

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM433643

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST
SEQUENCE:	2

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
Focus Financial Partners, LLC		07/03/2017	Limited Liability Company: DELAWARE

RECEIVING PARTY DATA

Name:	Royal Bank of Canada, as the Agent
Street Address:	20 King Street West, 4th Floor
City:	Toronto
State/Country:	CANADA
Postal Code:	M5H 1C4
Entity Type:	Bank: CANADA

PROPERTY NUMBERS Total: 64

Property Type	Number	Word Mark
Registration Number:	4987969	ATLAS PRIVATE WEALTH MANAGEMENT
Registration Number:	4469410	BAM ADVISOR SERVICES
Registration Number:	2584276	BAM ADVISOR SERVICES
Registration Number:	4512761	BAM ADVISOR SERVICES
Registration Number:	4134761	BAM ADVISOR SERVICES
Registration Number:	4950732	BW
Registration Number:	4950733	BW BEIRNE WEALTH CONSULTING
Registration Number:	4950734	BW BEIRNE WEALTH CONSULTING OUTCOMES MAT
Registration Number:	5203868	HER WEALTH
Registration Number:	3875856	BUILDING RELATIONSHIPS BY DOING THE RIGH
Registration Number:	2362266	
Registration Number:	4132062	IN CONTEXT
Registration Number:	4346323	REALLIFE
Registration Number:	4346326	REDUCING REALLIFE RISKS RAISING REALLIFE
Registration Number:	2684438	WMS SOLUTIONS FOR WEALTH MANAGEMENT
Registration Number:	3727920	BE FINANCIALLY CONFIDENT.
Registration Number:	3605598	CFO4L FE
Registration Number:	3407960	DCL&A

TRADEMARK

Property Type	Number	Word Mark
Registration Number:	3199547	DCLA
Registration Number:	2502831	DOUGLAS C. LANE & ASSOCIATES
Registration Number:	3538190	CONSERVE. PLAN. GROW.
Registration Number:	3464813	THE FIDUCIARY GROUP
Registration Number:	3501681	
Registration Number:	4505919	F.I.R.S.T. FOCUS INDEX FOR READINESS IN
Registration Number:	4219522	FOCUS FINANCIAL PARTNERS
Registration Number:	4219523	
Registration Number:	4579608	FOCUS SUCCESSIONS
Registration Number:	2052925	FORT PITT
Registration Number:	2125390	FORT PITT CAPITAL GROUP
Registration Number:	2812501	THE WEALTH & BEYOND PROGRAM
Registration Number:	4195911	GREEN OWL INTRINSIC VALUE FUND
Registration Number:	4087154	KOVITZ INVESTMENT GROUP
Registration Number:	3528598	KOVITZ INVESTMENT GROUP CAPITAL IDEAS
Registration Number:	4484065	KOVITZ INVESTMENT GROUP INTRINSIC VALUES
Registration Number:	4087183	KOVITZ SECURITIES
Registration Number:	3936049	COMPREHENSIVE INVESTMENT SOLUTIONS
Registration Number:	4888783	LIFETIME PLANNING CONTINUUM
Registration Number:	3728183	MERRIMAN
Registration Number:	3977729	MAKE AN INVESTMENT FOR LIFE
Registration Number:	5121891	RELATIVE VALUE PARTNERS
Registration Number:	5007080	RESNICK ADVISORS
Registration Number:	4924071	TO SERVE AND IMPROVE THE LIVES OF OUR CL
Registration Number:	2931302	STRATEGICPOINT
Registration Number:	2864635	STRATEGICPOINT OF VIEW
Registration Number:	4025069	TELEMUS
Registration Number:	5087502	TELEMUS
Registration Number:	3988729	TELEMUS CAPITAL
Registration Number:	3079332	TELEMUS
Registration Number:	5087503	TELEMUS ENRICH YOUR LIFE. ENJOY YOUR WEA
Registration Number:	3260817	THE COLONY GROUP
Registration Number:	5070319	THE PORTFOLIO STRATEGY GROUP
Registration Number:	2564836	VESTOR CAPITAL
Registration Number:	4443499	
Registration Number:	4365909	THE BAM ALLIANCE
Registration Number:	3936050	CPA INVESTMENT SOLUTIONS
Serial Number:	87290739	TRUE INDEPENDENCE.

