

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM411823

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Alpine Investment Management, LLC		01/01/2017	Limited Liability Company: MISSOURI
RECEIVING PARTY DATA			
Name:	Alpine Private Capital, LLC		
Street Address:	8000 Maryland Avenue, Suite 700		
City:	St. Louis		
State/Country:	MISSOURI		
Postal Code:	63105		
Entity Type:	Limited Liability Company: DELAWARE		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	3938932	ALPINE PRIVATE CAPITAL	
CORRESPONDENCE DATA			
Fax Number:			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Email:	sfaction@spencerfane.com		
Correspondent Name:	Glenn K. Robbins II		
Address Line 1:	Spencer Fane LLP		
Address Line 2:	1 N. Brentwood Boulevard, Ste. 1000		
Address Line 4:	St. Louis, MISSOURI 63105		
NAME OF SUBMITTER:	Glenn K. Robbins II		
SIGNATURE:	/glenn k. robbins ii/		
DATE SIGNED:	01/10/2017		
Total Attachments: 2			
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OP \$40.00 3938932

TRADEMARK ASSIGNMENT

THIS TRADEMARK ASSIGNMENT is made and entered into, and intended to be effective, as of January 1, 2017, ("Effective Date"), by and between Alpine Investment Management, LLC, a Missouri limited liability company ("Assignor"), and Alpine Private Capital, LLC, a Delaware limited liability company ("Assignee").

This Trademark Assignment supplements and implements the Contribution Agreement between Assignor and Assignee effective as of the Effective Date as it relates to and further implements the assignment of all of Assignor's right, title, and interest in and to the trademark listed herein.

Assignor owns the following U.S. Trademark Registration (hereinafter referred to as the "Mark"):

Trademark	Reg./Ser. No.	Goods/Services
ALPINE PRIVATE CAPITAL	3,938,932	Financial services, namely, investment management and investment advice; Financial investment in the field of securities for individuals and institutions; Financial affairs, namely, private equity fund management and investment; Securities brokerage; Financial analysis and consultation; Financial and investment services, namely, management and brokerage in the fields of stocks, bonds, options, commodities, futures and other securities, and the investment of funds of others

WHEREAS, Assignee, the successor of that portion of the ongoing and existing business of Assignor to which the Mark pertains, desires to acquire the Mark, the registration therefor, and all of the rights to and interests in the Mark.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor does hereby assign to Assignee all right, title and interest in and to the Mark and any and all rights to any registration thereof or application for registration thereof which may now or hereafter exist, and the goodwill of the business symbolized thereby.

Furthermore, Assignor specifically assigns to Assignee all of Assignor's claims (if any) to recover for any infringement or other violation of the ownership rights of the Mark, whether such infringement or violation occurs in the future or has occurred in the past.

SL 2178730.1

IN WITNESS WHEREOF, the undersigned Assignor has executed this Trademark Assignment, intending the same to be effective as of the Effective Date.

ALPINE INVESTMENT MANAGEMENT, LLC,
A Missouri limited liability company

By: _____

President