

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM410718

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
ICAP Services North America LLC		12/22/2016	Limited Liability Company: DELAWARE
RECEIVING PARTY DATA			
Name:	Intercapital Services North America LLC		
Street Address:	1100 Plaza Five		
Internal Address:	Harborside Financial Center		
City:	Jersey City		
State/Country:	NEW JERSEY		
Postal Code:	07311		
Entity Type:	Limited Liability Company: DELAWARE		
PROPERTY NUMBERS Total: 15			
Property Type	Number	Word Mark	
Registration Number:	3410884	RESET	
Registration Number:	3419193	RESET	
Registration Number:	2601551	BTEC	
Registration Number:	4497835	BROKERTEC	
Registration Number:	3904726	NYFR FIXING	
Registration Number:	3875508	ICAP SHIPPING	
Registration Number:	3875509	ICAP SHIPPING	
Registration Number:	4172022	REMATCH	
Registration Number:	1740664	GOVPX	
Registration Number:	3507580	I-CROSS	
Registration Number:	4252856	I-REPO	
Registration Number:	3905105	TICONDEROGA	
Registration Number:	2633073	TRAIANA	
Registration Number:	4073034	ULTIMATCH	
Registration Number:	4631629	BROKERTEC	
CORRESPONDENCE DATA			
Fax Number:	2124843990		

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TRADEMARK

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 212.492.3327
Email: scott.dodge@arentfox.com, michael.mcguire@arentfox.com
Correspondent Name: ARENT FOX LLP
Address Line 1: 1675 Broadway
Address Line 4: New York, NEW YORK 10019-5820

NAME OF SUBMITTER:	Scott Dodge
SIGNATURE:	/Scott Dodge/
DATE SIGNED:	12/30/2016

Total Attachments: 13
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ICAP SERVICES NORTH AMERICA LLC
AND
INTERCAPITAL SERVICES NORTH AMERICA LLC

INTELLECTUAL PROPERTY ASSIGNMENT

THIS ASSIGNMENT is made on 22 DECEMBER 2016

BETWEEN:

- (1) **ICAP SERVICES NORTH AMERICA LLC**, a limited liability company organised and existing under the laws of the State of Delaware, USA with its principal place of business at Harborside Financial Center, 1100 Plaza Five, Jersey City, NJ 07311, USA and registered no. 2828113 (the "Assignor"); and
- (2) **INTERCAPITAL SERVICES NORTH AMERICA LLC**, a limited liability company organised and existing under the laws of the State of Delaware, USA with its principal place of business at Harborside Financial Center, 1100 Plaza Five, Jersey City, NJ 07311, USA and registered no. 5928112 (the "Assignee").

THE PARTIES AGREE as follows:

I. DEFINITIONS

In this Assignment:

"Encumbrance" means any mortgage, charge (fixed or floating), pledge, lien, option, right to acquire, right of pre-emption, assignment by way of security or trust arrangement for the purpose of providing security or other security interest of any kind (including any retention arrangement), or any agreement to create any of the foregoing; and

"Registered Intellectual Property Rights" means the registrations and applications listed in the Schedule to this Assignment of which the Assignor is the registered proprietor.

2. ASSIGNMENT

In consideration of the sum of £1 now paid by the Assignee to the Assignor (the receipt of which the Assignor hereby acknowledges) the Assignor hereby assigns and transfers to the Assignee absolutely with full title guarantee, free from Encumbrances:

- 2.1 all of its right, title and interest in and to the Registered Intellectual Property Rights including all statutory and common law rights and powers, liberties and immunities attaching to the Registered Intellectual Property Rights;
- 2.2 the right to sue for and to recover damages and other remedies in respect of any infringement of the Registered Intellectual Property Rights which may have occurred before the date of this Assignment; and
- 2.3 any goodwill attaching to the Registered Intellectual Property Rights.

3. FURTHER ASSURANCES

The Assignor shall on request by the Assignee in writing, do and execute or arrange for the doing and executing of, each necessary act, document and thing reasonably within its power to give effect to this Assignment.

4. **GOVERNING LAW**

4.1 This Assignment is governed by English law.

4.2 The courts of England have exclusive jurisdiction to settle any dispute arising from or connected with this Assignment.

5. **COUNTERPARTS**

This Assignment may be executed in any number of counterparts, each of which when executed and delivered is an original and all of which together evidence the same agreement.

