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### TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

ETAS ID: TM449609

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: TRADEMARK ASSIGNMENT AGREEMENT

#### **CONVEYING PARTY DATA**

Name	e Formerly		Entity Type
NFP CORP.		11/01/2017	Corporation: DELAWARE

#### **RECEIVING PARTY DATA**

Name:	ASSUREDPARTNERS NL, LLC		
Street Address:	200 Colonial Parkway, Suite 150		
City:	Lake Mary		
State/Country:	FLORIDA		
Postal Code:	32746		
Entity Type:	Limited Liability Company: DELAWARE		

#### **PROPERTY NUMBERS Total: 1**

Property Type Number		Word Mark	
Registration Number:	4940986	THE HARTFIELD COMPANY	

#### **CORRESPONDENCE DATA**

**Fax Number:** 2165790212

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 216-586-3939

**Email:** mwilkes@jonesday.com, pcyngier@jonesday.com

Correspondent Name: Meredith M. Wilkes
Address Line 1: 901 Lakeside Avenue

Address Line 2: JONES DAY

Address Line 4: Cleveland, OHIO 44114

ATTORNEY DOCKET NUMBER: 049019-600039	
NAME OF SUBMITTER:	MEREDITH M. WILKES
SIGNATURE:	/MEREDITH M. WILKES/
DATE SIGNED:	11/03/2017

#### **Total Attachments: 8**

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#### TRADEMARK ASSIGNMENT AGREEMENT

This TRADEMARK ASSIGNMENT AGREEMENT ("<u>Trademark Assignment</u>"), dated as of November 1, 2017 is made by **NFP CORP.**, a Delaware corporation ("<u>NFP</u>"), in favor of **ASSUREDPARTNERS NL, LLC** ("<u>APNL</u>"), a Delaware limited liability company, located at 200 Colonial Parkway, Suite 150, Lake Mary, Florida 32746. APNL and NFP are sometimes referred to in this Trademark Assignment individually as a "<u>Party</u>" and collectively as the "<u>Parties</u>").

WHEREAS, NFP desires to sell, convey and transfer to APNL, and APNL desires to accept and receive from NFP, certain intellectual property rights of NFP, as expressly identified herewithin.

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Parties agree as follows:

- 1. <u>Assignment</u>. NFP hereby irrevocably conveys, transfers, and assigns to APNL, and APNL hereby accepts, all of NFP's right, title, and interest in and to the following (the "<u>Assigned Trademarks</u>"), as expressly set forth in Schedule 1 hereto, together with the goodwill of the business connected with the use of, and symbolized by, the Assigned Trademarks, which conveyance, transfer and assignment shall further include the following:
- (a) the trademark registrations and trademark applications set forth on Schedule 2 hereto and all issuances, extensions, and renewals thereof; provided that, with respect to the United States intent-to-use (I-T-U) trademark applications set forth under "Type" in Schedule 2 hereto, the transfer of such I-T-U applications accompanies, pursuant to the Purchase Agreement, the transfer of NFP's business, or portion of the business to which those trademark applications, if any, pertain, and that business is ongoing and existing/the transfer of such applications shall not be effective until the expiration of any period during which the assignment thereof would impair, under applicable federal law, the registrability of such applications, or the validity or enforceability of registrations issuing from such applications;
- (b) all of NFP's trademark rights accruing under any of the foregoing provided by applicable law of any jurisdiction, by international treaties and conventions, and otherwise throughout the world;
- (c) all trademark royalties, fees, income, payments, and other proceeds, if any, now or hereafter due or payable with respect to any and all of the foregoing; and
- (d) all trademark claims and causes of action, with respect to any of the foregoing, if any, whether accruing before, on, and/or after the date hereof, including all rights to and claims for damages, restitution, and injunctive and other legal and equitable relief for past, present, and future infringement, dilution, misappropriation, violation, misuse, breach, or default, with the right but no obligation to sue for such legal and equitable relief and to collect, or otherwise recover, any such damages.
- 2. <u>Recordation and Further Actions</u>. NFP authorizes the Commissioner for Trademarks and any other governmental officials to record and register this Trademark Assignment upon request by APNL. NFP shall take such steps and actions following the date hereof, including the execution of any documents, files, registrations, or other similar items, to ensure that the Assigned Trademark(s) is/are properly assigned to APNL, at APNL's sole expense.
- 3. <u>Counterparts</u>. This Trademark Assignment may be executed in counterparts, each of which shall be deemed an original, but all of which together shall be deemed to be one and the same agreement. A signed copy of this Trademark Assignment delivered by facsimile, e-mail, or other means of electronic transmission shall be deemed to have the same legal effect as delivery of an original signed copy of this Trademark Assignment.

