

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM446150

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
AIST-NT, Inc.		07/26/2017	Corporation: TEXAS
RECEIVING PARTY DATA			
Name:	HORIBA Instruments Incorporated		
Street Address:	9755 Research Dr		
City:	Irvine		
State/Country:	CALIFORNIA		
Postal Code:	92618		
Entity Type:	Corporation: CALIFORNIA		
PROPERTY NUMBERS Total: 2			
Property Type	Number	Word Mark	
Registration Number:	4421428	AIST-NT	
Registration Number:	4546161	SMARTSPM	
CORRESPONDENCE DATA			
Fax Number:			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	9492428503		
Email:	penny.gregg@horiba.com		
Correspondent Name:	Penny Gregg		
Address Line 1:	9755 Research Dr		
Address Line 4:	Irvine, CALIFORNIA 92618		
NAME OF SUBMITTER:	Penny Gregg		
SIGNATURE:	/P Gregg 2794/		
DATE SIGNED:	10/05/2017		
Total Attachments: 6			
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OP \$65.00 4421428

TRADEMARK ASSIGNMENT

AIST-INC, INC., a Texas corporation, having its principal offices at 359 Bel Keys Boulevard #20, Novato, California 94949, United States of America, (hereinafter "ASSIGNOR"), for good and valuable consideration exceeding ten US dollars (\$10.00), the receipt of and sufficiency which is acknowledged, hereby agrees as follows:

1. ASSIGNOR represents that it is the owner of the entire right, title and interest, in and to the trademarks and the corresponding registrations and /or applications for registration set forth on EXHIBIT T (collectively, the "TRADEMARKS"), together with the goodwill of the business connected with and symbolized by the TRADEMARKS.
2. ASSIGNOR hereby sells, assigns, transfers and conveys, or has sold, assigned, transferred and conveyed, to **HORIBA INSTRUMENTS INCORPORATED**, a California corporation having principal offices at 9755 Research Drive, Irvine, CA 92618 USA, including its successors, legal representatives and assigns (collectively referred to hereafter as "ASSIGNEE"), the entire right, title, interest in and to the TRADEMARKS in the United States and all jurisdictions outside the United States, together with the goodwill of the business connected with and symbolized by the TRADEMARKS (including, without limitation, the right to renew any registrations included in the TRADEMARKS, the right to apply for trademark registrations within or outside the United States based in whole or in part upon the TRADEMARKS, and any priority right that may arise from the TRADEMARKS), the same to be held and enjoyed by ASSIGNEE as fully and entirely as said interest could have been held and enjoyed by ASSIGNOR had this sale, assignment, transfer and conveyance not been made.
3. ASSIGNOR authorizes the Commissioner of Trademarks of the United States and other empowered officials of the United States Patent and Trademark Office and in any applicable jurisdictions outside the United States to record the transfer of the registrations and/or applications for registration set forth on EXHIBIT T to ASSIGNEE as assignee of ASSIGNOR's entire right, title and interest therein. Assignor agrees to further execute any documents reasonably necessary to effect this assignment or to confirm ASSIGNEE's ownership of the Trademarks.
4. ASSIGNOR hereby covenants that ASSIGNOR has the full right to convey all of the rights assigned herein, and that ASSIGNOR has not executed, and will not execute, any agreement in conflict with such assignment.

IN WITNESS WHEREOF, this assignment document has been executed by authorized signatories for the ASSIGNOR and the ASSIGNEE on the date opposite their respective signatures.

[signature pages follow]

Trademark Assignment
Page 1 of 4

00EXPTAI

TRADEMARK
REEL: 006175 FRAME: 0649

ASSIGNOR

By: _____

Date: 07/19/2017

Printed Name: SERGEY SAUNIN

Title: CEO

On behalf of AIST-NT, Inc.

STATE OF _____ :

COUNTY OF _____ :

On this ____ day of _____, 2017, before me, a Notary Public in and for the State and County aforesaid, personally appeared _____, known by me to be the person of the above name [and an officer of _____.] duly authorized to execute this Trademark Assignment on behalf of AIST-NT, Inc., who signed and executed the foregoing instrument on behalf of _____.]

Given under my hand and seal of office this ____ day of _____, 2017.

My commission expires:

Notary Public

Address

SS

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

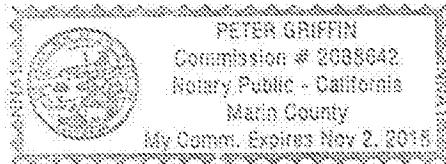
State of California
County of MARIN

On 03/17/17 before me, Peter Griffin, Notary Public
(insert name and title of the officer)

personally appeared SEENA SAVANI
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature *[Handwritten Signature]* (Seal)

ASSIGNEE Sylvia Nie
By: SYLVIA NIE

Date: 7/24/17

Printed Name: _____

Title: Group Controller
On behalf of HORIBA Instruments Incorporated

STATE OF California :

COUNTY OF Orange :

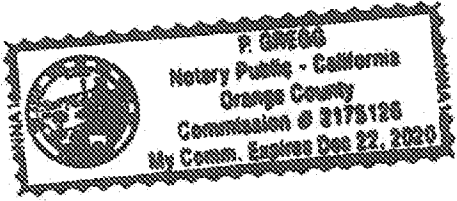
On this 24th day of July, 2017, before me, a Notary Public in and for the State and County aforesaid, personally appeared Sylvia Nie, known by me to be the person of the above name and an officer of HORIBA Instruments Incorporated, authorized to execute this Trademark Assignment on behalf of HORIBA Instruments Incorporated, who signed and executed the foregoing instrument on behalf of HORIBA Instruments Incorporated.

Given under my hand and seal of office this 24 day of July, 2017.

My commission expires:
Dec. 22, 2020

[Signature]
Notary Public

9755 Research Dr. Irvine, CA
Address



ASSIGNEE

By: [Signature]

Date: 7/24/2017

Printed Name: Dan Horiba

Title: President

On behalf of HORIBA Instruments Incorporated

STATE OF California :

COUNTY OF Orange :

On this 24 day of July, 2017, before me, a Notary Public in and for the State and County aforesaid, personally appeared Dan Horiba, known by me to be the person of the above name and an officer of HORIBA Instruments Incorporated, authorized to execute this Trademark Assignment on behalf of HORIBA Instruments Incorporated, who signed and executed the foregoing instrument on behalf of HORIBA Instruments Incorporated.

Given under my hand and seal of office this 24 day of July, 2017.

My commission expires:

Dec. 22, 2020

[Signature]
Notary Public

9755 Research Dr. Irvine, CA
Address

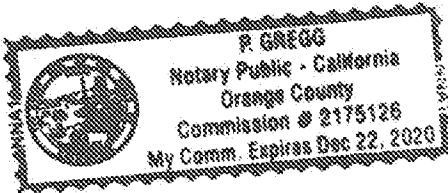


EXHIBIT T
TRADEMARKS

COUNTRY	TRADEMARK	REGISTRATION NO. (REGISTRATION DATE)	GOODS & SERVICES	CLASS
USA	AIST-NT	4421428 (October 22, 2013)	Microscopes and parts thereof	9
EU	AIST-NT	011670445 (July 11, 2013)	Optical apparatus and instruments; Microscopes; Scanning apparatus and probes, other than for medical use; Parts and fittings for all the aforesaid goods all included in class 9.	9
USA	SMARTSPM	4546161 (June 10, 2014)	Microscopes and parts thereof	9