

Form PTO-1594 (Rev. 12-11)  
OMB Collection 0651-0027 (exp. 04/30/2015)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

### RECORDATION FORM COVER SHEET TRADEMARKS ONLY

To the Director of the U. S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies):**

Enterprise Bank & Trust

- Individual(s)
- Partnership
- Corporation- State: \_\_\_\_\_
- Other Financial Institution
- Association
- Limited Partnership

Citizenship (see guidelines) Missouri

Additional names of conveying parties attached?  Yes  No

**3. Nature of conveyance/Execution Date(s) :**

Execution Date(s) August 5, 2015

- Assignment
- Security Agreement
- Other Bill of Sale
- Merger
- Change of Name

**2. Name and address of receiving party(ies)**

Additional names, addresses, or citizenship attached?  Yes  No

Name: JAC Shelter, LLC

Street Address: PO Box 2586

City: Ponca City

State: Oklahoma

Country: United States Zip: 74602

- Individual(s) Citizenship Oklahoma, US
- Association Citizenship \_\_\_\_\_
- Partnership Citizenship \_\_\_\_\_
- Limited Partnership Citizenship \_\_\_\_\_
- Corporation Citizenship \_\_\_\_\_
- Other LLC Citizenship Oklahoma

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
(Designations must be a separate document from assignment)

**4. Application number(s) or registration number(s) and identification or description of the Trademark.**

A. Trademark Application No.(s) 85/134,091 Text

B. Trademark Registration No.(s) 4033833

Additional sheet(s) attached?  Yes  No

**C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):**

TESTED AND CERTIFIED TORNADO PROVEN + Logo

**5. Name & address of party to whom correspondence concerning document should be mailed:**

Name: Edward L. White

Internal Address: \_\_\_\_\_

Street Address: 829 East 33rd Street

City: Edmond

State: Oklahoma Zip: 73013

Phone Number: 405-810-8188

Docket Number: Wirtz2015

Email Address: ed@edwhite.com

9. Signature: 

Signature

Edward L. White

Name of Person Signing

6. Total number of applications and registrations involved:

7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$40.00

- Authorized to be charged to deposit account
- Enclosed

**8. Payment Information:**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

02/08/2017

Date

Total number of pages including cover sheet, attachments, and document:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Branch, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

700519934 02/08/2017

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- Change of Name

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Additional names, addresses, or citizenship attached?  Yes  No

Name: JAC Shelter, LLC

Street Address: PO Box 2566

City: Ponca City

State: Oklahoma

Country: United States Zip: 74602

- Individual(s) Citizenship Oklahoma, US
- Association Citizenship \_\_\_\_\_
- Partnership Citizenship \_\_\_\_\_
- Limited Partnership Citizenship \_\_\_\_\_
- Corporation Citizenship Oklahoma
- Other \_\_\_\_\_ Citizenship \_\_\_\_\_

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**4. Application number(s) or registration number(s) and identification or description of the Trademark.**

A. Trademark Application No.(s) Text

85/134,091

B. Trademark Registration No.(s)

4033633

Additional sheet(s) attached?  Yes  No

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Mail Stop Assignment Recordation Branch, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

OP \$40.00 85134091

## BILL OF SALE

This BILL OF SALE, dated as of August 5, 2015 (this "Bill of Sale"), is entered into by and between ENTERPRISE BANK & TRUST ("Bank"), and JAC SHELTER, LLC, an Oklahoma limited liability company ("Buyer").

### RECITALS:

WHEREAS, Bank and American Safety Shelter, LLC, a Delaware limited liability company ("Borrower"), entered into that certain Loan Agreement dated as of October 9, 2013 (as amended, the "Loan Agreement");

WHEREAS, as security for the loan evidenced by the Loan Agreement, Borrower granted security interests in favor of Bank on all or substantially all of Borrower's assets, including, without limitation, those assets of Borrower set forth on Exhibit A attached hereto and incorporated herein by reference (the "Assets"); and

WHEREAS, Borrower has defaulted on its obligations to Bank under the Loan Agreement and Bank is exercising its rights under the Uniform Commercial Code to enforce its security interests in the Assets and sell the Assets to Buyer pursuant to this Bill of Sale.

