

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM441076

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Kestra Investment Services, LLC		08/21/2017	Limited Liability Company: TEXAS
RECEIVING PARTY DATA			
Name:	Kestra Financial, Inc.		
Street Address:	Building 2 Suite 125		
Internal Address:	1250 CAPITAL OF TEXAS HIGHWAY SOUTH		
City:	Austin		
State/Country:	TEXAS		
Postal Code:	78746		
Entity Type:	Corporation: DELAWARE		
PROPERTY NUMBERS Total: 2			
Property Type	Number	Word Mark	
Serial Number:	86913184	KESTRA	
Serial Number:	86913195	KESTRA FINANCIAL	
CORRESPONDENCE DATA			
Fax Number:	3124568435		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	312.456.8400		
Email:	chiipmail@gtlaw.com, matthewsk@gtlaw.com		
Correspondent Name:	Greenberg Traurig, LLP		
Address Line 1:	77 W. Wacker Drive		
Address Line 2:	Suite 3100		
Address Line 4:	Chicago, ILLINOIS 60601		
ATTORNEY DOCKET NUMBER:	170274.010200		
NAME OF SUBMITTER:	Jeffrey P. Dunning		
SIGNATURE:	/Jeffrey P. Dunning/		
DATE SIGNED:	08/29/2017		
Total Attachments: 3			
source=TRADEMARK ASSIGNMENT from Kestra Investment Services, LLC to Kestra Financial, Inc.			

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TRADEMARK ASSIGNMENT

WHEREAS, Kestra Investment Services, LLC, ("Assignor"), a Texas limited liability company, has agreed to sell and assign to Kestra Financial, Inc., ("Assignee"), a Delaware corporation, Assignor's entire right, title, interest, and goodwill in and to the trademarks identified on the attached Exhibit A (collectively, the "Trademarks").

WHEREAS, in order to effectuate Assignor's assignment to Assignee of its entire right, title and interest in and to the Trademarks, Assignor is executing this instrument of assignment.

FOR GOOD AND VALUABLE CONSIDERATION, the sufficiency and receipt of which are hereby acknowledged, Assignor hereby assigns, transfers and conveys to Assignee, Assignor's entire worldwide right, title and interest in and to the Trademarks, including, without limitation, any and all common law rights thereto, as well as the goodwill of the business symbolized thereby, effective as of the date written below.

Together with Assignor's worldwide right, title and interest in and to the Trademarks, as well as the goodwill of the business associated with said Trademarks being assigned to Assignee, are the rights to police, monitor and enforce said Trademarks against any and all past infringements (including, without limitation, the right to sue for and collect damages caused by any such infringements) which may have occurred at any time in the unlimited past, up to the date of this present Assignment, together with any and all further privileges in the United States and throughout the world to establish use, ownership, and/or registration of the Trademarks.

Assignor hereby covenants and warrants that it has the full right to convey the above-described right, title, interest and goodwill by this instrument, free of any encumbrances. Assignor further covenants and warrants that no other agreement has been or will be executed in conflict herewith.

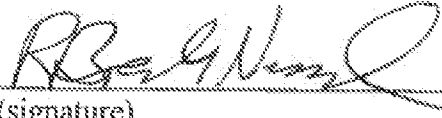
Assignor agrees to perform all affirmative acts which may be necessary or desirable to perfect the above-described transfer of rights, or to secure registration before the United States Patent and Trademark Office or any foreign trademark office, as well as to cooperate with Assignee in obtaining and/or providing information required in any proceedings relating to the Trademarks.

Assignor further authorizes the Commissioner of Patents and Trademarks of the United States and the appropriate official in any other country to issue any and all trademark registrations, amended registrations and/or renewals that may be granted upon any application or petition for same to Assignee and/or Assignee's successors or assigns.

Assignor hereby grants to the designated attorneys of Assignee the authority and power to insert on this instrument any further identification which may be necessary or desirable for purposes of recordation by the United States Patent and Trademark Office or the trademark office of any other country.

In WITNESS WHEREOF, Assignor has caused this instrument to be executed on the date written below.

Kestra Investment Services, LLC (Assignor)

By: 
(signature)

Printed Name: R. Brett Norwood

Title: EVP, General Counsel, Sec.

Date: 8/21/2017

EXHIBIT A

TRADEMARKS

Mark	Appl. No.	Filing Date	Country
KESTRA	86/913,184	2/19/2016	USA
KESTRA FINANCIAL	86/913,195	2/19/2016	USA