

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM461301

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	MERGER		
<b>EFFECTIVE DATE:</b>	06/22/2000		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Capstone Turbine Corporation		06/19/2000	Corporation: CALIFORNIA
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Capstone Turbine Corporation		
<b>Street Address:</b>	16640 Stagg Street		
<b>City:</b>	Van Nuys		
<b>State/Country:</b>	CALIFORNIA		
<b>Postal Code:</b>	91406		
<b>Entity Type:</b>	Corporation: DELAWARE		
<b>PROPERTY NUMBERS Total: 4</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	2144240		
<b>Registration Number:</b>	2201317	CAPSTONE	
<b>Registration Number:</b>	2248687	CAPSTONE	
<b>Registration Number:</b>	2487869	CAPSTONE	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	6152446804		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	6158508741		
<b>Email:</b>	trademarkdocket@wallerlaw.com		
<b>Correspondent Name:</b>	Robert P. Felber, Jr.		
<b>Address Line 1:</b>	511 Union Street		
<b>Address Line 2:</b>	Suite 2700		
<b>Address Line 4:</b>	Nashville, TENNESSEE 37219		
<b>ATTORNEY DOCKET NUMBER:</b>	015017.79661		
<b>NAME OF SUBMITTER:</b>	Robert P. Felber, Jr.		
<b>SIGNATURE:</b>	/Robert P. Felber, Jr./		
<b>DATE SIGNED:</b>	02/07/2018		

OP \$115.00 2144240

**Total Attachments: 4**

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# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED ARE TRUE AND CORRECT COPIES OF ALL DOCUMENTS ON FILE OF "CAPSTONE TURBINE CORPORATION" AS RECEIVED AND FILED IN THIS OFFICE.

THE FOLLOWING DOCUMENTS HAVE BEEN CERTIFIED:

CERTIFICATE OF INCORPORATION, FILED THE NINTH DAY OF MARCH, A.D. 2000, AT 9 O`CLOCK A.M.

CERTIFICATE OF MERGER, FILED THE TWENTY-SECOND DAY OF JUNE, A.D. 2000, AT 9 O`CLOCK A.M.

RESTATED CERTIFICATE, FILED THE TWENTY-SECOND DAY OF JUNE, A.D. 2000, AT 9 O`CLOCK A.M.

RESTATED CERTIFICATE, FILED THE FIFTH DAY OF JULY, A.D. 2000, AT 9 O`CLOCK A.M.

CERTIFICATE OF DESIGNATION, FILED THE EIGHTH DAY OF JULY, A.D. 2005, AT 2:03 O`CLOCK P.M.

CERTIFICATE OF DESIGNATION, FILED THE SIXTEENTH DAY OF SEPTEMBER, A.D. 2008, AT 12:24 O`CLOCK P.M.



  
Jeffrey W. Bullock, Secretary of State

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SR# 20166222106

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 203166687  
Date: 10-17-16

TRADEMARK  
REEL: 006270 FRAME: 0074

**CERTIFICATE OF MERGER**

**OF**

**CAPSTONE TURBINE CORPORATION**  
**(a California corporation)**

**AND**

**CAPSTONE TURBINE CORPORATION**  
**(a Delaware corporation)**

**It is hereby certified that:**

1. The constituent business entities participating in the merger herein certified are:

(ii) Capstone Turbine Corporation, a corporation incorporated under the laws of the State of California ("California Capstone").

(i) Capstone Turbine Corporation, a corporation incorporated under the laws of the State of Delaware ("Delaware Capstone").

2. The Boards of Directors of California Capstone and Delaware Capstone have approved a Reincorporation Agreement of Merger, dated as of June 19, 2000 (the "Merger Agreement"), by and between California Capstone and Delaware Capstone, whereby California Capstone will merge with and into Delaware Capstone (the "Merger") pursuant to the provisions of Section 1108 of the California General Corporation Law (the "CGCL") and Section 252 of the Delaware General Corporation Law (the "DGCL"), so that the separate existence of California Capstone will cease as soon as the Merger becomes effective (the "Effective Date"), and Delaware Capstone thereafter will continue as the surviving corporation, governed by the laws of the State of Delaware and existing under the corporate name it possesses immediately prior to the Effective Time.

3. The Merger Agreement and the Merger were approved by the holder of all of the outstanding shares of Common Stock of Delaware Capstone, by written consent without a meeting in accordance with Section 228 of the DGCL.

4. The Merger Agreement and the Merger were approved by the holders of a majority of the outstanding shares of each class of Common Stock, Convertible Preferred Stock, Series A, Convertible Preferred Stock, Series B, Convertible Preferred Stock, Series C, Convertible Preferred Stock, Series D, Convertible Preferred Stock, Series E, Convertible Preferred Stock, Series F and Convertible Preferred Stock, Series G, each voting separately, by written consent without a meeting in accordance with Section 603(a) of the CGCL. The authorized shares of California Capstone are: 185,000,000 shares of Common Stock, \$.001 par

value, and 130,000,000 shares of Preferred Stock, \$.001 par value, of which (i) 6,700,000 shares have been designated Convertible Preferred Stock, Series A, (ii) 3,333,334 shares have been designated Convertible Preferred Stock, Series B, (iii) 8,800,000 shares have been designated Convertible Preferred Stock, Series C, (iv) 3,125,000 shares have been designated Convertible Preferred Stock, Series D, (v) 10,700,000 shares have been designated Convertible Preferred Stock, Series E, (vi) 11,500,000 shares have been designated Convertible Preferred Stock, Series F, and (vii) 37,500,000 shares have been designated Convertible Preferred Stock, Series G.

5. California Capstone and Delaware Capstone have approved, adopted, certified, executed and acknowledged the Merger Agreement in accordance with Section 1108 of the CGCL and Section 252 of the DGCL.

6. The name of the corporation surviving the Merger is Capstone Turbine Corporation, a Delaware corporation (the "Surviving Corporation").

7. The amended and restated certificate of incorporation attached as Exhibit A to the Merger Agreement, which certificate is being filed separately from this certificate with the Secretary of State of Delaware, shall be the amended and restated certificate of incorporation of the Surviving Corporation after the Effective Date.


8. The executed Merger Agreement is on file at a place of business of the Surviving Corporation, the address of which is: 6430 Independence Avenue, Woodland Hills, California 91367.

9. A copy of the aforesaid Merger Agreement will be furnished by the Surviving Corporation, on request, and without cost, to any stockholder of Delaware Capstone or California Capstone.

10. The Effective Date of the Merger shall be the time of the filing of this Certificate of Merger with the Secretary of State of the State of Delaware.

Executed on June 19, 2000

CAPSTONE TURBINE CORPORATION  
(a Delaware corporation)

By:   
Ake Almgren, President

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RECORDED: 02/07/2018

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