NOW, THEREFORE, in consideration of the premises and the mutual agreements herein set forth and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto agree as follows:

1. Sale of Assets. In consideration for the payment by the Buyer of the sum of One Million Fifty Dollars (\$1,000,050) (the "Purchase Price") to Bank in immediately available funds on the date hereof, and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Bank hereby sells and conveys to Buyer all right, title and interest in and to the Assets through a private sale in accordance with Section 9-610 of the Uniform Commercial Code (the "Sale").

2. "AS IS", "WHERE IS" SALE. Except as expressly set forth in Section 3 below, the sale and conveyance made herein is made WITHOUT WARRANTY, EXPRESS OR IMPLIED, AS TO ANY MATTER WHATSOEVER, INCLUDING TITLE, POSSESSION, QUIET ENJOYMENT, AND THE QUALITY, CONTENT OR CONDITION OF THE GOODS; ADDITIONALLY THERE ARE NO WARRANTIES, EXPRESS OR IMPLIED AS TO MERCHANTABILITY, OR FITNESS OF THE GOODS FOR A PARTICULAR PURPOSE OR ANY OTHER MATTER. Buyer buys the Assets in an "AS IS" and "WHERE IS" condition subject to the applicable provisions of the Uniform Commercial Code, including, but not limited to, Section 9-610. Buyer agrees that in no event shall Bank be liable for any direct (except as expressly set forth in Section 3 below), incidental, or consequential damages in connection with this Bill of Sale, whatsoever or howsoever caused. Buyer acknowledges that certain of the Assets, such as the Borrower's rights under contracts, permits and licenses, if any, may not permit transfer to Buyer by their terms without the consent of the counter-party thereto. Buyer acknowledges that it provided Bank with the schedule of

patents, trademarks, copyrights and other intellectual property attached as part of Exhibit A hereto and Bank makes no warranties, express or implied, regarding the existence or sufficiency of Borrower's rights in or title to such patents, trademarks, copyrights and other intellectual property.

3. UCC Representation. Bank hereby represents and warrants to Buyer as follows: (i) Borrower is in default of its obligations under the Loan Agreement and other obligations owing to Bank, and Bank has validly exercised its rights under the Loan Agreement and other related documents and applicable law in conducting the Sale, and (ii) Bank placed Notices of Private Sale of Collateral under the Uniform Commercial Code in the United States Mail, certified, postage prepaid, addressed to all persons required to be notified and has otherwise complied with all applicable legal requirements under the Uniform Commercial Code to consummate the Sale.

4. Further Assurances. Bank hereby agrees to reasonably cooperate with Buyer, at Buyer's sole cost and expense, to execute and record any documents, notices, or other agreements with the United States Patent and Trademark Office and the United States Copyright Office (each in form and substance reasonably acceptable to Bank) which are reasonably necessary to transfer any of the Assets to Buyer.

5. Choice of Law. This Bill of Sale shall be governed by and construed in accordance with the laws of the State of Missouri without giving effect to any choice of law rules thereof.

6. Counterparts. This Bill of Sale may be executed in any number of counterparts, each of which shall constitute an original, but all of which taken together shall constitute one and the same agreement.


7. Headings. Section headings used herein are for convenience only and are not to affect the construction of or be taken into consideration in interpreting this Bill of Sale.

(Signature Page Follows)

IN WITNESS WHEREOF, Bank and Buyer have executed this Bill of Sale by their duly authorized representatives as of the date first set forth above.

**Bank:**

ENTERPRISE BANK & TRUST

By:   
Name: Matthew G. Kakta  
Title: Vice President

**Buyer:**

JAC SHELTER, LLC

By: \_\_\_\_\_  
Name: Jeanelle Wirtz  
Title: Manager

Bill of Sale

IN WITNESS WHEREOF, Bank and Buyer have executed this Bill of Sale by their duly authorized representatives as of the date first set forth above.

