

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM447553

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Lombard Medical Technologies Inc.		10/13/2017	Corporation: DELAWARE
RECEIVING PARTY DATA			
Name:	OXFORD FINANCE LLC, AS COLLATERAL AGENT		
Street Address:	133 North Fairfax Street		
City:	Alexandria		
State/Country:	VIRGINIA		
Postal Code:	22314		
Entity Type:	Limited Liability Company: DELAWARE		
PROPERTY NUMBERS Total: 5			
Property Type	Number	Word Mark	
Serial Number:	86541502	INTELLIFLEX	
Serial Number:	77893189	ALTURA	
Serial Number:	77893163	ALTURA	
Serial Number:	86051433		
Serial Number:	86051438		
CORRESPONDENCE DATA			
Fax Number:	6178970910		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	6173106210		
Email:	moodye@gtlaw.com		
Correspondent Name:	Erin Moody		
Address Line 1:	One International Place		
Address Line 2:	Greenberg Traurig, LLP, Suite 2000		
Address Line 4:	BOSTON, MASSACHUSETTS 02110		
NAME OF SUBMITTER:	Erin Moody		
SIGNATURE:	/s/ Erin Moody		
DATE SIGNED:	10/17/2017		
Total Attachments: 11			

OP \$140.00 86541502

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INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement is entered into as October 13, 2017, by and among OXFORD FINANCE LLC, a Delaware limited liability company with an office located at 133 North Fairfax Street, Alexandria, Virginia 22314 (“**Oxford**”), as collateral agent (in such capacity, “**Collateral Agent**”), the Lenders listed on Schedule 1.1 of the Loan Agreement (as such term is defined below, each a “**Lender**” and collectively, the “**Lenders**”), and Lombard Medical Technologies Inc., a Delaware corporation with an office located at 15420 Laguna Canyon Road, Suite 260, Irvine CA 92618 (“**Lombard Medical**”) and ALTURA MEDICAL, INC., a Delaware corporation with offices located at 923 Hamilton Ave., Menlo Park, CA 94025 (“**Altura**” and together with Lombard Medical, individually and collectively, jointly and severally, “**Borrower**”).

RECITALS

A. Lenders agreed to make certain advances of money and to extend certain financial accommodation to Grantor (the “**Loans**”) in the amounts and manner set forth in that certain Loan and Security Agreement by and among Lenders and Grantor dated as of April 24, 2015 (as the same may be amended, amended and restated, modified or supplemented from time to time, the “**Loan Agreement**”; capitalized terms used herein are used as defined in the Loan Agreement). In accordance with the terms of the Loan Agreement, Grantor is granting to Collateral Agent, for the ratable benefit of the Lenders, a security interest in certain Copyrights, Trademarks, Patents and Mask Works (as each term is described below) to secure the obligations of Grantor under the Loan Agreement.

B. Grantor has already granted to Collateral Agent, for the ratable benefit of the Lenders, a security interest in all of Grantor’s right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral (other than the Intellectual Property Collateral (as defined herein below)).

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Loan Agreement, Grantor hereby represents, warrants, covenants and agrees as follows:

AGREEMENT

To secure its obligations under the Loan Agreement, effective as of date hereof, Grantor hereby grants and pledges to Collateral Agent, for the ratable benefit of the Lenders, a security interest in all of Grantor’s right, title and interest in, to and under its intellectual property (all of which shall collectively be called the “**Intellectual Property Collateral**”), including, without limitation, the following:

(a) Any and all copyright rights, copyright applications, copyright registrations and like protections in each work or authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret, now or hereafter existing, created, acquired or held, including without limitation those set forth on Exhibit A attached hereto (collectively, the “**Copyrights**”);

(b) Any and all trade secrets, and any and all intellectual property rights in computer software and computer software products now or hereafter existing, created, acquired or held;

(c) Any and all design rights that may be available to Grantor now or hereafter existing, created, acquired or held;

