

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM417778

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	Release of Security Interest		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Credit Suisse AG, Cayman Islands Branch		02/28/2017	Bank: SWITZERLAND
RECEIVING PARTY DATA			
Name:	BATS Global Markets, Inc.		
Street Address:	8050 Marshall Drive		
City:	Lenexa		
State/Country:	KANSAS		
Postal Code:	66214		
Entity Type:	Corporation: DELAWARE		
Name:	Direct Edge Holdings, LLC, a Delaware Limited Liability Company		
Street Address:	545 Washington Blvd, 6th Floor		
City:	Jersey City		
State/Country:	NEW JERSEY		
Postal Code:	07310		
Entity Type:	Limited Liability Company: DELAWARE		
Name:	Hotspot FX Holdings, LLC (to be renamed to BATS Hotspot Holdings LLC), a Delaware Limited Liability Company		
Street Address:	1375 Plainfield Avenue		
City:	Watchung		
State/Country:	NEW JERSEY		
Postal Code:	07069		
Entity Type:	Limited Liability Company: DELAWARE		
PROPERTY NUMBERS Total: 26			
Property Type	Number	Word Mark	
Registration Number:	4082130	BASES	
Registration Number:	4058619	BATS 1000	
Registration Number:	4051881	BATS EXCHANGE	
Registration Number:	4051880	BATS GLOBAL MARKETS	
Registration Number:	4051878	BATS OPTIONS	
Registration Number:	3970233	BYX	
TRADEMARK			

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Property Type	Number	Word Mark
Registration Number:	3288792	BATS TRADING, INC.
Registration Number:	3818024	BATS
Registration Number:	3818008	BATS
Registration Number:	3446341	MAKING MARKETS BETTER
Registration Number:	3220770	DIRECT EDGE ECN
Registration Number:	3595943	DIRECTEDGE
Registration Number:	3672274	DIRECT EDGE
Registration Number:	3717786	EDGA
Registration Number:	3756701	EDGX
Registration Number:	3738974	ELP
Registration Number:	4322780	EDGE XPRS
Registration Number:	4241111	EDGEBOOK CLOUD
Registration Number:	4235933	CONNECT EDGE
Registration Number:	4305663	EDGE LINK
Registration Number:	4344062	EDGERISK CONTROLS
Registration Number:	4380322	EDGE ROUTED LIQUIDITY REPORT
Registration Number:	4380015	EDGEBOOK DEPTH
Registration Number:	4393737	EDGEBOOK ATTRIBUTED
Registration Number:	4397510	EDGE TICKER PLANT
Registration Number:	2592989	HOTSPOT FX

CORRESPONDENCE DATA

Fax Number: 8009144240

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 614-280-3566

Email: james.murray@wolterskluwer.com

Correspondent Name: James Murray

Address Line 1: 4400 Easton Commons Way, Suite 125

Address Line 2: CT Corporation

Address Line 4: Columbus, OHIO 43219

NAME OF SUBMITTER:	Elaine Carrera
SIGNATURE:	/Elaine Carrera/
DATE SIGNED:	02/28/2017

Total Attachments: 5

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RECORDATION FORM COVER SHEET TRADEMARKS ONLY

To the Director of the U. S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Credit Suisse AG, Cayman Islands Branch

- Individual(s)
- Partnership
- Corporation- State: _____
- Other Bank

- Association
- Limited Partnership

Citizenship (see guidelines) Switzerland

Additional names of conveying parties attached? Yes No

3. Nature of conveyance/Execution Date(s) :

Execution Date(s) February 28, 2017

- Assignment
- Security Agreement
- Other Release of Security Interest
- Merger
- Change of Name

2. Name and address of receiving party(ies)

Additional names, addresses, or citizenship attached? Yes No

Name: BATS Global Markets, Inc.