**Bank:**

ENTERPRISE BANK & TRUST

By \_\_\_\_\_

Name: Matthew G. Kakta

Title: Vice President

**Buyer:**

JAC SHELTER, LLC

By: 

Name: Jeanelle Wirtz

Title: Manager

Bill of Sale

**EXHIBIT A**

List of Assets

(see attached)

Exhibit A

**AMERICAN SAFETY SHELTER, LLC**

In addition to the foregoing assets, and without in any way limiting the scope of the foregoing provisions, the sale shall include all of the following, to the extent the Bank holds an interest therein (to the extent not already specifically listed):

All right, title and interest in and to the below-noted intellectual property including any unlisted know-how, technology, trade secrets, customer lists, or the like:

1. Patents:

- a. Utility Patents – the following patents, applications, and any family they may have:
  - i. 7,428,800 for an In-Ground Shelter,
  - ii. 8,646,225 for an In-Ground Shelter,
  - iii. Ser. No. 14/294,855 for an Improved Above-Ground Shelter;
- b. Design Patents – the following patents, applications, and any family they may have:
  - i. D640390 for a Storm Shelter,
  - ii. D684838 for a Handle Adapted to Be Engaged with a Latch,
  - iii. D692579 for a Storm Shelter Body, and
  - iv. Ser. No. 29/491,341 for Handicapped Stairs.

2. Trademarks:

- a. 3,268,747 for FLATSAFE,
- b. 3,9272,285 for FLATSAFE TORNADO SHELTERS + logo (Fig. 1),
- c. 4,033,833 for TESTED AND CERTIFIED – TORNADO PROVEN + logo (Fig. 2),
- d. 4,100,096 for TORNADO TECH TORNADO SHELTERS + logo (Fig. 3);



Figure 1 - TM

3. Copyrights:

- a. Engineering specifications for medium storm shelter Reg. No. TX 7-254-743,
- b. Engineering specifications for large storm shelter Reg. No. TX 7-327-834,
- c. Engineering specifications for extra-large storm shelter Reg. No. TX 7-254-465,
- d. Engineering specifications for composite storm shelter Reg. No. TX 7-440-277,
- e. Sales agreement Reg. No. TX 7-254-457, and
- f. Customer information bulletin Reg. No. TX 7 254-460



Figure 2 - TM No. 4,033,833



**AMERICAN SAFETY SHELTER, LLC**

In addition to assigning the foregoing described specific intellectual property to Buyer, all of the Bank's interest in any appurtenant intellectual property, technology, research, know-how, and the like are also conveyed and assigned by Bank to Buyer. It is the intent of Bank to retain no right, title, or interest in and to the intellectual property described herein or in technology like it or related to it.

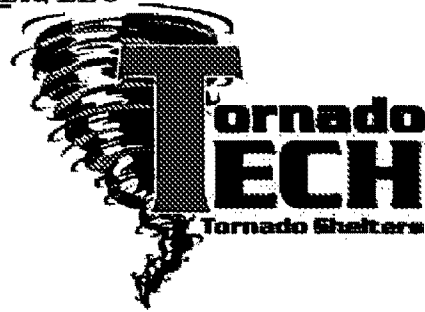


Figure 3 - TM No. 4,100,096

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# Certificate of Mailing or Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being:

- 1.  Deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

on \_\_\_\_\_  
 Date

- 2.  Facsimile transmitted to the United States Patent and Trademark Office, or

OR

- 3.  EFS-Web transmitted to the USPTO.

/Edward L. White/

02/08/2017

Signature

Date

Edward L. White, 41,375

405-810-8188

Typed or printed name

Telephone number

Note: Each paper must have its own certificate of mailing or transmission, or this certificate must identify each submitted paper:

Cover Sheet - 1 pg.

Bill of Sale - 7 pgs.

Credit Card Payment Form - 1 pg.

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

February 28, 2017

PTAS

EDWARD L. WHITE  
829 EAST 33RD STREET  
EDMOND, OKLAHOMA 73013



700519934

United States Patent and Trademark Office  
Notice of Non-Recordation of an Assignment Document

The enclosed document has been examined and found non-recordable by the Assignment Recordation Branch of the U.S. Patent and Trademark Office. The reason(s) for non-recordation are stated below:

1. There is a discrepancy with the Assignee Entity Type. It should reflect as: LIMITED LIABILITY COMPANY. Please clarify and amend.