(d) All patents, patent applications and like protections including, without limitation, improvements, divisions, continuations, renewals, reissues, extensions and continuations-in-part of the same, including without limitation the patents and patent applications set forth on Exhibit B attached hereto (collectively, the “**Patents**”);

(e) Any trademark and servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of Grantor connected with and symbolized by such trademarks, including without limitation those set forth on Exhibit C attached hereto (collectively, the “**Trademarks**”);

(f) All mask works or similar rights available for the protection of semiconductor chips, now owned or hereafter acquired, including, without limitation those set forth on Exhibit D attached hereto (collectively, the “**Mask Works**”);

(g) Any and all claims for damages by way of past, present and future infringements of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;

(h) All licenses or other rights to use any of the Copyrights, Patents, Trademarks, or Mask Works and all license fees and royalties arising from such use to the extent permitted by such license or rights;

(i) All amendments, extensions, renewals and extensions of any of the Copyrights, Trademarks, Patents, or Mask Works; and

(j) All proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.

Grantor hereby represents and warrants that the Copyrights set forth on Exhibit A, the Patents set forth on Exhibit B, the Trademarks set forth on Exhibit C and the Mask Works set forth on Exhibit D include, among others, all registered Copyrights, Patents and Trademarks of Grantor on the date hereof.

This security interest is granted in conjunction with the security interest granted to Collateral Agent, for the ratable benefit of the Lenders under the Loan Agreement, and shall become effective upon the date hereof. The rights and remedies of Collateral Agent with respect to the security interest granted hereby are in addition to those set forth in the Loan Agreement and the other Loan Documents, and those which are now or hereafter available to Collateral Agent as a matter of law or equity. Each right, power and remedy of Collateral Agent provided for herein or in the Loan Agreement or any of the Loan Documents, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein and the exercise by Collateral Agent of any one or more of the rights, powers or remedies provided for in this Intellectual Property Security Agreement, the Loan Agreement or any of the other Loan Documents, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, including Collateral Agent, of any or all other rights, powers or remedies.

[Signature page follows.]

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:

Address of Grantor:

15420 Laguna Canyon Road, Suite 260
Irvine, CA 92618
Attn: Kurt Lemvigh, CEO

LOMBARD MEDICAL TECHNOLOGIES
INC.

By: _____

Title: _____

GRANTOR:

Address of Grantor:

923 Hamilton Ave.
Menlo Park, CA 94025
Attn: Kurt Lemvigh, CEO

ALTURA MEDICAL, INC.

By: _____

Title: _____

Address of Lender:

133 North Fairfax Street
Alexandria, Virginia 22314
Attn: Legal Department

OXFORD FINANCE LLC, AS COLLATERAL
AGENT AND AS A LENDER

By: _____

Title: _____

TRADEMARK

REEL: 006184 FRAME: 0067

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:

Address of Grantor:

LOMBARD MEDICAL TECHNOLOGIES
INC.

Attn: _____

By: _____

Title: _____

GRANTOR:

Address of Grantor:

ALTURA MEDICAL, INC.

Attn: _____

By: _____

Title: _____

Address of Lender:

OXFORD FINANCE LLC, AS COLLATERAL
AGENT AND AS A LENDER

133 North Fairfax Street
Alexandria, Virginia 22314
Attn: Legal Department

By: 

Title: Colette H. Featherly
Senior Vice President

EXHIBIT A

Copyrights

None.

EXHIBIT B

Patents

[See attached]