Property Type	Number	Word Mark
Serial Number:	87290735	XML FINANCIAL GROUP
Serial Number:	87290746	XML FINANCIAL GROUP TRUE INDEPENDENCE.
Serial Number:	87437001	INSTIVIDUALS
Serial Number:	87437006	LVW FLYNN
Serial Number:	87294066	HW HER WEALTH
Serial Number:	87294210	HW
Serial Number:	87131261	BUCKINGHAM ASSET MANAGEMENT
Serial Number:	87340366	GYL

CORRESPONDENCE DATA

Fax Number: 2123037064
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.
Phone: 212.318.6824
Email: christinedionne@paulhastings.com
Correspondent Name: Christine Dionne c/o Paul Hastings LLP
Address Line 1: 200 Park Avenue, 28th Floor
Address Line 4: New York, NEW YORK 10166

ATTORNEY DOCKET NUMBER:	78436.00230 2L
NAME OF SUBMITTER:	Christine Dionne
SIGNATURE:	/Christine Dionne/
DATE SIGNED:	07/03/2017

Total Attachments: 10

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GRANT OF
SECURITY INTEREST IN TRADEMARK RIGHTS

This GRANT OF SECURITY INTEREST IN TRADEMARK RIGHTS (this “Agreement”), dated as of July 3, 2017, is made by ATLAS PRIVATE WEALTH MANAGEMENT, LLC (a Delaware limited liability company), BAM ADVISOR SERVICES, LLC (a Delaware limited liability company), BEIRNE WEALTH CONSULTING SERVICES, LLC (a Delaware limited liability company), BRIDGEWATER WEALTH & FINANCIAL MANAGEMENT LLC (a Delaware limited liability company), BUCKINGHAM ASSET MANAGEMENT, LLC (a Delaware limited liability company), CARNICK & KUBIK GROUP, LLC (a Delaware limited liability company), CFO4LIFE GROUP, LLC (a Delaware limited liability company), DOUGLAS LANE & ASSOCIATES, LLC (a Delaware limited liability company), THE FIDUCIARY GROUP, LLC (a Delaware limited liability company), FOCUS FINANCIAL PARTNERS, LLC (a Delaware limited liability company), FORT PITT CAPITAL GROUP, LLC (a Delaware limited liability company), GYL FINANCIAL SYNERGIES, LLC (a Delaware limited liability company), HOYLECOHEN, LLC (a Delaware limited liability company), KOVITZ INVESTMENT GROUP PARTNERS, LLC (a Delaware limited liability company), JFS WEALTH ADVISORS, LLC (a Delaware limited liability company), MERRIMAN WEALTH MANAGEMENT, LLC (a Delaware limited liability company), THE COLONY GROUP, LLC (a Delaware limited liability company), RELATIVE VALUE PARTNERS GROUP, LLC (a Delaware limited liability company), RESNICK INVESTMENT ADVISORS, LLC (a Delaware limited liability company), FI SERVICES HOLDINGS, LLC (a Delaware limited liability company), TELEMUS CAPITAL, LLC (a Delaware limited liability company), THE PORTFOLIO STRATEGY GROUP, LLC (a Delaware limited liability company), VESTOR CAPITAL, LLC (a Delaware limited liability company), XML FINANCIAL, LLC (a Delaware limited liability company), and LVW ADVISORS, LLC (a Delaware limited liability company) (each, a “Grantor” and, collectively, the “Grantors”), in favor of ROYAL BANK OF CANADA, as collateral agent (in such capacity, the “Agent”) in connection with that certain Second Lien Credit Agreement, dated as of July 3, 2017 (as amended, restated, supplemented or otherwise modified from time to time, the “Credit Agreement”), among Focus Financial Partners, LLC, a Delaware limited liability company (the “Borrower”), the Lenders from time to time party thereto and the Agent.

