

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM410814

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	SECURITY INTEREST		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Legend Group Holdings, LLC		01/03/2017	Limited Liability Company: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Wells Fargo Bank		
<b>Street Address:</b>	300 Barr Harbor Dr., Suite 850		
<b>City:</b>	Conshohocken		
<b>State/Country:</b>	PENNSYLVANIA		
<b>Postal Code:</b>	19428		
<b>Entity Type:</b>	National Banking Association: UNITED STATES		
<b>PROPERTY NUMBERS Total: 9</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	3480600	THE LEGEND GROUP	
<b>Registration Number:</b>	3211043	NEURALVISION	
<b>Registration Number:</b>	3131411	STRATEGIC ASSET MANAGEMENT	
<b>Registration Number:</b>	3760971	STRATEGIC ASSET MANAGEMENT	
<b>Registration Number:</b>	3214231	SAM	
<b>Registration Number:</b>	3902615	SAM	
<b>Registration Number:</b>	2633966	INVESTING WITH INTELLIGENCE	
<b>Registration Number:</b>	2562204	FREEMARK LIBERTY SERIES	
<b>Registration Number:</b>	2307318	FREEMARK FREEDOM SERIES	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	7043778156		
<b>Email:</b>	jcarusone@robinsonbradshaw.com		
<b>Correspondent Name:</b>	Jennifer Carusone		
<b>Address Line 1:</b>	101 N. Tryon St., Suite 1900		
<b>Address Line 2:</b>	Robinson, Bradshaw & Hinson, P.A.		
<b>Address Line 4:</b>	Charlotte, NORTH CAROLINA 28246		

CH \$240.00 3480600

<b>NAME OF SUBMITTER:</b>	Jennifer Carusone
<b>SIGNATURE:</b>	/Jennifer Carusone/
<b>DATE SIGNED:</b>	01/03/2017
<b>Total Attachments: 2</b> source=Legend Group Holdings, LLC - TM Security Interest#page1.tif source=Legend Group Holdings, LLC - TM Security Interest#page2.tif	

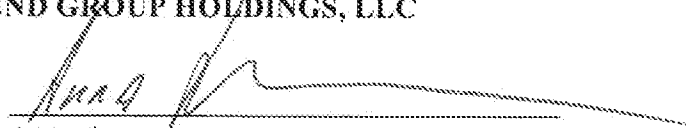
**GRANT OF SECURITY INTEREST  
IN PATENTS AND TRADEMARKS**

**WHEREAS, LEGEND GROUP HOLDINGS, LLC** (the "Pledgor") is the owner of the trademark applications and registrations listed on Schedule A attached hereto, (all such trademarks, registrations and applications, collectively, the "Trademarks") and is the owner of the patents and patent applications listed on Schedule A attached hereto (all such patents, registrations and applications, collectively, the "Patents"); and

**WHEREAS**, the Pledgor has entered into a Collateral Agreement (as amended, modified, restated or supplemented from time to time, the "Collateral Agreement"), dated as of January 3, 2017, in which the Pledgor has agreed with Wells Fargo Bank, National Association, as Lender (the "Lender"), with offices at 300 Barr Harbor Drive, Suite 850, Conshohocken, PA 19428 to execute this Grant;

**NOW, THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, as security for the payment and performance of the Secured Obligations (as defined in the Collateral Agreement), the Pledgor does hereby grant to the Lender a security interest in all of its right, title and interest in and to the Trademarks and the Patents, and the use thereof, together with all proceeds and products thereof and the goodwill of the businesses (other than the business of the Excluded Subsidiary) symbolized by the Trademarks. This Grant has been given in conjunction with the security interest granted to the Lender under the Collateral Agreement, and the provisions of this Grant are without prejudice to and in addition to the provisions of the Collateral Agreement, which are incorporated herein by this reference.

**LEGEND GROUP HOLDINGS, LLC**

By:   
Name: Anna Arena  
Title: Treasurer

**Schedule A**

**TRADEMARKS AND TRADEMARK APPLICATIONS**

<u>Owner</u>	<u>Mark</u>	<u>Application or Registration No.</u>	<u>Issue or Filing Date</u>
Legend Group Holdings, LLC	THE LEGEND GROUP	3480600	8/5/2008
Legend Group Holdings, LLC	NEURALVISION	3211043	2/20/2007
Legend Group Holdings, LLC	STRATEGIC ASSET MANAGEMENT	3131411	8/15/2006
Legend Group Holdings, LLC	STRATEGIC ASSET MANAGEMENT	3760971	3/16/2010
Legend Group Holdings, LLC	SAM	3214231	2/27/2007
Legend Group Holdings, LLC	SAM	3902615	1/11/2011
Legend Group Holdings, LLC	INVESTING WITH INTELLIGENCE	2633966	10/15/2002
Legend Group Holdings, LLC	FREEMARK LIBERTY SERIES	2562204	4/16/2002
Legend Group Holdings, LLC	FREEMARK FREEDOM SERIES	2307318	1/11/2007

**PATENTS AND PATENT APPLICATIONS**

Owner                      Application or Registration No.                      Issue or  
Filing Date

None.