**SCHEDULE
THE INTELLECTUAL PROPERTY RIGHTS**

Registered Intellectual Property Rights

I. Trade Marks

Case Ref.	Country	Category	Title	Application No.	Filing Date	Registration No.	Registration Date
1. T/20015.US01	United States of America	Normal	RESET	78/809004	15-Jun-2006	3410864	08-Apr-2008
2. T/20023.US01	United States of America	Normal	RESET logo	77/051116	27-Nov-2006	3419193	29-Apr-2008
3. T/20057.CA01	Canada	Normal	BTEC	1669892	26-Mar-2014	TMA919086	02-Nov-2015
4. T/20057.US01	United States of America	Normal	BTEC	75/652433	03-Mar-1998	2601551	30-Jul-2002
5. T/20058.CA01	Canada	Normal	BROKERTEC	1578900	28-May-2014	TMA925394	08-Jan-2016
6. T/20068.US02	United States of America	Normal	BROKERTEC	86/031335	07-Aug-2013	4497835	18-Mar-2014
7. T/20044.EU01	European Union	Normal	NYFR	307369218	05-Nov-2008	007369218	10-Jun-2009

8.	TZ22644.GB01	United Kingdom	Normal	NYFR	2427213	2467613	12-May-2008	26-Dec-2008
9.	TZ20650.EM01	European Union	Normal	NYFR FIXING	007369457	007369457	05-Nov-2008	10-Jan-2009
10.	TZ20650.GB01	United Kingdom	Normal	NYFR FIXING	2488389	2488368	23-May-2008	13-Feb-2009
11.	TZ20650.US01	United States of America	Normal	NYFR FIXING	77492134	3904726	05-Jun-2008	11-Jan-2011
12.	TZ21120.US01	United States of America	Normal	ICAP SHIPPING	77793884	3875508	06-Aug-2008	16-Nov-2010
13.	TZ21121.US01	United States of America	Normal	ICAP SHIPPING & DEVICE	77793946	3875509	06-Aug-2008	16-Nov-2010
14.	TZ21242.US01	United States of America	Normal	REMATCH	777766703	4172022	22-Jul-2008	10-Jul-2012
15.	TZ21434.US01	United States of America	Normal	GOVPX	74136885	1740564	06-Feb-1991	15-Dec-1992
16.	TZ21436.US01	United States of America	Normal	I-CROSS	77336663	3507580	26-Nov-2007	30-Sep-2008
17.	TZ21438.US02	United States of America	Normal	I-Repo	85498607	4252858	19-Dec-2011	04-Dec-2012

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18.	T/21441.US01	United States of America	Normal	TICONDEROGA	777762763	18-Jun-2008	3905105	11-Jan-2011
19.	T/21443.US01	United States of America	Normal	TRAIANA	767222031	05-Mar-2001	2633079	08-Oct-2002
20.	T/22096.US01	United States of America	Normal	ULTIMATCH	85178839	17-Nov-2010	4073094	20-Dec-2011
21.	T/23214.US01	United States of America	Normal	BROKERTEC Logo (New - Greyscale)	86219983	13-Mar-2014	4631628	04-Nov-2014
22.	T/23333.CA01	Canada	Normal	BROKERTEC Logo (New - Black and White)	1678805	28-May-2014		

II. Patents

Country	Case Ref	Title	Application No.	Filing Date	Case Status	Registration No.
United States of America	P/53146.US01	COLLATERAL ALLOCATION	10/632149	30/07/2003	Registered	7593886
Australia	P/53147.AU01	DIRECT MESSAGING	2004268772	02/09/2004	Abandoned by client	
European Patent Office	P/53147.EP01	DIRECT MESSAGING	04783006.2	02/09/2004	Abandoned by client	
United States of America	P/53147.US01	DIRECT MESSAGING	10/933152	02/09/2004	Registered	7725373
United States of America	P/53147.US02	DIRECT MESSAGING	60/499673	02/09/2003	Abandoned by client	
United States of America	P/53147.US03	DIRECT MESSAGING	12/709635	02/09/2004	Abandoned by client	
United States of America	P/53147.US04	DIRECT MESSAGING	12/758089	02/09/2004	Published	
United States of America	P/53147.US05	DIRECT MESSAGING	14/051564	02/09/2004	Abandoned by client	
United States of America	P/53148.US01	FIRST LOOK	10/990746	17/11/2004	Abandoned by client	
United States of America	P/53149.US02	FIRST LOOK	11/322669	17/11/2004	Not renewed & abandoned	7840478
United States of America	P/53149.US03	FIRST LOOK	12/906655	17/11/2004	Abandoned by client	
United States of America	P/53149.US04	FIRST LOOK	12/903021	17/11/2004	Registered	8260700
United States of America	P/53149.US05	FIRST LOOK	13/555046	17/11/2004	Registered	8407134
United States of America	P/53150.US01	BLOCK TRADING	60/772066	10/02/2006	Abandoned by client	
United States of America	P/53150.US02	BLOCK TRADING	11/705347	12/02/2007	Registered	7966249
United States of America	P/53160.US01	Linked Orders	11/242621	03/10/2005	Registered	7761365