WITNESSETH:

WHEREAS, pursuant to the Credit Agreement, the Lenders have severally agreed to make loans to the Borrower, the Swing Line Lender to make Swingline Loans and the Letter of Credit Issuers have agreed to issue Letters of Credit for the account of the Borrower and the Restricted Subsidiaries upon the terms and subject to the conditions set forth therein;

WHEREAS, in connection with the Credit Agreement, each Grantor and any Subsidiaries that become a party thereto, have executed and delivered a Security Agreement, dated as of July 3, 2017 in favor of the Agent (together with all amendments and modifications, if any, from time to time thereafter made thereto, the “Security Agreement”);

WHEREAS, pursuant to the Security Agreement, each Grantor has granted to the Agent, for the benefit of the Secured Parties, a lien on and security interest in, all of its right, title and interest in, to and under certain Intellectual Property, including the Trademarks, that is not Excluded Property; and

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and in order to induce the Lenders to make their respective loans to the Borrower, the Letter of Credit Issuers to issue their respective Letters of Credit and the Swingline Lender to extend Swingline Loans under the Credit Agreement and to induce one or more Cash Management Banks or Hedge Banks to enter into Secured Cash Management Agreements or Secured Hedge

Agreements, respectively, with the Borrower, each Grantor agrees, for the benefit of the Agent and the Secured Parties, as follows:

1. Definitions. Unless otherwise defined herein or the context otherwise requires, terms used in this Agreement, including its preamble and recitals, have the meanings provided or provided by reference in the Credit Agreement and the Security Agreement.

2. Grant of Security Interest. Each Grantor hereby grants a Lien on and Security Interest in all of such Grantor's right, title and interest in, to and under the Trademarks that are not Excluded Property (including, without limitation, those items listed on Schedule A hereto), including the goodwill associated with such Trademarks and the right to receive all Proceeds therefrom (collectively, the "Collateral"), to the Agent for the benefit of the Secured Parties as collateral security for the prompt and complete payment and performance when due (whether at the stated maturity, by acceleration or otherwise) of the Obligations; provided that, applications in the United States Patent and Trademark Office to register trademarks or service marks on the basis of each Grantor's "intent to use" such trademarks or service marks will not be deemed to be Collateral unless and until an amendment to allege use or a statement of use has been filed and accepted by the United States Patent and Trademark Office, whereupon such application shall be automatically subject to the security interest granted herein and deemed to be included in the Collateral.

3. Purpose. This Agreement has been executed and delivered by each Grantor for the purpose of recording the grant of security interest herein with the United States Patent and Trademark Office. The Security Interest granted hereby has been granted to the Secured Parties in connection with the Security Agreement and is expressly subject to the terms and conditions thereof. The Security Agreement (and all rights and remedies of the Secured Parties thereunder) shall remain in full force and effect in accordance with its terms.

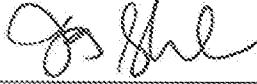
4. Acknowledgment. Each Grantor does hereby further acknowledge and affirm that the rights and remedies of the Secured Parties with respect to the Security Interest in the Collateral granted hereby are more fully set forth in the Credit Agreement and the Security Agreement, the terms and provisions of which (including the remedies provided for therein) are incorporated by reference herein as if fully set forth herein. In the event of any conflict between the terms of this Agreement and the terms of the Security Agreement, the terms of the Security Agreement shall govern. In the event of any conflict between the terms of this Agreement and the terms of the Credit Agreement, the terms of the Credit Agreement shall govern.

5. Counterparts. This Agreement may be executed in counterparts, each of which will be deemed an original, but all of which together constitute one and the same original.

6. Governing Law: This Agreement shall be governed by, and construed in accordance with, the law of the State of New York.

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be duly executed and delivered by their respective officers thereunto duly authorized as of the day and year first above written.

