

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM443725

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
ALVIN ENG	FORMERLY d/b/a HARBORVIEW ADVISORY PARTNERS, LLC	09/15/2017	INDIVIDUAL:
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	PFM ASSET MANAGEMENT LLC		
<b>Street Address:</b>	One Keystone Plaza, North Front & Market Streets		
<b>City:</b>	Harrisburg		
<b>State/Country:</b>	PENNSYLVANIA		
<b>Postal Code:</b>	17101		
<b>Entity Type:</b>	Limited Liability Company: DELAWARE		
<b>PROPERTY NUMBERS Total: 2</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	4902660	BIS- BANK INVESTMENT SOLUTIONS	
<b>Registration Number:</b>	4937648	CBI- COMMUNITY BANK INVESTMENT INDEX	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	6103718506		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	6099877050		
<b>Email:</b>	ejs@stevenslee.com		
<b>Correspondent Name:</b>	Elliott J. STEIN		
<b>Address Line 1:</b>	100 Lenox Drive, Suite 200		
<b>Address Line 4:</b>	Lawrenceville, NEW JERSEY 08648		
<b>NAME OF SUBMITTER:</b>	Elliott J. Stein		
<b>SIGNATURE:</b>	/varlawyer/		
<b>DATE SIGNED:</b>	09/19/2017		
<b>Total Attachments: 2</b>			
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**ASSIGNMENT OF TRADEMARKS AND  
U.S. TRADEMARK APPLICATIONS & REGISTRATIONS**

THIS ASSIGNMENT made as of the 15<sup>th</sup> day of Sept, <sup>2017</sup>~~2016~~, by and between ALVIN ENG, individually and doing business as HARBORVIEW ADVISORY PARTNERS, LLC (the "Assignor") with offices at 8054 Harbor View Terrace, Brooklyn, NY 11209 – and – PFM ASSET MANAGEMENT LLC., a Delaware limited liability company (the "Assignee") with principal offices at One Keystone Plaza, North Front & Market Streets, Harrisburg, PA 17101.

WHEREAS, Assignor claims ownership of all of the right, title and interest in and to the trademarks "CBI – COMMUNITY BANK INVESTMENT INDEX" and "BIS – BANK INVESTMENT SOLUTIONS" (the "Trademarks") and filed applications to register the Trademarks (the "Applications") with the United States Patent and Trademark Office (the "USPTO") which Application were assigned U.S. Ser. No. 86/435255 and 86/435412, respectively; and

WHEREAS, the USPTO issued U.S. Registration 4902660 in respect of U.S. Ser. No. 86/435412 and U.S. Registration 4937648 in respect of U.S. Ser. No. 86/435255 (the "Registrations"); and

WHEREAS, Assignor has agreed to assign, transfer and convey all of its right, title and interest in and to the Trademarks to Assignee along with all goodwill associated therewith or related thereto; and

WHEREAS, Assignor has agreed to assign, transfer and convey all of its right, title and interest in and to the Trademarks, Applications and Registrations to Assignee

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor does hereby assign unto Assignee, all of the right, title and interest of Assignor in and to the Trademarks, together with all goodwill associated therewith or related thereto.

FURTHER, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor does hereby assign unto Assignee, all of the right, title and interest of Assignor in and to the Applications and the Registrations.

FURTHER, Assignor does hereby assign to Assignee, all income, royalties or payments due or payable in respect of the Trademarks as of the date hereof or thereafter, including, without limitation, all claims for damages by reason of past, present or future infringement or other unauthorized use of the Trademarks, with right to sue for, and collect the same for Assignee's own use and enjoyment and for the use and enjoyment of its successors, assigns or other legal representatives.

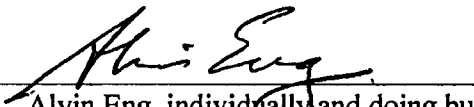
FURTHER, Assignor hereby agrees, without further consideration, to assist the Assignee and Assignee's successors, assigns or other legal representatives, in prosecuting, maintaining, renewing, enforcing and defending such Trademarks, Applications and registrations (including the Registration) as and when requested by Assignee and/or Assignee's successors, assigns or other legal representatives.

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**TRADEMARK  
REEL: 006156 FRAME: 0938**

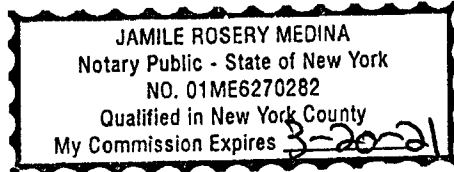
Assignor requests that the Register of Trademarks record Assignee as the assignee and owner of the Trademark and Application.

IN WITNESS WHEREOF, Assignor has executed this instrument.

By:   
Alvin Eng, individually and doing business  
as Harborview Advisory Partners, LLC

Witnessed by:

  
Name:



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