Documents being resubmitted for recordation must reflect the corrected information to be recorded, the Document ID number referenced above and all pages from this submitted document. The original date of filing of this assignment document will be maintained if resubmitted with the appropriate correction(s) by **Thursday, March 30, 2017**, as outlined under 37 CFR 3.51. The resubmitted document must include a stamp with the official date of receipt under 37 CFR 3. Applicants may use the certified procedures under 37 CFR 2.197 or 2.198 for resubmission of the returned papers if they desire to have the benefit of the date of deposit in the United States Postal Service.

**Assignments originally filed by paper and facsimile**

You can use the electronic Resubmission form even if you originally submitted your assignment paperwork to the Assignment Recordation Branch by paper or facsimile. Once you enter your Document ID and Access Code as shown on the Notice of Non-Recordation, the Resubmission form will prepopulate with most information from the cover sheet you originally submitted. However, it will not prepopulate with the Conveying party and Receiving party information. You will have to reenter this information.

To file the resubmission electronically, navigate to the ETAS website at <http://etas.uspto.gov>, click the Start Resubmission button and enter the following information:

**Document ID: 700519934**  
**Access Code: 9B150R7CK70BAU0**

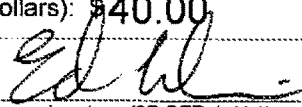
To file the resubmission in paper, send documents to: U.S. Patent and Trademark Office, Mail Stop: Assignment Recordation Branch, P.O. BOX 1450, Alexandria, VA 22313. If you have any questions regarding this notice, you may contact the Assignment Recordation Branch at 571-272-3350.

MICHELE CARTER  
ASSIGNMENT RECORDATION BRANCH  
PUBLIC RECORDS DIVISION

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## Credit Card Payment Form

*(Do not submit this form electronically via EFS-Web)*  
Please Read Instructions before Completing this Form

Credit Card Information			
Credit Card Type: <input type="checkbox"/> Visa <input checked="" type="checkbox"/> MasterCard <input type="checkbox"/> American Express <input type="checkbox"/> Discover			
Credit Card Account #: 5589 8710 0572 2105			
Credit Card Expiration Date (mm/yyyy): 11/2018			
Name as it Appears on Credit Card: Ed White			
Payment Amount (US Dollars): \$40.00			
Cardholder Signature: 		Date (mm/dd/yyyy): 02/08/2017	
<p>The USPTO does not accept an s-signature (37 CFR 1.4(e)) on credit card payment forms.</p> <p><b>Refund Policy:</b> The USPTO may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The USPTO will not refund amounts of \$25.00 or less unless a refund is specifically requested and will not notify the payor of such amounts (37 CFR 1.26). Refund of a fee paid by credit card will be issued as a credit to the credit card account to which the fee was charged.</p> <p><b>Maximum Daily Limit:</b> There is a \$24,999.99 daily limit per credit card account effective June 1, 2015. There is no daily limit for debit cards.</p>			
Credit Card Billing Address			
Street Address 1: 829 East 33rd Street			
Street Address 2:			
City: Edmond			
State/Province: Oklahoma		Zip/Postal Code: 73013	
Country: US			
Daytime Phone #: 405-810-8188		Fax #: 405-608-0971	
Request and Payment Information			
Description of Request and Payment Information: <b>Trademark Assignment</b>			
<input type="checkbox"/> Patent Fee	<input type="checkbox"/> Patent Maintenance Fee	<input checked="" type="checkbox"/> Trademark Fee	<input type="checkbox"/> Other Fee
Application No.	Application No.	Application No.	IDON Customer No.
Patent No.	Patent No.	Registration No. See Assignment Doc	
Attorney Docket No.		Identify or Describe Mark	

*If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form or submits this form electronically via EFS-Web, the United States Patent and Trademark Office will not be liable in the event that the credit card number becomes public knowledge.*

From Fax Number : (405) 608-0971

**Edward L. White**

# Fax

**To:** Assignment Recordation Branch      **From :** Edward L. White  
**Fax :** (571) 273-0140      **Subject :** Doc ID 700519934  
**Phone :**      **Date :** 03-03-2017

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• **Comments :**