

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM415768

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Conifer Financial Services LLC		02/09/2017	Limited Liability Company: DELAWARE
RECEIVING PARTY DATA			
Name:	Deutsche Bank AG New York Branch, as Collateral Agent		
Street Address:	60 Wall Street		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10005		
Entity Type:	Foreign Banking Corporation: GERMANY		
PROPERTY NUMBERS Total: 6			
Property Type	Number	Word Mark	
Registration Number:	4232872	CONXS	
Registration Number:	4558148	ICON	
Registration Number:	4288023	CONIFER FUND SERVICES	
Registration Number:	4267209	CONIFER SECURITIES	
Registration Number:	4786957		
Registration Number:	4798088	CONIFER FINANCIAL SERVICES	
CORRESPONDENCE DATA			
Fax Number:	2123548113		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	2128198437		
Email:	iprecordations@whitecase.com		
Correspondent Name:	Andrew Fessak / White & Case LLP		
Address Line 1:	1155 Avenue of the Americas		
Address Line 4:	New York, NEW YORK 10036		
ATTORNEY DOCKET NUMBER:	1111779-2518		
NAME OF SUBMITTER:	Andrew Fessak		
SIGNATURE:	/Andrew Fessak/		
DATE SIGNED:	02/10/2017		

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Total Attachments: 4

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NOTICE
OF
GRANT OF SECURITY INTEREST
IN
TRADEMARKS

United States Patent and Trademark Office

Ladies and Gentlemen:

Please be advised that pursuant to the Security and Pledge Agreement dated as of July 8, 2015 (as the same may be amended, modified, extended or restated from time to time, the "Agreement") by and among the Obligors party thereto (each an "Obligor" and collectively, the "Obligors") and Deutsche Bank AG New York Branch, as Collateral Agent (the "Collateral Agent") for the holders of the Secured Obligations referenced therein, the undersigned Obligor has granted a continuing security interest in and continuing lien upon the trademarks and trademark applications shown below to the Collateral Agent for the ratable benefit of the holders of the Secured Obligations:

TRADEMARKS

Work	Country	Registration Date	Registration No.	Applicant or Owner
CONXS	USA	30-Oct-12	4232872	CONIFER FINANCIAL SERVICES LLC
ICON	USA	1-Jul-14	4558148	CONIFER FINANCIAL SERVICES LLC
CONIFER FUND SERVICES	USA	12-Feb-13	4288023	CONIFER FINANCIAL SERVICES LLC
CONIFER SECURITIES	USA	1-Jan-13	4267209	CONIFER FINANCIAL SERVICES LLC
[DESIGN ONLY]	USA	4-Aug-15	4786957	CONIFER FINANCIAL SERVICES LLC
CONIFER FINANCIAL SERVICES	USA	25-Aug-15	4798088	CONIFER FINANCIAL SERVICES LLC

TRADEMARK APPLICATIONS

None.

The undersigned Obligor and the Collateral Agent, on behalf of the holders of the Secured Obligations, hereby acknowledge and agree that the security interest in the foregoing trademarks and trademark applications (i) may only be terminated in accordance with the terms of the Agreement and (ii) is not to be construed as an assignment of any trademark or trademark application.

Very truly yours,

Conifer Financial Services LLC

By: 
Name: John J. McDonald
Title: President and Chief Executive Officer

Date: February 9, 2017

Acknowledged and Accepted:

Deutsche Bank AG New York Branch, as Collateral Agent

By: _____
Name: _____
Title: _____

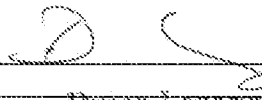
By: _____
Name: _____
Title: _____

Signature Page to Trademark Supplement

Acknowledged and Accepted:

Deutsche Bank AG New York Branch, as Collateral Agent

By: 
Name: **Anca Trifan**
Title: **Managing Director**

By: 
Name: **Dusan Lazarev**
Title: **Director**

Signature Page to Trademark Supplement