

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM441498

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Highland Capital Holding Corporation		08/30/2017	Corporation: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Highland Capital Brokerage, Inc.		
<b>Street Address:</b>	3535 Grandview Parkway, Suite 600		
<b>City:</b>	Birmingham		
<b>State/Country:</b>	ALABAMA		
<b>Postal Code:</b>	35243		
<b>Entity Type:</b>	Corporation: DELAWARE		
<b>PROPERTY NUMBERS Total: 1</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	3911820	HIGHCAP FINANCIAL	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2058743269		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	205-874-0369		
<b>Email:</b>	ljackman@wallacejordan.com		
<b>Correspondent Name:</b>	Laura M. Jackman		
<b>Address Line 1:</b>	800 Shades Creek Parkway, Suite 400		
<b>Address Line 4:</b>	Birmingham, ALABAMA 35209		
<b>NAME OF SUBMITTER:</b>	Laura M. Jackman		
<b>SIGNATURE:</b>	/Laura M. Jackman/		
<b>DATE SIGNED:</b>	08/31/2017		
<b>Total Attachments: 1</b>			
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OP \$40.00 3911820

**ASSIGNMENT OF REGISTRATION**

**WHEREAS**, Highland Capital Holding Corporation ("Assignor"), with an address of 3535 Grandview Parkway, Suite 600, Birmingham, Alabama 35243, has adopted, used and is using the service mark, "HighCap Financial", which mark was registered with the United States Patent and Trademark Office ("USPTO") on January 25, 2011 (USPTO Registration No. 3,911,820) (the "Mark");

**WHEREAS**, Highland Capital Brokerage, Inc. ("Assignee"), with an address of 3535 Grandview Parkway, Suite 600, Birmingham, Alabama 35243, is desirous of acquiring said mark and the registration thereof;

**NOW THEREFORE**, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor does hereby assign to Assignee all right, title and interest in and to the Mark, together with the good will of the business symbolized by the Mark, and the above-identified registration thereof.

Done this 30th day of August, 2017.

**Highland Capital Holding Corporation**

By: W. Drew Lawrence  
W. Drew Lawrence  
Its Vice-President

ACCEPTED AND AGREED:

**Highland Capital Brokerage, Inc.**

By: W. Drew Lawrence  
W. Drew Lawrence  
Its Vice-President