Street Address: 8050 Marshall Drive

City: Lenexa

State: KS

Country: USA Zip: 66214

- Individual(s) Citizenship _____
- Association Citizenship _____
- Partnership Citizenship _____
- Limited Partnership Citizenship _____
- Corporation Citizenship USA-DE
- Other _____ Citizenship _____

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
(Designations must be a separate document from assignment)

4. Application number(s) or registration number(s) and identification or description of the Trademark.

A. Trademark Application No.(s) _____ Text

B. Trademark Registration No.(s)

See Attached Schedule A

See Attached Schedule A

Additional sheet(s) attached? Yes No

C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):

5. Name & address of party to whom correspondence concerning document should be mailed:

Name: Elaine Carrera, Legal Assistant

Internal Address: _____

Street Address: c/o Cahill Gordon & Reindel LLP
80 Pine Street

City: New York

State: NY Zip: 10005

Phone Number: (212) 701-3365

Docket Number: _____

Email Address: ecarrera@cahill.com

6. Total number of applications and registrations involved:

26

7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$ _____

- Authorized to be charged to deposit account
- Enclosed

8. Payment Information:

Deposit Account Number _____

Authorized User Name _____

9. Signature:

Elaine Carrera
Signature

February 28, 2017
Date

Elaine Carrera

Name of Person Signing

Total number of pages including cover sheet, attachments, and document:

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Branch, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

Addendum to Cover Page of Trademarks Form Cover Sheet

2. Name and address of receiving party(ies)

Direct Edge Holdings, LLC, a Delaware Limited Liability Company
545 Washington Blvd, 6th Floor
Jersey City, NJ 07310
Citizenship – US – Delaware

Hotspot FX Holdings, LLC (to be renamed to BATS Hotspot Holdings LLC), a Delaware Limited Liability Company
1375 Plainfield Avenue
Watchung, NJ 07069
Citizenship – US – Delaware

RELEASE OF SECURITY INTEREST IN TRADEMARKS

This RELEASE OF SECURITY INTEREST IN TRADEMARKS (this “Release”), dated as of February 28, 2017 (the “Effective Date”), is made by CREDIT SUISSE AG, CAYMAN ISLANDS BRANCH, in its capacity as Collateral Agent (the “Agent”), in favor of the grantor parties identified on the signature page hereto (the “Grantors”).

WHEREAS, pursuant to that certain Amended and Restated Guarantee and Collateral Agreement, dated as of January 31, 2014, by and among the Agent, the Grantors and certain other parties thereto (as amended, amended and restated, or otherwise modified from time to time, the “Security Agreement”), each Grantor granted to the Agent, in its capacity as Collateral Agent, a security interest in and to certain collateral;

WHEREAS, pursuant to the Security Agreement, the Grantors executed and delivered a Trademark Security Agreement, dated as of January 31, 2014 (the “Trademark Security Agreement”), for recordal with the United States Patent and Trademark Office;


WHEREAS, the Trademark Security Agreement was recorded with the United States Patent and Trademark Office on February 10, 2014 at Reel/Frame 5212/0711 and the Supplemental Trademark Security Agreement was recorded with the United States Patent and Trademark Office on March 16, 2015 at Reel/Frame 5478/0695;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Agent hereby agrees as follows:

1. Defined Terms. All capitalized terms used, but not otherwise defined herein, shall have the respective meanings ascribed in or otherwise referenced in the Security Agreement or the Trademark Security Agreement, as applicable.
2. Release. The Agent, without representation or warranty of any kind, hereby releases, discharges, terminates and cancels all of its security interest in and to the Trademark Collateral, including the trademark registrations and applications set forth Schedule A attached hereto, arising under the Security Agreement and the Trademark Security Agreement. If and to the extent that the Agent has acquired any right, title or interest in and to the Trademark Collateral under the Trademark Security Agreement, the Agent, without representation or warranty of any kind, hereby re-transfers, re-conveys and re-assigns such right, title or interest to the Grantors.
3. Termination. The Agent, without representation or warranty of any kind, terminates and cancels the Trademark Security Agreement.
4. Further Assurances. The Agent agrees to take all further actions, and provide to each Grantor and its successors, assigns or other legal representatives, all such cooperation and assistance (including, without limitation, the execution and delivery of any and all documents or other instruments), reasonably requested by such Grantor, at the Grantor’s sole cost and expense, to more fully and effectively effectuate the purposes of this Release.
5. Governing Law. This Release shall be governed exclusively under the laws of the State of New York, without regard to conflicts of law or choice of law principles.