Resub Person	Client	Our Ref	Clients Ref	Short Title	Country	Applicant	Application Date	Application No.	Publication Date	Publication No.	Grant Date	Patent No.	Next Renewal Due Status	
TT	Lombard Medical Limited	N11005	Aorlik graft	Reinforced graft	Australia - EX PCT CHAPTER 2	Anson Medical Limited	25-Jan-99	22856199			22-Nov-01	737035	25-Jan-18 Granted	
TT	Lombard Medical Limited	N10106	Aorlik graft	Reinforced graft	Brazil - EX PCT CHAPTER 2	Anson Medical Limited	26-Jan-99	99092209-2	10-Apr-07	9907209-2	22-Nov-01	737035	25-Jan-18 Granted	
TT	Lombard Medical Limited	N17938	Aorlik graft	Reinforced graft	Germany - EX-European	Anson Medical Limited	26-Jan-99	99902674.3			9-Nov-05	1049420	25-Jan-18 Granted	
TT	Lombard Medical Limited	N10111	Aorlik graft	Reinforced graft	Germany - ex PCT Chapter 2	Anson Medical Limited	26-Jan-99	99902674.3			9-Nov-05	1049420	25-Jan-18 Granted	
TT	Lombard Medical Limited	N17941	Aorlik graft	Reinforced graft	Ireland - EX-European	Anson Medical Limited	26-Jan-99	99902674.3			9-Nov-05	1049420	25-Jan-18 Granted	
TT	Lombard Medical Limited	N17942	Aorlik graft	Reinforced graft	Ireland - EX-European	Anson Medical Limited	26-Jan-99	99902674.3			9-Nov-05	1049420	25-Jan-18 Granted	
TT	Lombard Medical Limited	N10108	Aorlik graft	Reinforced graft	Japan - EX PCT CHAPTER 2	Anson Medical Limited	26-Jan-99	2000-528232	19-Sep-08	2000-528232	10-Oct-17	4197842	25-Jan-18 Granted	
TT	Lombard Medical Limited	N23218	Aorlik graft	Reinforced graft	Japan - Divisional - Old	Anson Medical Limited	26-Jan-99	2000-215837	26-Oct-12	5118581	25-Oct-12	31-May-05	6899728	25-Jan-18 Granted
TT	Lombard Medical Limited	N10110	Aorlik graft	Reinforced graft	US - EX PCT CHAPTER 2	Anson Medical Limited	26-Jan-99	09103588			1-Jan-02	6534867	25-Jan-18 Granted	
TT	Lombard Medical Limited	N0735	Aorlik graft	Reinforced graft	US - EX PCT CHAPTER 2	Anson Medical Limited	26-Jan-99	09103588			1-Jan-02	6534867	25-Jan-18 Granted	
TT	Lombard Medical Limited	N9456		Occlusion device	US - EX PCT CHAPTER 2	Anson Medical Limited	5-Aug-98	463786			16-Jul-02	6419666	16-Jan-18 Granted	
TT	Lombard Medical Limited	N17538		Fixator/catheter/dilator	Germany - EX PCT Chapter 2	Anson Medical Limited	3-Aug-99	99936861.6			31-Aug-05	1100381	31-Aug-05 Granted	
TT	Lombard Medical Limited	N10766		Fixator/catheter/dilator	Germany - ex PCT Chapter 2	Anson Medical Limited	3-Aug-99	99936861.6			31-Aug-05	1100381	31-Aug-05 Granted	
TT	Lombard Medical Limited	N17536		Fixator/catheter/dilator	United Kingdom - EX-European	Anson Medical Limited	3-Aug-99	99936861.6			31-Aug-05	1100381	31-Aug-05 Granted	
TT	Lombard Medical Limited	N17538		Fixator/catheter/dilator	United Kingdom - EX-European	Anson Medical Limited	3-Aug-99	99936861.6			31-Aug-05	1100381	31-Aug-05 Granted	
