

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM464159

|   |  |                       |                           |
|---|--|-----------------------|---------------------------|
| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT                                     |                       |                           |
| <b>NATURE OF CONVEYANCE:</b>  | ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL |                       |                           |
| <b>CONVEYING PARTY DATA</b>   |  |                       |                           |
| <b>Name</b>   | <b>Formerly</b>                                    | <b>Execution Date</b> | <b>Entity Type</b>        |
| Richard J Hawkins   |  | 03/02/2018            | INDIVIDUAL: UNITED STATES |
| <b>RECEIVING PARTY DATA</b>   |  |                       |                           |
| <b>Name:</b>  | Lumos Pharma, Inc.                                 |                       |                           |
| <b>Street Address:</b>  | 4200 Marathon Boulevard, Suite 200                 |                       |                           |
| <b>City:</b>  | Austin   |                       |                           |
| <b>State/Country:</b>   | TEXAS  |                       |                           |
| <b>Postal Code:</b>   | 78756  |                       |                           |
| <b>Entity Type:</b>   | Corporation: DELAWARE                              |                       |                           |
| <b>PROPERTY NUMBERS Total: 2</b>  |  |                       |                           |
| <b>Property Type</b>  | <b>Number</b>                                      | <b>Word Mark</b>      |                           |
| <b>Registration Number:</b>   | 4712662  | LUMOS PHARMA          |                           |
| <b>Registration Number:</b>   | 5167112  | LUMOS PHARMA          |                           |
| <b>CORRESPONDENCE DATA</b>  |  |                       |                           |
| <b>Fax Number:</b>  | 4156597357   |                       |                           |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |  |                       |                           |
| <b>Phone:</b>   | 415.836.2557                                       |                       |                           |
| <b>Email:</b>   | tmdocket@dlapiper.com                              |                       |                           |
| <b>Correspondent Name:</b>  | DLA Piper LLP (US)                                 |                       |                           |
| <b>Address Line 1:</b>  | 555 Mission Street, Suite 2400                     |                       |                           |
| <b>Address Line 2:</b>  | Attn: Heather A. Dunn, Esq.                        |                       |                           |
| <b>Address Line 4:</b>  | San Francisco, CALIFORNIA 94105-2933               |                       |                           |
| <b>NAME OF SUBMITTER:</b>   | Stephanie L. Hall, Esq.                            |                       |                           |
| <b>SIGNATURE:</b>   | /Stephanie L. Hall/                                |                       |                           |
| <b>DATE SIGNED:</b>   | 03/02/2018   |                       |                           |
| <b>Total Attachments: 5</b>   |  |                       |                           |
| source=388363-900107 Lumos Pharma Trademark Assignment#page1.tif  |  |                       |                           |
| source=388363-900107 Lumos Pharma Trademark Assignment#page2.tif  |  |                       |                           |
| source=388363-900107 Lumos Pharma Trademark Assignment#page3.tif  |  |                       |                           |
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CH \$65.00 4712662



## TRADEMARK ASSIGNMENT AGREEMENT

This Trademark Assignment Agreement (“*Agreement*”) is made and entered into by and between Mr. Richard J. Hawkins, an individual residing at 1701 Stamford Lane, Austin, Texas 78703 (“*Assignor*”), and Lumos Pharma, Inc., a Delaware corporation, having its principal place of business at 4200 Marathon Boulevard, Suite 200, Austin, Texas 78756 (“*Assignee*”).

WHEREAS, Assignor is the owner of the worldwide rights, interests and claims in, and title to all of the trademarks and service marks set forth in the table attached hereto as Exhibit A together with the common law rights and goodwill associated therewith (collectively, the “*Trademarks*”).

WHEREAS, the parties to this Trademark Assignment Agreement were also party to that certain Trademark License Agreement effective May 2, 2011 under which Assignor granted Assignee the exclusive right to use the Trademarks in connection with its business (the “*License Agreement*”);

WHEREAS, Assignor desires to transfer its worldwide rights, interests and claims in, and title to all of the Trademarks, together with the common law rights and goodwill associated therewith and registrations therefor, to Assignee.

