

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM443444

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	CHANGE OF NAME		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
ConvergEx Group, LLC		06/01/2017	Limited Liability Company: DELAWARE
RECEIVING PARTY DATA			
Name:	Cowen Execution Holdco LLC		
Street Address:	1633 Broadway		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10019		
Entity Type:	Limited Liability Company: DELAWARE		
PROPERTY NUMBERS Total: 7			
Property Type	Number	Word Mark	
Registration Number:	2978454	ADR DIRECT	
Registration Number:	4589042	BLAZE	
Registration Number:	5069703	C	
Registration Number:	3909386	CONVERGEX	
Registration Number:	5217783	DARKEST	
Registration Number:	3397048	INTERCOMM	
Registration Number:	3757943	VORTEX	
CORRESPONDENCE DATA			
Fax Number:	2124684888		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	212-468-4800		
Email:	jkatz@dglaw.com		
Correspondent Name:	Jeffrey C. Katz		
Address Line 1:	Davis & Gilbert LLP, 1740 Broadway		
Address Line 4:	New York, NEW YORK 10019		
ATTORNEY DOCKET NUMBER:	027400-0001-000		
NAME OF SUBMITTER:	Jeffrey C. Katz		
SIGNATURE:	/Jeffrey C. Katz/		

CH \$190.00 2978454

DATE SIGNED:	09/18/2017
Total Attachments: 2 source=change of name - COWEN EXECUTION HOLDCO LLC#page1.tif source=change of name - COWEN EXECUTION HOLDCO LLC#page2.tif	

Delaware

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I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "CONVERGEX GROUP, LLC", CHANGING ITS NAME FROM "CONVERGEX GROUP, LLC" TO "COWEN EXECUTION HOLDCO LLC", FILED IN THIS OFFICE ON THE THIRTY-FIRST DAY OF MAY, A.D. 2017, AT 6:16 O`CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF AMENDMENT IS THE FIRST DAY OF JUNE, A.D. 2017 AT 8 O`CLOCK A.M.




Jeffrey W. Bullock, Secretary of State

4181669 8100
SR# 20174424194

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 202631284
Date: 06-01-17

TRADEMARK
REEL: 006154 FRAME: 0451


STATE OF DELAWARE
CERTIFICATE OF AMENDMENT
OF
CONVERGEX GROUP, LLC

1. The name of the limited liability company is ConvergeX Group, LLC.
2. The Certificate of Formation of the limited liability company is hereby amended as follows:

“FIRST: The name of the limited liability company is **Cowen Execution Holdco LLC** (the “Company”).

“SECOND: The address of the registered office of the Company in the State of Delaware is 850 New Burton Road, Suite 201, Dover, Delaware 19904 in the County of Kent. The name of the registered agent of the Company is Cogency Global Inc.
3. This filing is to become effective on June 1, 2017 at 8:00 AM EST.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Amendment as of the 31st day of May, 2017.



Name: Owen Littman
Title: Authorized Person