TT	Lombard Medical Limited	N17540		Fixator/catheter/dilator	Ireland - EX-European	Anson Medical Limited	3-Aug-99	99936861.6			31-Aug-05	1100381	31-Aug-05 Granted	
TT	Lombard Medical Limited	N10768		Fixator/catheter/dilator	Ireland - EX-European	Anson Medical Limited	3-Aug-99	99936861.6			18-Sep-08	4187930	18-Sep-08 Granted	
TT	Lombard Medical Limited	N10767		Fixator/catheter/dilator	Japan - EX PCT CHAPTER 2	Anson Medical Limited	3-Aug-99	99936861.6			15-Jun-10	7736377	15-Dec-17 Granted	
TT	Lombard Medical Limited	N12261		Fixator/catheter/dilator	US - EX PCT CHAPTER 2	Anson Medical Limited	3-Aug-99	09762223			28-Oct-08	563194	27-Oct-17 Granted	
TT	Lombard Medical Limited	N12263		Fixator/catheter/dilator	US - EX PCT CHAPTER 2	Anson Medical Limited	3-Aug-99	09762223			28-Oct-08	563194	27-Oct-17 Granted	
TT	Lombard Medical Limited	N15140		Sewing machine 1	Australia - EX PCT CHAPTER 2	Anson Medical Limited	27-Oct-00	1045501			5-Apr-06	ZL00814978.X	27-Oct-17 Granted	
TT	Lombard Medical Limited	N15141		Sewing machine 1	China - EX PCT CHAPTER 2	Anson Medical Limited	27-Oct-00	00871678.X			14-Jan-04	1229880	27-Oct-17 Granted	
TT	Lombard Medical Limited	N12258		Sewing machine 1	Germany - EX-European	Anson Medical Limited	27-Oct-00	00971605.1	14-Jan-04	1229880	27-Oct-17	1229880	27-Oct-17 Granted	
TT	Lombard Medical Limited	N15145		Sewing machine 1	Germany - EX-European	Anson Medical Limited	27-Oct-00	00971605.1	14-Jan-04	1229880	27-Oct-17	1229880	27-Oct-17 Granted	
TT	Lombard Medical Limited	N15148		Sewing machine 1	European - ex PCT Chapter 2	Anson Medical Limited	27-Oct-00	00971605.1	14-Jan-04	1229880	27-Oct-17	1229880	27-Oct-17 Granted	
TT	Lombard Medical Limited	N15144		Sewing machine 1	France - EX-European	Anson Medical Limited	27-Oct-00	00971605.1	14-Jan-04	1229880	27-Oct-17	1229880	27-Oct-17 Granted	
TT	Lombard Medical Limited	N15144		Sewing machine 1	Ireland - EX-European	Anson Medical Limited	27-Oct-00	00971605.1	14-Jan-04	1229880	27-Oct-17	1229880	27-Oct-17 Granted	
TT	Lombard Medical Limited	N15144		Sewing machine 1	Ireland - EX-European	Anson Medical Limited	27-Oct-00	00971605.1	14-Jan-04	1229880	27-Oct-17	1229880	27-Oct-17 Granted	
TT	Lombard Medical Limited	N12254		Sewing machine 1	Japan - EX PCT CHAPTER 2	Anson Medical Limited	27-Oct-00	2001-532692	6-Nov-09	2001-532692	6-Nov-09	4402331	6-Nov-09 Granted	
TT	Lombard Medical Limited	N12254		Sewing machine 1	Russian Federation - EX-PCT	Anson Medical Limited	27-Oct-00	2002111010			20-Jun-05	2254080	27-Oct-17 Granted	
TT	Lombard Medical Limited	N10463		Sewing machine 1	WFO - International	Anson Medical Limited	27-Oct-00	10111795			6-Mar-07	7185597	6-Sep-18 Granted	
