

Form PTO-1594 (Rev. 6-12)
OMB Collection 0651-0027 (exp. 04/30/2018)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET TRADEMARKS ONLY

To the Director of the U. S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Carta Fund Services, LLC

- Individual(s)
- Partnership
- Corporation- State: _____
- Other Limited Liability Company
- Association
- Limited Partnership

Citizenship (see guidelines) Connecticut
Additional names of conveying parties attached? Yes No

3. Nature of conveyance/Execution Date(s) :

Execution Date(s) May 15, 2017

- Assignment
- Security Agreement
- Other _____
- Merger
- Change of Name

2. Name and address of receiving party(ies)

Additional names, addresses, or citizenship attached? Yes No

Name: AD Carta, LLC

Street Address: 9 Old Kings Highway South

City: Darien

State: Connecticut

Country: USA Zip: 06820

- Individual(s) Citizenship _____
- Association Citizenship _____
- Partnership Citizenship _____
- Limited Partnership Citizenship _____
- Corporation Citizenship _____
- Other LLC Citizenship Delaware

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
(Designations must be a separate document from assignment)

4. Application number(s) or registration number(s) and identification or description of the Trademark.

A. Trademark Application No.(s) 87159435 Text

B. Trademark Registration No.(s)

Additional sheet(s) attached? Yes No

C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):
"CARTA FUND SERVICES"

5. Name & address of party to whom correspondence concerning document should be mailed:

Name: Robert W. Reeves

Internal Address: Cummings & Lockwood LLC

Street Address: Six Landmark Square

City: Stamford

State: Connecticut Zip: 06901

Phone Number: 203.351.4516

Docket Number: _____

Email Address: rreeves@c1-law.com

6. Total number of applications and registrations involved:

1

7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$40.00

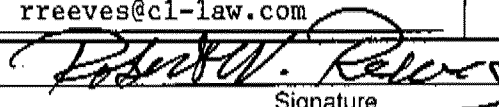
- Authorized to be charged to deposit account
- Enclosed

8. Payment information:

Deposit Account Number _____

Authorized User Name _____

9. Signature:



Signature

May 19, 2017

Date

Robert W. Reeves

Name of Person Signing

Total number of pages including cover sheet, attachments, and document: 2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Branch, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

OP \$40.00 87159435

ASSIGNMENT OF TRADEMARK

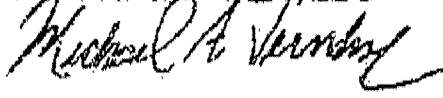
WHEREAS, CARTA Fund Services, LLC, a Connecticut limited liability company owns the trademark CARTA FUND SERVICES, including Trademark Application No 87159435, dated September 2, 2016 (the "Trademark"), and

WHEREAS, AD Carta, LLC, a Delaware limited liability company, is desirous of acquiring all rights under said Trademark;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, CARTA Fund Services, LLC does hereby assign to AD Carta, LLC, its successors and assigns all right, title and interest in and to the Trademark and all applications and resulting registrations therefor, including all common law rights therein together with the goodwill of the business symbolized by the Trademark, all causes of action for damages by reason of present or future infringement thereof, all income, royalties or payments due as of the date hereof or hereafter, and the right to reassign the Trademark.

Signed as a sealed instrument this 15 day of May, 2017.

CARTA FUND SERVICES, LLC

By: 
Michael A. Trinkaus, Manager

May 19, 2017

To: USPTO
Assignment Department
Washington DC
Fax No. (571) 273-0140

Re: Carta Fund Services, LLC - Conveying Party
AD Carta, LLC - Receiving Party
Trademark Application No. 87159435

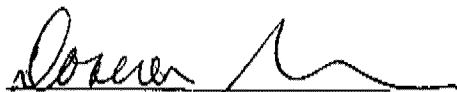
To Whom It May Concern:

Attached please find the following completed items:

Signed Recordation Cover Sheet for Trademarks Only;
Assignment of Trademark signed by Carta Fund Services, LLC , the Conveying Party; and
Completed and signed Credit Card Payment Form (PTO-2038 (02-2015))

Should you need to contact me, my direct line is (203) 351-4418 (Mon, Wed & Fri) and/or at dgreenfield@cl-law.com or (203)-417-1253 (Cell- every day). I have access to my fax (203) 708-3835 as well.

Sincerely,



Donna Greenfield
Corporate Paralegal
Cummings & Lockwood, LLC
Six Landmark Square, 9th Floor
Stamford, CT 06901

TOTAL PAGES: FOUR (4) INCLUDING THE COVER PAGE AND COVER LETTER