- 4. <u>Successors and Assigns</u>. This Trademark Assignment shall be binding upon and shall inure to the benefit of the Parties and their respective successors and assigns.
- 5. <u>Governing Law.</u> This Trademark Assignment and any claim, controversy, dispute, or cause of action (whether in contract, tort, or otherwise) based upon, arising out of, or relating to this Trademark Assignment and the transactions contemplated hereby shall be governed by, and construed in accordance with, the laws of the United States and the State of Delaware, without giving effect to any choice or conflict of law provision or rule (whether of the State of Delaware or any other jurisdiction).

[Signature Page Follows]

IN WITNESS WHEREOF, the Parties have duly executed and delivered this Trademark Assignment as of the date first above written.

NFP CORP.:

Ву:

Name: Lori M. Lieser

Title: Senior Vice President

Address for Notices:

340 Madison Avenue

20th Floor

New York, NY 10173

Attn: Evan A. Michael, General Counsel & EVP

IN WITNESS WHEREOF, the Parties have duly executed and delivered this Trademark Assignment as of the date first above written.

#### AGREED TO AND ACCEPTED:

ASSUREDPARTNERS NL, LLC

Name: Todd A. Stocksdale

Title President

Address for Notice:

200 Colonial Center Parkway

Suite 150

Lake Mary, FL 32746

# SCHEDULE 1 ASSIGNED TRADEMARKS (AND SERVICE MARKS)

THE HARTFIELD COMPANY

# SCHEDULE 2 ASSIGNED TRADEMARK (AND SERVICE MARK) REGISTRATIONS AND APPLICATIONS

TRADEMARK/	REG. NO.	APPLN.	COUNTRY	TYPE	FILING	STATUS
SERVICE		<u>NO.</u>			DATE	
MARK						
THE	4940986	86745875	UNITED	SERVICE	SEPTEMBER	LIVE
HARTFIELD			STATES	MARK	2, 2015	
COMPANY						



United States Patent and Trademark Office

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## Trademarks > Trademark Electronic Search System (TESS)

TESS was last updated on Tue Aug 22 05:00:02 EDT 2017

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BOTTOM

Logout

Please logout when you are done to release system resources allocated for you.

# Record 1 out of 1

TSDR

ASSIGN Status

TTAB Status

( Use the "Back" button of the Internet Browser to return to

TESS)

# THE HARTFIELD COMPANY

Word Mark

THE HARTFIELD COMPANY

Goods and Services

IC 036. US 100 101 102. G & S: brokerage and consulting services for employee benefits concerning insurance and finance, namely, health insurance, ancillary insurance and retirement plans; brokerage, consulting and administrative services for executive benefit plans concerning insurance and finance; brokerage services for property and casualty insurance; brokerage services for annuities, disability, long term care and life insurance; insurance brokerage services for financial institutions; insurance agency services; advisory services in the fields of investment, wealth management, retirement planning and life insurance settlement; advisory services in the fields of broker-dealers insurance brokerage, registered financial investments, mutual funds, securities, annuities, alternative financial investments, hedge funds, options, and separate retirement account management; and financial due diligence services for investment products and managers, FIRST USE: 19650000, FIRST USE IN COMMERCE: 19650000

Standard Characters Claimed

Mark Drawing

(4) STANDARD CHARACTER MARK

Serial

Code

86745875

Number Filing Date

September 2, 2015

Current Basis 1A

Original Filing Basis

1A

Published for Opposition

February 2, 2016

Registration Number

4940986

Registration

Date

April 19, 2016

Owner

TRADEMARK **REEL: 006196 FRAME: 0239** 

8/22/2017

http://tmsearch.uspto.gov/bin/showfield?f=doc&state=4809:6i0e1q.2.1

(REGISTRANT) NFP Corp. CORPORATION DELAWARE Suite 125 1250 Capital Of Texas Hwy South, Bldg 2

Austin TEXAS 78746

Assignment Recorded

ASSIGNMENT RECORDED

Attorney of Record

Richard D. Harris

Disclaimer

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "COMPANY" APART FROM THE MARK AS SHOWN

Register

Type of Mark SERVICE MARK

Live/Dead

PRINCIPAL-2(F)

Indicator

LIVE

STANCTION

108

HEIP

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**TRADEMARK** 

8/22/2017

REEL: 006196 FRAME: 0240