17.	United States of America	P/53160.US02	Linked Orders	12/7/2006	03/10/2005	Registered	8249879
18.	United States of America	P/53160.US03	Linked Orders		03/10/2005	Abandoned by client	
19.	United States of America	P/53160.US04	Linked Orders	12/6/14740	03/10/2005	Registered	7844543
20.	United States of America	P/53160.US05	Linked Orders	12/8/14700	03/10/2005	Registered	7844542
21.	Parent Cooperation Treaty	P/53160.WO01	Linked Orders	PCT/US2006/038241	02/10/2006	PCT Stage Ended	
22.	United States of America	P/53161.US01	Negotiating in the Middle (Parent Case)	11/7/20038	31/01/2007	Registered	7860780
23.	United States of America	P/53161.US02	Negotiating in the Middle (Continuation)	12/9/51329	31/01/2007	Application filed	
24.	United States of America	P/53163.US01	Bletter	11/29/1465	30/11/2005	Registered	8255315
25.	United States of America	P/53163.US02	Bletter	12/364091	30/11/2005	Registered	8374950
26.	United States of America	P/53174.US01	Repo System(Corporate Bond)	11/062189	17/02/2005	Abandoned by client	
27.	United States of America	P/53174.US02	Repo System(Corporate Bond)	11/371696	17/02/2005	Application filed	
28.	United States of America	P/53174.US03	Repo System(Corporate Bond)	12/435752	17/02/2005	Abandoned by client	
29.	United States of America	P/53174.US04	Repo System(Corporate Bond)	12/570769	17/02/2005	Abandoned by client	
30.	United States of America	P/53174.US05	Repo System(Corporate Bond)	13/029604	17/02/2005	Abandoned by client	
31.	United States of America	P/53174.US06	Repo System(Corporate Bond)	13/180008	17/02/2005	Published	
32.	United States of America	P/53175.US01	Price For Call	11/070746	02/03/2005	Abandoned by client	
33.	United States of America	P/53175.US02	Price For Call	11/371704	02/03/2005	Registered	7827093
34.	United States of America	P/53175.US03	Price For Call	12/782031	02/03/2005	Registered	8175957

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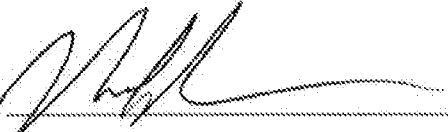
35.	United States of America	P/53175.US04	Price For Call	12/7/92 148	02/03/2005	Registered	8364573
36.	United States of America	P/53175.US05	Price For Call	12/7/92 213	02/03/2005	Registered	8364574
37.	United States of America	P/53176.US01	Best Execution	11/3/88 737	27/03/2006	Registered	8103575
38.	United States of America	P/53176.US02	Best Execution	13/34/179	27/03/2006	Abandoned by client	
39.	United States of America	P/53178.US01	US Credit	11/40/2466	12/04/2006	Registered	8473400
40.	United States of America	P/53179.US01	Bid When Figure	11/43/293	09/05/2006	Abandoned by client	
41.	United States of America	P/53179.US02	Bid When Figure	12/50/637	09/05/2006	Registered	8360610
42.	United States of America	P/53180.US01	Double Public	11/47/5975	28/06/2006	Registered	8005745
43.	United States of America	P/53180.US02	Double Public	13/17/2965	28/06/2006	Abandoned by client	
44.	United States of America	P/53180.US03	Double Public	13/76/431	28/06/2006	Registered	8762266
45.	United States of America	P/60869.US01	Energy Matrix	11/78/495	23/07/2007	Abandoned by client	
46.	United States of America	P/60669.US02	Energy Matrix	13/62/591	23/07/2007	Registered	8938397
47.	United States of America	P/61027.US01	Trade Through	12/16/083	01/07/2008	Registered	7631504
48.	United States of America	P/61027.US02	Trade Through	12/90/010	01/07/2008	Registered	7849599
49.	United States of America	P/61027.US03	Trade Through	13/09/555	01/07/2008	Application filed	
50.	United States of America	P/61164.US01	Central Clearing	61/08/608	01/08/2008	Abandoned by client	
51.	United States of America	P/61164.US02	Central Clearing	12/53/662	31/07/2009	Published	

