TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

ETAS ID: TM410320

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: SECURITY INTEREST

CONVEYING PARTY DATA

Name Formerly		Execution Date	Entity Type	
EMERGENT POWER INC.		12/23/2016	Corporation:	

RECEIVING PARTY DATA

Name:	NY GREEN BANK		
Street Address:	1359 Broadway, 19th Floor		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10018		
Entity Type:	State-sponsored Financing Entity: NEW YORK		

PROPERTY NUMBERS Total: 3

Property Type	Number	Word Mark
Serial Number:	78688988	T-2000
Serial Number:	76387939	MODULAR CARTRIDGE TECHNOLOGY
Serial Number:	77063296	RELION

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 202 457 1814

Email: christopher.jamison@hklaw.com

Correspondent Name: Holland & Knight Address Line 1: 800 17th Street, NW Address Line 4: Washington, D.C. 20006

NAME OF SUBMITTER: Christopher Jamison	
SIGNATURE:	/CRJ/
DATE SIGNED:	12/28/2016

Total Attachments: 4

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NOTICE

OF

GRANT OF SECURITY INTEREST

IN

TRADEMARKS

United States Patent and Trademark Office

Ladies and Gentlemen:

Please be advised that pursuant to the Intellectual Property Security Agreement dated as of December 23, 2016 (as the same may be amended, modified, restated or supplemented from time to time, the "Agreement" – capitalized terms used but not defined herein have the meanings ascribed therein) by and among the undersigned Obligor and the other obligors party thereto (each an "Obligor" and collectively, the "Obligors") and NY Green Bank, a division of the New York State Research Energy and Development Authority (the "Lender"), the undersigned Obligor has granted a continuing security interest in, and a right to set off against, any and all right, title and interest of such Obligor in and to the Trademarks, including the trademarks and trademark applications set forth on Schedule 1 attached hereto, to the Lender to secure the prompt payment and performance in full when due, whether by lapse of time, acceleration, mandatory prepayment or otherwise, of the Obligors' obligations due to Lender.

The undersigned Obligor and the Lender hereby acknowledge and agree that the security interest in the trademarks and trademark applications set forth on <u>Schedule 1</u> attached hereto (i) may only be terminated in accordance with the terms of the Agreement, (ii) is not to be construed as an assignment of any trademark or trademark application, and (iii) in the event of any conflict between the terms of this agreement and the terms of the Agreement, the terms of the Agreement shall govern. This notice may be executed in counterparts, each of which will be deemed an original, but all of which together constitute one and the same original.

[Signature Page Follows]

[Emergent] Notice of Grant of Security Interest in Trademarks

Very truly yours

EMERGENT POWER INC., a Delaware corporation

By:

Name: lT*il*easurer

Title:

Address:

968 Albany Shaker Road, Latham, NY

12110

Acknowledged and Accepted:

NY GREEN BANK,

a Division of the New York State Energy Research and Development Authority

By:

Name: Alfred Griffin Title: President

Address of Lender:

NY GREEN BANK 1359 Broadway, 19th Floor New York, NY 10018-7842

Attention: Operations & Finance Telephone: 212-379-6260

Facsimile: 917-410-7353

Email: financeops@greenbank.ny.gov

Amy McCartin

Telephone: 212-379-6259

Email: amy.mccartin@greenbank.ny.gov

[Emergent] Notice of Grant of Security Interest in Trademarks

Very truly yours,

EMERGENT POWER INC., a Delaware corporation

By:

Name: Paul Middleton

Title: Treasurer

Address: 968 Albany Shaker Road, Latham, NY

12110

Acknowledged and Accepted:

NY GREEN BANK,

a Division of the New York State Energy Research and Development Authority

Ву:

Name: Alfred Griffin Title: President

Address of Donder:

NY GREEN BANK 1359 Broadway, 19th Floor New York, NY 10018-7842

Attention: Operations & Finance

Telephone: 212-379-6260 Facsimile: 917-410-7353

Email: financeops@greenbank.ny.gov

Amy McCartin

Telephone: 212-379-6259

Email: amy.mccartin@greenbank.ny.gov

[Emergent] Notice of Grant of Security Interest in Trademarks

Schedule 1

$\underline{Trademarks}$

Mark	App. No.	Filing Date	Reg. No.	Issued	Owner
T 2000	70/00000	0.10.10.007	2220245	Date	F .D I
T-2000	78688988	8/9/2005	3320345	10/23/2007	Emergent Power Inc.
MODULAR	76387939	3/27/2002	2683255	2/4/2003	Emergent Power Inc.
CARTRIDGE					
TECHNOLOGY + Design					
RELION	77063296	12/13/2006	3387656	2/26/2008	Emergent Power Inc.

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RECORDED: 12/28/2016