TT	Lombard Medical Limited	N12795		Gull Wing Stapler	China - EX PCT CHAPTER 2	Anson Medical Limited	27-Oct-00	GB00004153			3-May-01	WC0130289	6-Sep-18 Granted	
TT	Lombard Medical Limited	N26367	EndoBriek stapler	Gull Wing Stapler	Germany - EX PCT CHAPTER 2	Anson Medical Limited	9-Feb-01	01804565.9			25-Aug-10	1255481	9-Feb-18 Granted	
TT	Lombard Medical Limited	N12796	EndoBriek stapler	Gull Wing Stapler	Germany - EX PCT CHAPTER 2	Anson Medical Limited	9-Feb-01	01904144.1	13-Nov-02	1255481	25-Aug-10	1255481	9-Feb-18 Granted	
TT	Lombard Medical Limited	N26365	EndoBriek stapler	Gull Wing Stapler	Germany - ex PCT Chapter 2	Anson Medical Limited	9-Feb-01	01904144.1			25-Aug-10	1255481	9-Feb-18 Granted	
TT	Lombard Medical Limited	N26373	EndoBriek stapler	Gull Wing Stapler	United Kingdom - EX-European	Anson Medical Limited	9-Feb-01	01904144.1			25-Aug-10	1255481	9-Feb-18 Granted	
TT	Lombard Medical Limited	N12798	EndoBriek stapler	Gull Wing Stapler	Ireland - EX-European	Anson Medical Limited	9-Feb-01	01904144.1			25-Aug-10	1255481	9-Feb-18 Granted	
TT	Lombard Medical Limited	N12801	EndoBriek stapler	Gull Wing Stapler	Japan - EX PCT CHAPTER 2	Anson Medical Limited	9-Feb-01	2001-557477	2-Oct-08	2001-557477	2-Oct-08	4281643	2-Oct-17 Granted	
TT	Lombard Medical Limited	N22326	EndoBriek stapler	Gull Wing Stapler	US - EX PCT CHAPTER 2	Anson Medical Limited	9-Feb-01	10203074			15-Oct-12	7262321	5-Feb-08 Granted	
TT	Lombard Medical Limited	N10857	EndoBriek stapler	Gull Wing Stapler	US - EX PCT CHAPTER 2	Anson Medical Limited	14-Jan-08	12013819			15-Oct-12	8287568	15-Oct-12 Granted	
TT	Lombard Medical Limited	N10108	EndoBriek stapler	Gull Wing Stapler	WFO - International	Anson Medical Limited	26-Jan-99	00196121.1			15-Nov-00	11-WO015833	25-Aug-10 Granted	
TT	Lombard Medical Limited	N15938-DE	EndoBriek stapler	Reinforced graft	United Kingdom - ex PCT Ch 2	Anson Medical Limited	26-Jan-99	00196121.1			9-Nov-04	6814747	15-Nov-00 Granted	
TT	Lombard Medical Limited	N15938-DE	EndoBriek stapler	Reinforced graft (CP)	United States	Anson Medical Limited	19-Oct-01	09299878.9			9-Nov-04	6814747	15-Nov-00 Granted	
TT	Lombard Medical Limited	N15938	EndoBriek stapler	Catheter Delivery System	Germany - EX PCT CHAPTER 2	Anson Medical Limited	11-Feb-03	03704785.9	10-Nov-04	1474074	16-Apr-14	1474074	16-Apr-14 Granted	
TT	Lombard Medical Limited	N15938-GB	EndoBriek stapler	Catheter Delivery System	European - ex PCT Chapter 2	Anson Medical Limited	11-Feb-03	03704785.9			16-Apr-14	1474074	16-Apr-14 Granted	
TT	Lombard Medical Limited	N15938-IE	EndoBriek stapler	Catheter Delivery System	United Kingdom - EX-European	Anson Medical Limited	11-Feb-03	03704785.9			16-Apr-14	1474074	16-Apr-14 Granted	
TT	Lombard Medical Limited	N15938-IT	EndoBriek stapler	Catheter Delivery System	Ireland - EX-European	Anson Medical Limited	11-Feb-03	03704785.9			16-Apr-14	1474074	16-Apr-14 Granted	
