

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM430332

|   |  |                       |  |
|---|--|-----------------------|--|
| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT                               |                       |  |
| <b>NATURE OF CONVEYANCE:</b>  | SECURITY INTEREST                            |                       |  |
| <b>CONVEYING PARTY DATA</b>   |  |                       |  |
| <b>Name</b>   | <b>Formerly</b>                              | <b>Execution Date</b> | <b>Entity Type</b>                     |
| Investment Metrics, LLC   |  | 06/07/2017            | Limited Liability Company:<br>DELAWARE |
| <b>RECEIVING PARTY DATA</b>   |  |                       |  |
| <b>Name:</b>  | Silicon Valley Bank, as Administrative Agent |                       |  |
| <b>Street Address:</b>  | 3003 Tasman Drive                            |                       |  |
| <b>City:</b>  | Santa Clara                                  |                       |  |
| <b>State/Country:</b>   | CALIFORNIA                                   |                       |  |
| <b>Postal Code:</b>   | 95054  |                       |  |
| <b>Entity Type:</b>   | Banking Corporation: CALIFORNIA              |                       |  |
| <b>PROPERTY NUMBERS Total: 1</b>  |  |                       |  |
| <b>Property Type</b>  | <b>Number</b>                                | <b>Word Mark</b>      |  |
| <b>Registration Number:</b>   | 3198631                                      | INVESTWORKS           |  |
| <b>CORRESPONDENCE DATA</b>  |  |                       |  |
| <b>Fax Number:</b>  | 2136305788                                   |                       |  |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |  |                       |  |
| <b>Phone:</b>   | 213-891-5935                                 |                       |  |
| <b>Email:</b>   | hpanneck@buchalter.com                       |                       |  |
| <b>Correspondent Name:</b>  | Helen Panneck                                |                       |  |
| <b>Address Line 1:</b>  | 1000 Wilshire Boulevard, Suite 1500          |                       |  |
| <b>Address Line 4:</b>  | Los Angeles, CALIFORNIA 90017                |                       |  |
| <b>ATTORNEY DOCKET NUMBER:</b>  | S4172-0017                                   |                       |  |
| <b>NAME OF SUBMITTER:</b>   | Helen Panneck                                |                       |  |
| <b>SIGNATURE:</b>   | /Helen Panneck/                              |                       |  |
| <b>DATE SIGNED:</b>   | 06/07/2017                                   |                       |  |
| <b>Total Attachments: 5</b>   |  |                       |  |
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| source=SVB - Investment Metrics Memo and Ntc of SI in IP#page2.tif  |  |                       |  |
| source=SVB - Investment Metrics Memo and Ntc of SI in IP#page3.tif  |  |                       |  |
| source=SVB - Investment Metrics Memo and Ntc of SI in IP#page4.tif  |  |                       |  |

CH \$40.00 3198631



**MEMORANDUM AND NOTICE OF SECURITY INTEREST  
IN INTELLECTUAL PROPERTY**

**June 7, 2017**

Investment Metrics, LLC, a Delaware limited liability company, whose address is Three Parklands Drive, Darien, CT 06820 (the “Debtor”), hereby acknowledges that it has granted to Silicon Valley Bank, as Administrative Agent, whose address is 3003 Tasman Drive, Santa Clara, California 95054 (the “Secured Party”), a security interest in and to all of the Debtor’s right, title and interest in and to: (a) the trademarks and trademark registrations and applications therefor (other than any intent-to-use trademark or service mark application, to the extent that, and solely during the period in which, the grant of a security interest therein would impair the validity or enforceability of such intent-to-use trademark or service mark application under law), which are identified on Exhibit A attached hereto and herein incorporated by this reference owned by the Debtor (the “Trademarks”), together with the goodwill and assets of the business; (b) the patents and patent applications which are identified on Exhibit B attached hereto and herein incorporated by this reference owned by the Debtor (the “Patents”); and (c) the copyright registrations which are identified on Exhibit C attached hereto and herein incorporated by this reference owned by the Debtor (the “Copyrights”), and all actions for infringement concerning the foregoing.

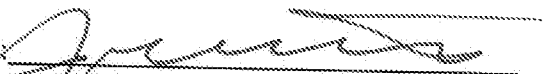
The terms and conditions of the aforementioned security interest are contained in that certain Guarantee and Collateral Agreement, dated as of June 2, 2017 (the “Agreement”), among, *inter alios*, the Debtor and the Secured Party, as security for the Obligations as set forth in the Agreement and other agreements referred to therein. Nothing contained in this Memorandum and Notice of Security Interest in Intellectual Property shall be construed as a present or absolute assignment of any of the collateral nor as limiting any interest which the Secured Party may have in any other collateral described in the Agreement or otherwise.

*[Signature page(s) to follow.]*

IN WITNESS WHEREOF, the undersigned has caused this Memorandum and Notice of Security Interest in Intellectual Property to be duly executed and delivered as of the date first above written.

**DEBTOR:**

**INVESTMENT METRICS, LLC,**  
a Delaware limited liability company

By:   
Name: John Baumstark  
Title: Vice President

MEMORANDUM AND NOTICE OF SECURITY INTEREST  
IN INTELLECTUAL PROPERTY

**TRADEMARK**  
**REEL: 006078 FRAME: 0713**

EXHIBIT A  
TRADEMARKS

Registered Trademarks:

| Jurisdiction | Registration No. | Registration Date | Filing Date | Registered Owner        | Mark        |
|--------------|------------------|-------------------|-------------|-------------------------|-------------|
| US           | 3198631          | 1/16/2007         | 3/2/2006    | Investment Metrics, LLC | INVESTWORKS |

Trademark Applications: None.

Exhibit A

EXHIBIT B  
PATENTS

Registered Patents: None.

Patent Applications: None.

Exhibit B

EXHIBIT C  
COPYRIGHTS

Registered Copyrights: None.

Copyright Applications: None.

Exhibit C