

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM448020

<b>SUBMISSION TYPE:</b>	CORRECTIVE ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	Corrective Assignment to correct the recordation of change of name in order to exclude Registration No. 4589042 previously recorded on Reel 006154 Frame 0449. Assignor(s) hereby confirms the change of name as to the remaining six registrations.		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
ConvergEx Group, LLC		06/01/2017	Limited Liability Company: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Cowen Execution Holdco LLC		
<b>Street Address:</b>	1633 Broadway		
<b>City:</b>	New York		
<b>State/Country:</b>	NEW YORK		
<b>Postal Code:</b>	10019		
<b>Entity Type:</b>	Limited Liability Company: DELAWARE		
<b>PROPERTY NUMBERS Total: 6</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	3397048	INTERCOMM	
<b>Registration Number:</b>	3757943	VORTEX	
<b>Registration Number:</b>	2978454	ADR DIRECT	
<b>Registration Number:</b>	3909386	CONVERGEX	
<b>Registration Number:</b>	5069703	C	
<b>Registration Number:</b>	5217783	DARKEST	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2124684888		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	2124684800		
<b>Email:</b>	jkatz@dglaw.com		
<b>Correspondent Name:</b>	Jeffrey C. Katz		
<b>Address Line 1:</b>	Davis & Gilbert LLP, 1740 Broadway		
<b>Address Line 4:</b>	New York, NEW YORK 10019		
<b>ATTORNEY DOCKET NUMBER:</b>	027400-0001-000		
<b>NAME OF SUBMITTER:</b>	Jeffrey C. Katz		

CH \$165.00 3397048

<b>SIGNATURE:</b>	/Jeffrey C. Katz/
<b>DATE SIGNED:</b>	10/20/2017
<b>Total Attachments: 4</b> source=change of name - COWEN EXECUTION HOLDCO LLC#page1.tif source=change of name - COWEN EXECUTION HOLDCO LLC#page2.tif source=change of name - COWEN EXECUTION HOLDCO LLC#page3.tif source=change of name - COWEN EXECUTION HOLDCO LLC#page4.tif	

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM443444

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
ConvergEx Group, LLC		06/01/2017	Limited Liability Company: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Cowen Execution Holdco LLC		
<b>Street Address:</b>	1633 Broadway		
<b>City:</b>	New York		
<b>State/Country:</b>	NEW YORK		
<b>Postal Code:</b>	10019		
<b>Entity Type:</b>	Limited Liability Company: DELAWARE		
<b>PROPERTY NUMBERS Total: 7</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	2978454	ADR DIRECT	
<b>Registration Number:</b>	4589042	BLAZE	
<b>Registration Number:</b>	5069703	C	
<b>Registration Number:</b>	3909386	CONVERGEX	
<b>Registration Number:</b>	5217783	DARKEST	
<b>Registration Number:</b>	3397048	INTERCOMM	
<b>Registration Number:</b>	3757943	VORTEX	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2124684888		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	212-468-4800		
<b>Email:</b>	jkatz@dglaw.com		
<b>Correspondent Name:</b>	Jeffrey C. Katz		
<b>Address Line 1:</b>	Davis & Gilbert LLP, 1740 Broadway		
<b>Address Line 4:</b>	New York, NEW YORK 10019		
<b>ATTORNEY DOCKET NUMBER:</b>	027400-0001-000		
<b>NAME OF SUBMITTER:</b>	Jeffrey C. Katz		
<b>SIGNATURE:</b>	/Jeffrey C. Katz/		

CH \$190.00 2978454

<b>DATE SIGNED:</b>	09/18/2017
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<b>Total Attachments: 2</b> source=change of name - COWEN EXECUTION HOLDCO LLC#page1.tif source=change of name - COWEN EXECUTION HOLDCO LLC#page2.tif
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# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "CONVERGEX GROUP, LLC", CHANGING ITS NAME FROM "CONVERGEX GROUP, LLC" TO "COWEN EXECUTION HOLDCO LLC", FILED IN THIS OFFICE ON THE THIRTY-FIRST DAY OF MAY, A.D. 2017, AT 6:16 O`CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF AMENDMENT IS THE FIRST DAY OF JUNE, A.D. 2017 AT 8 O`CLOCK A.M.



  
Jeffrey W. Bullock, Secretary of State

4181669 8100  
SR# 20174424194

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 202631284  
Date: 06-01-17

**TRADEMARK**  
**REEL: 006186 FRAME: 0850**

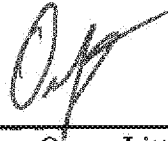
**STATE OF DELAWARE**  
**CERTIFICATE OF AMENDMENT**  
**OF**  
**CONVERGEX GROUP, LLC**

1. The name of the limited liability company is ConvergeX Group, LLC.
2. The Certificate of Formation of the limited liability company is hereby amended as follows:  

“FIRST: The name of the limited liability company is **Cowen Execution Holdco LLC** (the “Company”).

“SECOND: The address of the registered office of the Company in the State of Delaware is 850 New Burton Road, Suite 201, Dover, Delaware 19904 in the County of Kent. The name of the registered agent of the Company is Cogency Global Inc.
3. This filing is to become effective on June 1, 2017 at 8:00 AM EST.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Amendment as of the 31st day of May, 2017.



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Name: Owen Littman  
Title: Authorized Person