TT	Lombard Medical Limited	N15941	EndoBriek stapler	Catheter Delivery System	Italy - EX-European	Anson Medical Limited	11-Feb-03	03704785.9			16-Apr-14	1474074	16-Apr-14 Granted	
TT	Lombard Medical Limited	N15964	EndoBriek stapler	Catheter Delivery System	US - EX PCT CHAPTER 2	Anson Medical Limited	11-Feb-03	05054245	13-Oct-05	US20051028475.A1	23-Nov-10	7837724	23-May-18 Granted	
TT	Lombard Medical Limited	N14702	EndoBriek stapler	Sewing machine 2	WFO - International	Anson Medical Limited	11-May-02	02899000.4			29-Nov-06	ZL02809000.4	1-May-18 Granted	
TT	Lombard Medical Limited	N14705	EndoBriek stapler	Sewing machine 2	China - EX PCT CHAPTER 2	Anson Medical Limited	11-May-02	2002-584825	5-Mar-09	2002-584825	3-Apr-08	4287659	3-Apr-08 Granted	
TT	Lombard Medical Limited	N12458	EndoBriek stapler	Sewing machine 2	US - EX PCT CHAPTER 2	Anson Medical Limited	11-May-02	10475349	20-Jan-05	2005-0013841-A1	11-Jul-06	7073456	11-Jul-06 Granted	
TT	Lombard Medical Limited	N12458	EndoBriek stapler	Sewing machine 2	US - EX PCT CHAPTER 2	Anson Medical Limited	11-May-02	GB0201970	7-Nov-02	WC02087471	11-Jul-06	7073456	11-Jul-06 Granted	
TT	Lombard Medical Limited	N12938	EndoBriek stapler	Sewing machine 2	WFO - International	Anson Medical Limited	6-Sep-98	10142338			1-Jan-02	RE393335	1-Jan-02 Granted	
TT	Lombard Medical Limited	N12938	EndoBriek stapler	Sewing machine 2	US - EX PCT CHAPTER 2	Anson Medical Limited	15-Jul-97	97931921.7	22-Jan-98	0915878	25-Jun-03	0915878	25-Jun-03 Granted	
TT	Lombard Medical Limited	N14115	EndoBriek stapler	Evorable graft (Reissue)	European - ex PCT Chapter 2	Anson Medical Limited	15-Jul-97	97931921.7			25-Jun-03	0915878	25-Jun-03 Granted	
TT	Lombard Medical Limited	N12974	EndoBriek stapler	PDA Stent	Ireland - EX PCT CHAPTER 2	Anson Medical Limited	15-Jul-97	09214683			13-Aug-02	RE393335	13-Aug-02 Granted	
TT	Lombard Medical Limited	N16673	EndoBriek stapler	PDA Stent	US - EX PCT CHAPTER 2	Anson Medical Limited	15-Jul-97	09214683			13-Aug-02	RE393335	13-Aug-02 Granted	
TT	Lombard Medical Limited	N16673	EndoBriek stapler	PDA Stent	Australia - EX PCT CHAPTER 2	Anson Medical Limited	2-Sep-03	2003263305			23-Feb-09	ZL038208458	23-Feb-09 Granted	
TT	Lombard Medical Limited	N29273	EndoBriek stapler	PDA Stent	China - EX PCT CHAPTER 2	Anson Medical Limited	2-Sep-03	03820845.8			5-Aug-09	ZL038208458	5-Aug-09 Granted	
TT	Lombard Medical Limited	N29273	EndoBriek stapler	PDA Stent	Germany - EX-European	Anson Medical Limited	2-Sep-03	03791057.6	18-Jul-12	1545393	18-Jul-12	1545393	18-Jul-12 Granted	
TT	Lombard Medical Limited	N29273	EndoBriek stapler	PDA Stent	Germany - EX PCT CHAPTER 2	Anson Medical Limited	2-Sep-03	03791057.6			18-Jul-12	1545393	18-Jul-12 Granted	