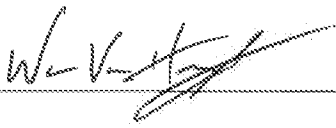
IN WITNESS WHEREOF, the Agent has caused this Release to be executed by its duly authorized representative as of the Effective Date:

**CREDIT SUISSE AG, CAYMAN ISLANDS
BRANCH, acting in its capacity as Collateral
Agent for the Secured Parties**

By: 

Name: **DOREEN BARR
AUTHORIZED SIGNATORY**

Title:

By: 


Name: **Warren Van Heyst
Authorized Signatory**

GRANTORS:

BATS Global Markets, Inc.
Direct Edge Holdings LLC
Hotspot FX Holdings, LLC

SCHEDULE A

Release of Trademark Security Agreement recorded February 10, 2014 at Reel/Frame 5212/0711

<u>Owner</u>	<u>Mark</u>	<u>Reg. Date</u>	<u>Reg. No.</u>
Bats Global Markets, Inc.	BASES	01/10/2012	4082130
Bats Global Markets, Inc.	BATS 1000	11/22/2011	4058619
Bats Global Markets, Inc.	BATS EXCHANGE	11/08/2011	4051881
Bats Global Markets, Inc.	BATS GLOBAL MARKETS	11/08/2011	4051880
Bats Global Markets, Inc.	BATS OPTIONS	11/08/2011	4051878
Bats Global Markets, Inc.	BYX	05/31/2011	3970233
Bats Global Markets, Inc.	BATS TRADING, INC.	09/04/2007	3288792
Bats Global Markets, Inc.	BATS (name)	07/13/2010	3818024
Bats Global Markets, Inc.	BATS (logo) 	07/13/2010	3818008
Bats Global Markets, Inc.	MAKING MARKETS BETTER	06/10/2008	3446341
Direct Edge Holdings, Inc.	DIRECT EDGE ECN	03/20/2007	3220770
Direct Edge Holdings, Inc.	DIRECTEDGE (logo) 	03/24/2009	3595943
Direct Edge Holdings, Inc.	DIRECT EDGE (name)	08/25/2009	3672274
Direct Edge Holdings, Inc.	EDGA	12/01/2009	3717786
Direct Edge Holdings, Inc.	EDGX	03/09/2010	3756701
Direct Edge Holdings, Inc.	ELP	01/19/2010	3738974
Direct Edge Holdings, Inc.	EDGE XPRS	04/23/2013	4322780
Direct Edge Holdings, Inc.	EDGEBOOK CLOUD	11/13/2012	4241111
Direct Edge Holdings, Inc.	CONNECT EDGE	11/06/2012	4235933
Direct Edge Holdings, Inc.	EDGE LINK	03/19/2013	4305663
Direct Edge Holdings, Inc.	EDGERISK CONTROLS	05/28/2013	4344062
Direct Edge Holdings, Inc.	EDGE ROUTED LIQUIDITY REPORT	08/06/2013	4380322
Direct Edge Holdings, Inc.	EDGEBOOK DEPTH	08/06/2013	4380015
Direct Edge Holdings, Inc.	EDGEBOOK ATTRIBUTED	08/27/2013	4393737
Direct Edge Holdings, Inc.	EDGE TICKER PLANT	09/03/2013	4397510

Release of Supplemental Trademark Security Agreement recorded March 16, 2015 at Reel/Frame 5478/0695

<u>Owner</u>	<u>Mark</u>	<u>Reg. Date</u>	<u>Reg. No.</u>
Hotspot FX Holdings, LLC (to be renamed "BATS Hotspot Holdings LLC")	HOTSPOT FX	07/09/2002	2592989