NOW THEREFORE, for good and valuable consideration, including the payment of One Dollar (USD \$1.00), the receipt and sufficiency of which is hereby acknowledged, Assignor hereby contributes, conveys, grants, sets over, assigns and transfers to Assignee and its successors and assigns, and Assignee hereby accepts, Assignor’s entire right, interest and claim in, and title to the Trademarks, together with the common law rights and goodwill associated therewith and registrations as listed in the attached Exhibit A, the trade name listed in the attached Exhibit B (the “*Trade Name*”) and the domain name listed in Exhibit C (the “*Domain Name*”), together with the right to sue for and collect upon all claims for profits and damages as a result of past infringement of the Trademarks, Trade Name, or Domain Name, if any, in each case whether now existing or hereafter created, together with the proceeds thereof.

Assignor hereby constitutes and appoints Assignee as Assignor’s true and lawful attorney in fact, with full power of substitution in Assignor’s name and stead, to take any and all steps, including proceedings at law, in equity or otherwise, to execute, acknowledge and deliver any and all instruments and assurances necessary or expedient in order to vest or perfect the aforesaid rights and related causes of action more effectively in Assignee or to protect the same or to enforce any claim or right of any kind with respect thereto.

Assignor shall at the reasonable request of Assignee: (a) execute and deliver or cause to be delivered any documents and further instruments of assignment consistent with this assignment and that may be reasonably required to evidence, effectuate, or record the assignment of the Trademarks, Trade Name, and/or Domain Name; and (b) take or cause to be taken all such other actions, as may reasonably be deemed necessary or desirable in order for Assignee to obtain the full benefits of this assignment of all the Trademarks, and the Trade Name and Domain Name, and to maintain and enforce the same in all countries.


Each party represents that it has the power and authority to enter into this Trademark Assignment Agreement. If any term of this Assignment is held void, voidable, invalid, inoperative or unenforceable for any reason, the remainder of such term shall be amended to achieve as closely as possible the effect of the original term, and all other terms shall continue in full force and effect.

This Agreement may be executed in any number of identical counterparts, each of which shall be deemed an original, but all of which when taken together, shall constitute one and the same instrument.

The terms and covenants of this assignment shall inure to the benefit of Assignee, its successors and assigns, and shall be binding upon Assignor and its assigns.

IN WITNESS WHEREOF, the parties have caused this document to be executed by the parties, and as to the Assignee corporation, by its duly authorized officer.

**RICHARD J. HAWKINS**

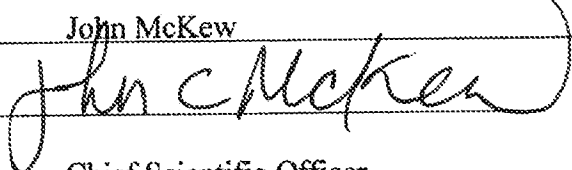
By: 

Name: Richard J. Hawkins

Title: Individual


**LUMOS PHARMA, INC.**

By: John McKew

Name: 

Title: Chief Scientific Officer

**EXHIBIT A**

| <u>Trademark</u>  | <u>Jurisdiction</u> | <u>Reg. No.</u> | <u>Date of Registration</u> |
|---|---------------------|-----------------|-----------------------------|
| LUMOS PHARMA  | United States       | 4712662         | March 31, 2015              |
| LUMOS PHARMA  | United States       | 5167112         | March 21, 2017              |
| LUMOS PHARMA  | Common law          | N/A             | N/A                         |
|  | Common law          | N/A             | N/A                         |

**EXHIBIT B**

| <b>Trade Names</b> |
|--------------------|
| Lumos Pharma       |

**EXHIBIT C**

| <b>Domain Names</b> |
|---------------------|
| Lumos-pharma.com    |