

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM461813

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ENTITY CONVERSION		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Ascensus Broker Dealer Services, Inc.		12/20/2017	Corporation: DELAWARE
RECEIVING PARTY DATA			
Name:	Ascensus Broker Dealer Services, LLC		
Street Address:	200 Dryden Road		
City:	Dresher		
State/Country:	PENNSYLVANIA		
Postal Code:	19025		
Entity Type:	Limited Liability Company: DELAWARE		
PROPERTY NUMBERS Total: 15			
Property Type	Number	Word Mark	
Registration Number:	3560133	UGIFT	
Registration Number:	3512446	UGIFT	
Registration Number:	3668871	529 QUICKVIEW	
Registration Number:	3621239	529 COLLEGE SAVINGS DAY	
Registration Number:	3810312	529WORKS	
Registration Number:	4469180	BIG DREAMERS	
Registration Number:	4469179	BIG START	
Registration Number:	4469675	SMALL STEPS, BIG DREAMS	
Registration Number:	4484133	MAX U	
Registration Number:	4712196	UGIFT	
Registration Number:	4712197	UGIFT	
Registration Number:	4712277	UGIFT GIVE COLLEGE SAVINGS	
Registration Number:	4723009	529 QUICKVIEW	
Registration Number:	4723013	529 QUICKVIEW	
Registration Number:	4715700	529WORKS	
CORRESPONDENCE DATA			
Fax Number:	2028428465		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent</i>			

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using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 202-842-8800
Email: dctrademarks@dbr.com
Correspondent Name: Jennifer L. Dean
Address Line 1: Drinker Biddle & Reath LLP
Address Line 2: 1500 K Street, N.W., Suite 1100
Address Line 4: Washington, D.C. 20005-1209

NAME OF SUBMITTER:	Jeremy T. Bui
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SIGNATURE:	/jeremy t. bui/
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DATE SIGNED:	02/12/2018
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Total Attachments: 2

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Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE CORPORATION UNDER THE NAME OF "ASCENSUS BROKER DEALER SERVICES, INC." TO A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "ASCENSUS BROKER DEALER SERVICES, INC." TO "ASCENSUS BROKER DEALER SERVICES, LLC", FILED IN THIS OFFICE ON THE TWENTIETH DAY OF DECEMBER, A.D. 2017, AT 6:09 O`CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF CONVERSION IS THE FIRST DAY OF JANUARY, A.D. 2018 AT 11:59 O'CLOCK P.M.




Jeffrey W. Bullock, Secretary of State

2388635 8100V
SR# 20177702648

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 203818837
Date: 12-22-17

TRADEMARK
REEL: 006271 FRAME: 0163

CERTIFICATE OF CONVERSION

OF

ASCENSUS BROKER DEALER SERVICES, INC.

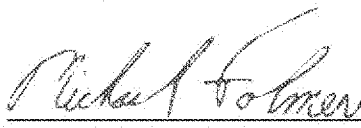
State of Delaware
Secretary of State
Division of Corporations
Delivered 06:09 PM 12/20/2017
FILED 06:09 PM 12/20/2017
SR 20177702648 - File Number 2388635

Pursuant to the provisions of Section 18-214 of the Delaware Limited Liability Company Act, Ascensus Broker Dealer Services, Inc. (the "Corporation") does hereby certify that:

1. The Corporation was formed as a Delaware corporation pursuant to a Certificate of Incorporation filed with the Secretary of State of the State of Delaware on April 4, 1994.
2. Immediately prior to the filing of this Certificate of Conversion, the name of the Corporation is "Ascensus Broker Dealer Services, Inc." and the Corporation is a Delaware corporation.
3. The name of the limited liability company into which the Corporation shall be converted and which is set forth in its certificate of formation is "Ascensus Broker Dealer Services, LLC".
4. A certificate of formation for Ascensus Broker Dealer Services, LLC is submitted for filing herewith.
5. The effective time and date of this Certificate of Conversion shall be 11:59pm on January 1, 2018.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Conversion on the 20th day of December, 2017.

**ASCENSUS BROKER DEALER
SERVICES, INC.**

By: 
Name: Michael Folmer
Title: Treasurer and Vice President,
Tax and Finance