patent and Trademark Office

Ladies and Gentlemen:

Please be advised that pursuant to the Intellectual Property Security Agreement dated as of December 23, 2016 (as the same may be amended, modified, restated or supplemented from time to time, the “Agreement” – capitalized terms used but not defined herein have the meanings ascribed therein) by and among the undersigned Obligor and the other obligors party thereto (each an “Obligor” and collectively, the “Obligors”) and NY Green Bank, a division of the New York State Research Energy and Development Authority (the “Lender”), the undersigned Obligor has granted a continuing security interest in, and a right to set off against, any and all right, title and interest of such Obligor in and to the Trademarks, including the trademarks and trademark applications set forth on Schedule 1 attached hereto, to the Lender to secure the prompt payment and performance in full when due, whether by lapse of time, acceleration, mandatory prepayment or otherwise, of the Obligors’ obligations due to Lender.

The undersigned Obligor and the Lender hereby acknowledge and agree that the security interest in the trademarks and trademark applications set forth on Schedule 1 attached hereto (i) may only be terminated in accordance with the terms of the Agreement, (ii) is not to be construed as an assignment of any trademark or trademark application, and (iii) in the event of any conflict between the terms of this agreement and the terms of the Agreement, the terms of the Agreement shall govern. This notice may be executed in counterparts, each of which will be deemed an original, but all of which together constitute one and the same original.

[Signature Page Follows]

Very truly yours,

PLUG POWER INC., a Delaware corporation

By: 

Name: Paul Middleton

Title: Chief Financial Officer

Address: 968 Albany Shaker Road, Latham, NY
12110

Acknowledged and Accepted:

NY GREEN BANK,
a Division of the New York State Energy
Research and Development Authority

By: _____

Name: Alfred Griffin

Title: President

Address of Lender:

NY GREEN BANK
1359 Broadway, 19th Floor
New York, NY 10018-7842

Attention: Operations & Finance
Telephone: 212-379-6260
Facsimile: 917-410-7353
Email: financeops@greenbank.ny.gov

Amy McCartin
Telephone: 212-379-6259
Email: amy.mccartin@greenbank.ny.gov

[Plug Power] Notice of Grant of Security Interest in Trademarks

TRADEMARK
REEL: 005952 FRAME: 0320

Very truly yours,

PLUG POWER INC., a Delaware corporation

By: _____
Name: Paul Middleton
Title: Chief Financial Officer

Address: 968 Albany Shaker Road, Latham, NY
12110

Acknowledged and Accepted:

NY GREEN BANK,
a Division of the New York State Energy
Research and Development Authority

By: _____
Name: Alfred Griffin
Title: President

Address of Lender:





NY GREEN BANK
1359 Broadway, 19th Floor
New York, NY 10018-7842

Attention: Operations & Finance
Telephone: 212-379-6260
Facsimile: 917-410-7353
Email: financeops@greenbank.ny.gov

Amy McCartin
Telephone: 212-379-6259
Email: amy.mccartin@greenbank.ny.gov

Schedule 1

Trademarks

Mark	App. No.	Filing Date	Reg. No.	Issued Date	Owner
GENSYS	78128598	5/14/2002	2929578	3/1/2005	Plug Power Inc.
GENCORE	78128612	5/14/2002	2783256	11/11/2003	Plug Power Inc.
GENDRIVE	77965604	3/23/2010	3869852	11/2/2010	Plug Power Inc.
PLUG POWER	75249656	2/28/1997	2362565	6/27/2000	Plug Power Inc.
	75873989	12/18/1999	2729568	6/24/2003	Plug Power Inc.
	75873987	12/18/1999	2729567	6/24/2003	Plug Power Inc.
GENKEY	86/383,668	9/3/2014	4,839,566	10/27/2015	Plug Power Inc.
GENFUEL	86/382,815	9/2/2014	4,839,555	10/27/2015	Plug Power Inc.
GENCARE	86/382,799	9/2/2014	4,839,554	10/27/2015	Plug Power Inc.
GENSURE	86/973,775	4/13/2016			Plug Power Inc.
	86/973,782	4/13/2016			Plug Power Inc.
	86/973,790	4/13/2016			Plug Power Inc.
PLUG POWER	86/973,793	4/13/2016			Plug Power Inc.
GENFUEL	86/973,803	4/13/2016			Plug Power Inc.
GENCARE	86/973,809	4/13/2016			Plug Power Inc.
GENKEY	86/973,812	4/13/2016			Plug Power Inc.
GENDRIVE	86/973,817	4/13/2016			Plug Power Inc.
GENSURE	86/973,821	4/13/2016			Plug Power Inc.

[Plug Power] Notice of Grant of Security Interest in Trademarks