FOCUS FINANCIAL PARTNERS, LLC,
as a Grantor

By: 
Name: James Shanahan
Title: Chief Financial Officer


**ATLAS PRIVATE WEALTH MANAGEMENT,
LLC
BAM ADVISOR SERVICES, LLC
BEIRNE WEALTH CONSULTING SERVICES,
LLC
BRIDGEWATER WEALTH & FINANCIAL
MANAGEMENT LLC
BUCKINGHAM ASSET MANAGEMENT, LLC
CFO4LIFE GROUP, LLC
CARNICK & KUBIK GROUP, LLC
DOUGLAS LANE & ASSOCIATES, LLC
FI SERVICES HOLDINGS, LLC
FORT PITT CAPITAL GROUP, LLC
GELFAND, RENNERT AND FELDMAN, L. L. P.
GYL FINANCIAL SYNERGIES, LLC
HOYLECOHEN, LLC
JFS WEALTH ADVISORS, LLC
KOVITZ INVESTMENT GROUP PARTNERS,
LLC
MERRIMAN WEALTH MANAGEMENT, LLC
RELATIVE VALUE PARTNERS GROUP, LLC
RESNICK INVESTMENT ADVISORS, LLC
TELEMUS CAPITAL, LLC
THE COLONY GROUP, LLC
THE FIDUCIARY GROUP, LLC
THE PORTFOLIO STRATEGY GROUP, LLC
VESTOR CAPITAL, LLC
XML FINANCIAL, LLC**

By: **FOCUS OPERATING, LLC,**
as sole member

By: 
Name: James Shanahan
Title: Chief Financial Officer

**FOCUS CONSULTING, LLC
JPS ACQUISITION, LLC**

By: FOCUS FINANCIAL PARTNERS, LLC,
its Member

By: 

Name: James Shanahan

Title: Chief Financial Officer

LVW ADVISORS, LLC

By: LVW FLYNN, LLC,
its Member

By: FOCUS OPERATING, LLC,
its Member

By: 

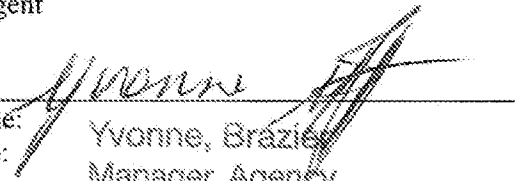
Name: James Shanahan

Title: Chief Financial Officer

ROYAL BANK OF CANADA
as the Agent



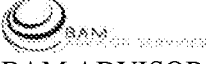

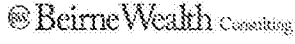

By: _____


Name:
Title:


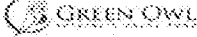
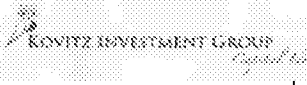
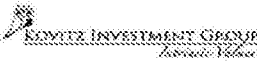

Yvonne, Brazier
Manager, Agency



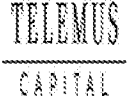


SCHEDULE A

U.S. Trademark Registrations and Applications

No.	Owner of Record	Mark	Serial No.	Filing Date	Registration Date	Registration No.
1.	Atlas Private Wealth Management, LLC	ATLAS PRIVATE WEALTH MANAGEMENT	86/792,063	October 19, 2015	June 28, 2016	4,987,969
2.	BAM Advisor Services, LLC	BAM ADVISOR SERVICES	85/907,787	April 18, 2013	January 21, 2014	4,469,410
3.	BAM Advisor Services, LLC	 BAM ADVISOR SERVICES & DESIGN	75/785,693	August 23, 1999	June 25, 2002	2,584,276
4.	BAM Advisor Services, LLC	 BAM ADVISOR SERVICES & DESIGN	86/006,985	July 10, 2013	April 8, 2014	4,512,761
5.	Bam Advisor Services, LLC	 BAM ADVISOR SERVICES & DESIGN	85/405,492	August 24, 2011	May 1, 2012	4,134,761
6.	Beirne Wealth Consulting Services, LLC	 BW (Stylized)	86/723,628	August 13, 2015	May 3, 2016	4,950,732
7.	Beirne Wealth Consulting Services, LLC	 BW BEIRNE & WEALTH CONSULTING & DESIGN	86/723,632	August 13, 2015	May 3, 2016	4,950,733
8.	Beirne Wealth Consulting Services, LLC	 BW BEIRNE WEALTH CONSULTING OUTCOMES MATTER. TRUST IS EARNED & DESIGN	86/723,636	August 13, 2015	May 3, 2016	4,950,734
9.	Bridgewater Wealth & Financial Management, LLC	HER WEALTH	87/183,660	September 26, 2016	May 16, 2017	5,203,868
10.	Buckingham Asset Management, LLC	BUILDING RELATIONSHIPS BY DOING THE RIGHT THING	77/883,380	December 1, 2009	November 16, 2010	3,875,856