TT	Lombard Medical Limited	N29274	EndoBriek stapler	PDA Stent	European - EX PCT	Anson Medical Limited	2-Sep-03	03791057.6			18-Jul-12	1545393	18-Jul-12 Granted	
TT	Lombard Medical Limited	N29274	EndoBriek stapler	PDA Stent	United Kingdom - EX-European	Anson Medical Limited	2-Sep-03	03791057.6			18-Jul-12	1545393	18-Jul-12 Granted	
TT	Lombard Medical Limited	N16678	EndoBriek stapler	PDA Stent	Ireland - EX-European	Anson Medical Limited	2-Sep-03	03791057.6			18-Jul-12	1545393	18-Jul-12 Granted	
TT	Lombard Medical Limited	N22755	EndoBriek stapler	PDA Stent	India - EX PCT CHAPTER 2	Anson Medical Limited	2-Sep-03	2004-532347			1-Jul-11	4774211	1-Jul-11 Granted	
TT	Lombard Medical Limited	N16679	EndoBriek stapler	PDA Stent	Japan - EX PCT CHAPTER 2	Anson Medical Limited	2-Sep-03	2004-532347	19-Oct-06	US20060230814	29-Nov-13	5421320	29-Nov-13 Granted	
TT	Lombard Medical Limited	N16680	EndoBriek stapler	PDA Stent	Japan - EX PCT CHAPTER 2	Anson Medical Limited	2-Sep-03	2011-081976	11-Mar-04	WC02004054472	19-Aug-10	5421320	19-Aug-10 Granted	
TT	Lombard Medical Limited	N14501	EndoBriek stapler	PDA Stent	Japan Divisional - Old	Anson Medical Limited	2-Sep-03	PA/2005/002391	12-Apr-00	0991374	7-Apr-15	8898972	7-Apr-15 Granted	
TT	Lombard Medical Limited	N12978	EndoBriek stapler	PDA Stent	US - EX PCT CHAPTER 2	Anson Medical Limited	2-Sep-03	GB2003003809			27-Feb-08	0991374	27-Feb-08 Granted	
TT	Lombard Medical Limited	N12978	EndoBriek stapler	PDA Stent	WFO - International	Anson Medical Limited	24-Jun-98	99832287			6-Jul-06	WC0060149351	6-Jul-06 Granted	
TT	Lombard Medical Limited	N12978	EndoBriek stapler	PDA Stent	US - EX PCT CHAPTER 2	Anson Medical Limited	24-Jun-98	99832287			6-Jul-06	WC0060149351	6-Jul-06 Granted	
TT	Lombard Medical Limited	N12978	EndoBriek stapler	PDA Stent	US - EX PCT CHAPTER 2	Anson Medical Limited	24-Jun-98	99832287			6-Jul-06	WC0060149351	6-Jul-06 Granted	
TT	Lombard Medical Limited	N12980	EndoBriek stapler	PDA Stent	US - EX PCT CHAPTER 2	Anson Medical Limited	24-Jun-98	99832287			6-Jul-06	WC0060149351	6-Jul-06 Granted	
TT	Lombard Medical Limited	N17097	EndoBriek stapler	PDA Stent (C)	US - EX PCT CHAPTER 2	Anson Medical Limited	15-Jul-97	10059645			29-May-07	7223280	29-May-07 Granted	
TT	Lombard Medical Limited	N17088	EndoBriek stapler	PDA Stent (C)	US - EX PCT CHAPTER 2	Anson Medical Limited	15-Jul-97	10059645			29-May-07	7223280	29-May-07 Granted	
TT	Lombard Medical Limited	N17088	EndoBriek stapler	PDA Stent (C)	US - EX PCT CHAPTER 2	Anson Medical Limited	15-Jul-97	10059645			29-May-07	7223280	29-May-07 Granted	
TT	Lombard Medical Limited	N14963	EndoBriek stapler	Fatigue testing machine	US - EX PCT CHAPTER 2	Anson Medical Limited	16-Dec-03	PA/2005/006404	14-Sep-05					