52.	United States of America	P/65178.US01	Traiana Converting OTC FX Into Perpetual Futures	61/175869	06/05/2009	Abandoned by client
53.	United States of America	P/65119.US01	Fixing Orders	61/575352	20/08/2010	Abandoned by client
54.	United States of America	P/65119.US02	Fixing Orders	13/212524	18/08/2011	Application filed
55.	United States of America	P/65119.US03	Fixing Orders	13/592555	18/08/2011	Abandoned by client
56.	United States of America	P/65119.US04	Fixing Orders	13/611185	18/08/2011	Abandoned by client
57.	United States of America	P/65535.US01	CDS Spot	13/026501	14/02/2011	Published
58.	United States of America	P/65635.US02	CDS Spot	13/361238	14/02/2011	Abandoned by client
59.	Patent Cooperation Treaty	P/65635.WO01	CDS Spot	PCT/IB2012/000392	14/02/2012	Abandoned by client
60.	United States of America	P/66006.US01	Reset Curve Range	13/463315	30/05/2012	Published
61.	United States of America	P/66007.US01	Traiana Credit Link	61/500646	24/06/2011	Abandoned by client
62.	United States of America	P/66007.US02	Traiana Credit Link	13/530711	22/06/2012	Abandoned by client
63.	United States of America	P/66007.US03	Traiana Credit Link	13/645216	22/06/2012	Abandoned by client
64.	United States of America	P/66007.US04	Traiana Credit Link	15/144275	22/06/2012	Application filed
65.	United States of America	P/68443.US01	Size priority	61/777795	12/03/2013	Abandoned by client
66.	United States of America	P/68443.US02	Size priority	14/205735	12/03/2014	Application filed
67.	European Patent Office	P/68638.EP01	Latency Floor	14/184147.8	09/09/2014	Exam requested
68.	United States of America	P/68638.US01	Latency Floor	61/875263	09/09/2013	Abandoned by client

69.	United States of America	P/696533.US02	Latency Floor	14/481022	09/09/2014	Published
70.	United States of America	P/696807.US01	Traiana: On-Demand Limit Push	61/9399730	11/10/2013	Abandoned by client
71.	United States of America	P/696907.US02	Traiana: On-Demand Limit Push	61/928598	17/01/2014	Abandoned by client
72.	United States of America	P/696807.US03	Traiana: On-Demand Limit Push	14/519487	14/10/2014	Application filed
73.	United States of America	P/70293.US01	Central Limit Order Book Quote Count	62/304415	07/03/2016	Application filed
74.	United States of America	P/70652.US01	Bond Correlated Call(BCC) Index	61/882639	18/10/2013	Abandoned by client
75.	United States of America	P/70652.US02	Bond Correlated Call(BCC) Index	14/517090	17/10/2014	Application filed
76.	United States of America	P/74891.US01	Real-Time Insurance Model Market	62/304505	07/03/2016	Application filed
77.	United States of America	P/53146.US02	COLLATERAL ALLOCATION	60/399903	30/07/2002	Abandoned by client
78.	United States of America	P/53146.US03	COLLATERAL ALLOCATION	12/539411	30/07/2003	Registered
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EXECUTED by the parties

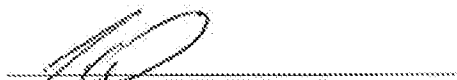
ICAP SERVICES NORTH AMERICA LLC:

Signed by  _____

Name: Nicholas Deflora

Title: Director/CEO

INTERCAPITAL SERVICES NORTH AMERICA LLC:

Signed by  _____

Name: Jason Cohen

Title: Director/Co-CEO

EXECUTED by the parties

ICAP SERVICES NORTH AMERICA LLC:

Signed by 

Name: Nicholas DeFlora

Title: Director/CEO

INTERCAPITAL SERVICES NORTH AMERICA LLC:

Signed by 

Name: Jason Cohen

Title: Director/Co-CEO