11.	Bam Advisor Services, LLC	 Design	75/785,692	August 23, 1999	June 27, 2000	2,362,266
12.	Buckingham Asset Management, LLC	IN CONTEXT	85/458,012	October 27, 2011	April 24, 2012	4,132,062
13.	Buckingham Asset Management, LLC	REALLIFE	85/742,716	October 1, 2012	June 4, 2013	4,346,323
14.	Buckingham Asset Management, LLC	REDUCING REALLIFE RISKS RAISING REALLIFE RETURNS	85/742,830	October 1, 2012	June 4, 2013	4,346,326
15.	Buckingham Asset Management, LLC	 WMS SOLUTIONS FOR WEALTH MANAGEMENT	76/186,784	December 27, 2000	February 4, 2003	2,684,438
16.	Carnick & Kubik Group, LLC	BE FINANCIALLY CONFIDENT.	77/740,148	May 19, 2009	December 22, 2009	3,727,920
17.	CFO4Life Group, LLC	 CFO4LIFE & DESIGN	77/559,279	August 29, 2008	April 14, 2009	3,605,598
18.	Douglas Lane & Associates, LLC	 DCL&A	77/075,062	January 3, 2007	April 8, 2008	3,407,960
19.	Douglas Lane & Associates, LLC	DCLA	78/525,954	December 2, 2004	January 16, 2007	3,199,547
20.	Douglas Lane & Associates, LLC	DOUGLAS C. LANE & ASSOCIATES	75/812,836	October 1, 1999	October 30, 2001	2,502,831
21.	The Fiduciary Group, LLC	CONSERVE. PLAN. GROW.	77/273,203	September 6, 2007	November 25, 2008	3,538,190
22.	The Fiduciary Group, LLC	THE FIDUCIARY GROUP	77/398,241	February 15, 2008	July 8, 2008	3,464,813
23.	The Fiduciary Group, LLC		77/398,249	February 15, 2008	September 16, 2008	3,501,681
24.	Focus Financial Partners, LLC	F.I.R.S.T. Focus Index for Readiness in Succession and Transition	85/561,406	March 6, 2012	April 1, 2014	4,505,919
25.	Focus Financial Partners, LLC	 FOCUS FINANCIAL PARTNERS & DESIGN	85/496,470	December 15, 2011	October 2, 2012	4,219,522
26.	Focus Financial Partners, LLC		85/496,478	December 15, 2011	October 2, 2012	4,219,523

		FOCUS FINANCIAL PARTNERS DESIGN				
27.	Focus Financial Partners, LLC	FOCUS SUCCESSIONS	86/141,681	December 12, 2013	August 5, 2014	4,579,608
28.	Fort Pitt Capital Group, LLC	FORT PITT	75/135,081	July 16, 1996	April 15, 1997	2,052,925
29.	Fort Pitt Capital Group, LLC	 FORT PITT & DESIGN	75/204,597	November 26, 1996	December 30, 1997	2,125,390
30.	GYL Financial Synergies, LLC	GYL	87/340,366	February 17, 2017		
31.	HoyleCohen, LLC	THE WEALTH & BEYOND PROGRAM	76/479,083	December 20, 2002	February 10, 2004	2,812,501
32.	Kovitz Investment Group Partners, LLC	 GREEN OWL INTRINSIC VALUE FUND	85/426,842	September 20, 2011	August 21, 2012	4,195,911
33.	Kovitz Investment Group Partners, LLC	KOVITZ INVESTMENT GROUP	85/327,480	May 23, 2011	January 17, 2012	4,087,154
34.	Kovitz Investment Group Partners, LLC	 KOVITZ INVESTMENT GROUP CAPITAL IDEAS	77/237,573	July 24, 2007	November 4, 2008	3,528,598
35.	Kovitz Investment Group Partners, LLC	 KOVITZ INVESTMENT GROUP INTRINSIC VALUES	85/935,448	May 17, 2013	February 18, 2014	4,484,065
36.	Kovitz Investment Group Partners, LLC	KOVITZ SECURITIES	85/328,242	May 24, 2011	January 17, 2012	4,087,183
37.	JFS Wealth Advisors, LLC	COMPREHENSIVE INVESTMENT SOLUTIONS	85/114,770	August 24, 2010	March 22, 2011	3,936,049
38.	JFS Wealth Advisors, LLC	CPA INVESTMENT SOLUTIONS	85/114,959	August 24, 2010	March 22, 2011	3,936,050
39.	JFS Wealth Advisors, LLC	LIFETIME PLANNING CONTINUUM	86/634,370	May 19, 2015	January 19, 2016	4,888,783
40.	Merriman Wealth Management, LLC	MERRIMAN	77/745,805	May 27, 2009	December 22, 2009	3,728,183
41.	The Colony Group, LLC	MAKE AN INVESTMENT FOR LIFE	85/168,215	November 3, 2010	June 14, 2011	3,977,729