EXHIBIT C

Trademarks

[See attached]

Resp Person	Client	Our Ref	Clients Ref	Trade Mark	Country	Applicant	Application Date	Application No.	Registration Date	Registration No.	Next Renewal Due Status
IT	Lombard Medical Limited	N10418		ANSON	United Kingdom	Anson Medical Limited	20-Dec-00	2256317	25-Nov-05	2256317	20-Dec-20 Registered
IT	Lombard Medical Limited	N11689		TIASON	European Intellectual Property Off	Anson Medical Limited	11-Oct-01	2406865	1-Apr-03	002406865	11-Oct-21 Registered
IT	Lombard Medical Limited	N11690		AORFX	European Intellectual Property Off	Anson Medical Limited	11-Oct-01	2408924	1-Apr-03	002408924	11-Oct-21 Registered
IT	Lombard Medical Limited	N12030		AORFX	United States	Anson Medical Limited	28-Mar-02	76/389164	13-Jan-04		13-Jan-21 Registered
IT	Lombard Medical Limited	N13108		ANSON REFIX	European Intellectual Property Off	Anson Medical Limited	16-Oct-02	2891554	3-Dec-04	002891554	16-Oct-22 Registered
IT	Lombard Medical Limited	N18816		EndoRelix	United Kingdom	Anson Medical Limited	27-Jun-06	2425562	13-Jul-07		27-Jun-26 Registered
IT	Lombard Medical Limited	N21842		AORFX	India	Anson Medical Limited	14-Dec-07	1630949	14-Dec-07		14-Dec-17 Registered
IT	Lombard Medical Limited	N22714		AORFX	China	Anson Medical Limited	11-Apr-08	6652312	28-Mar-10		28-Mar-20 Registered
IT	Lombard Medical Limited	N22748		AORFX	China	Anson Medical Limited	11-Apr-08	6652314	21-Aug-10		21-Aug-20 Registered
IT	Lombard Medical Limited	N28272		AORFX	Japan	Anson Medical Limited	22-Sep-10	2010-074291	9-Sep-11		9-Sep-21 Registered
IT	Lombard Medical Limited	N34095		INTELLIFLEX	Japan	Lombard Medical Technologies Inc	20-Feb-15	86/541502			Pending
IT	Lombard Medical Limited	N34110		AGU-LD	United States	Lombard Medical Technologies Inc	1-Oct-14	2014032679	17-Jul-15		17-Jul-25 Registered
IT	Lombard Medical Limited	N34102		ALTURA MEDICAL	Australia	Lombard Medical Technologies Inc	1-Feb-10	1343010	30-Aug-10		1-Feb-20 Registered
IT	Lombard Medical Limited	N34108		ALTURA MEDICAL	European Union Intellectual Property Off	Lombard Medical Technologies Inc	1-Feb-10	8348979	14-Sep-10		1-Feb-20 Registered
IT	Lombard Medical Limited	N34097		ALTURA	United States	Lombard Medical Technologies Inc	14-Dec-09	77/893189	6-Sep-11		6-Sep-21 Registered
IT	Lombard Medical Limited	N34103		ALTURA & design	Australia	Lombard Medical Technologies Inc	8-Jun-10	1365672	30-Nov-10		8-Jun-20 Registered
IT	Lombard Medical Limited	N34105		ALTURA & design	European Union Intellectual Property Off	Lombard Medical Technologies Inc	10-Jun-10	2425562	12-Jan-11		10-Jun-20 Registered
IT	Lombard Medical Limited	N34111		ALTURA & design	Japan	Lombard Medical Technologies Inc	29-Jan-10	2010-46301	1-Oct-10		1-Oct-20 Registered
IT	Lombard Medical Limited	N34098		ALTURA & design	United States	Lombard Medical Technologies Inc	14-Dec-09	77/893163	13-Sep-11		13-Sep-21 Registered
IT	Lombard Medical Limited	N34106		Altura logo in Black & White	European Union Intellectual Property Off	Lombard Medical Technologies Inc	28-Feb-14	12648059	15-Jul-14		28-Feb-24 Registered
IT	Lombard Medical Limited	N34099		Altura logo in Black & White	United States	Lombard Medical Technologies Inc	29-Aug-13	86/051433	7-Oct-14		7-Oct-24 Registered
IT	Lombard Medical Limited	N34107		Altura logo in Colour	European Union Intellectual Property Off	Lombard Medical Technologies Inc	28-Feb-14	12648877	21-Jul-14		28-Feb-24 Registered
IT	Lombard Medical Limited	N34100		Altura logo in Colour	United States	Lombard Medical Technologies Inc	29-Aug-13	86/051438	30-Jun-15		30-Jun-25 Registered

EXHIBIT D

Mask Works

None.