42.	Relative Value Partners Group, LLC	 RELATIVE VALUE PARTNERS & DESIGN	86/787,827	October 14, 2015	January 17, 2017	5,121,891
43.	Resnick Investment Advisors, LLC	RESNICK ADVISORS	86/828,616	November 23, 2015	July 26, 2016	5,007,080
44.	Resnick Investment Advisors, LLC	TO SERVE AND IMPROVE THE LIVES OF OUR CLIENTS, OUR COLLEAGUES, AND OUR COMMUNITY	86/501,930	January 13, 2015	March 22, 2016	4,924,071
45.	FI Services Holdings, LLC	STRATEGIC POINT STRATEGICPOINT	76/470,849	November 21, 2002	March 8, 2005	2,931,302
46.	FI Services Holdings, LLC	STRATEGICPOINT OF VIEW STRATEGICPOINT OF VIEW	76/534,035	August 1, 2003	July 20, 2004	2,864,635
47.	Telemus Capital, LLC	TELEMUS	85/122,054	September 2, 2010	September 13, 2011	4,025,069
48.	Telemus Capital, LLC	 TELEMUS TELEMUS & DESIGN	86/628,541	May 13, 2015	November 22, 2016	5,087,502
49.	Telemus Capital, LLC	 TELEMUS CAPITAL	85/122,057	September 2, 2010	July 5, 2011	3,988,729
50.	Telemus Capital, LLC	TELEMUS CAPITAL PARTNERS	78/600,966	April 4, 2005	April 11, 2006	3,079,332
51.	Telemus Capital, LLC	 TELEMUS TELEMUS ENRICH YOUR LIFE. ENJOY YOUR WEALTH. & DESIGN	86/628,542	05/13/2015	November 22, 2016	5,087,503
52.	The Colony Group, LLC	 THE COLONY GROUP & DESIGN	78/950,072	August 11, 2006	July 10, 2007	3,260,817
53.	The Portfolio Strategy Group, LLC	THE PORTFOLIO STRATEGY GROUP	86/780,338	October 7, 2015	October 25, 2016	5,070,319
54.	Vestor Capital, LLC	VESTOR CAPITAL	75/448,231	March 11, 1998	April 30, 2002	2,564,836
55.	XML Financial, LLC	TRUE INDEPENDENCE.	87/290,739	January 5, 2017		
56.	XML Financial, LLC	XML FINANCIAL GROUP	87/290,735	January 5, 2017		

57.	XML Financial, LLC	 XML FINANCIAL GROUP TRUE INDEPENDENCE. & DESIGN	87/290,746	January 5, 2017		
58.	LVW Advisors, LLC	INSTIVIDUALS	87437001	May 4, 2017		
59.	LVW Advisors, LLC	LVW FLYNN	87437006	May 4, 2017		
60.	Bridgewater Wealth & Financial Management, LLC	HW HER WEALTH	87294066	January 9, 2017		
61.	Bridgewater Wealth & Financial Management, LLC	HW	87294210	January 9, 2017		
62.	Buckingham Asset Management, LLC	BUCKINGHAM ASSET MANAGEMENT	87131261	August 8, 2016		
63.	Bam Advisor Services, LLC	Design Only	85907817	April 18, 2013	4,443,499	December 3, 2013
64.	Bam Advisor Services, LLC	THE BAM ALLIANCE	85658715	June 22, 2012	4365909	July 9, 2013