

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM466222

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	RELEASE OF SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
PACIFIC WESTERN BANK, AS SUCCESSOR IN INTEREST BY MERGER TO SQUARE 1 BANK		03/15/2018	STATE CHARTERED BANK: CALIFORNIA
RECEIVING PARTY DATA			
Name:	AXIOMA, INC.		
Street Address:	17 STATE STREET		
Internal Address:	STE.2700		
City:	NEW YORK		
State/Country:	NEW YORK		
Postal Code:	10004		
Entity Type:	Corporation: DELAWARE		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Serial Number:	77458199	FLEXIBLE IS BETTER	
CORRESPONDENCE DATA			
Fax Number:	9193541278		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	919-314-3086		
Email:	diligencereview@square1bank.com		
Correspondent Name:	PACIFIC WESTERN BANK		
Address Line 1:	406 BLACKWELL STREET		
Address Line 2:	SUITE 240		
Address Line 4:	DURHAM, NORTH CAROLINA 27701		
NAME OF SUBMITTER:	NICHOLAS NANCE		
SIGNATURE:	/Nicholas Nance- adb/		
DATE SIGNED:	03/19/2018		
Total Attachments: 5			
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RELEASE OF SECURITY INTEREST

This Release of Security Interest is made as of March 15, 2018, by **PACIFIC WESTERN BANK** (as successor in interest by merger to Square 1 Bank) ("Lender") in favor of AXIOMA, INC., a Delaware corporation ("Company") with its principal place of business located at 17 State Street, Ste. 2700, New York, NY 10004.

Recitals

WHEREAS Company granted to Lender a security interest in its copyrights, patents and trademarks, including without limitation its patents and trademarks described on Exhibits A and B attached hereto, (collectively, the "Intellectual Property") under an Intellectual Property Security Agreement dated as of July 30, 2013 (the "Security Agreement"), and recorded with the US Patent and Trademark Office as set forth on Exhibits A and B.

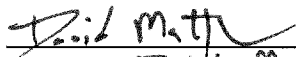
WHEREAS Company has no outstanding obligations to Lender under the terms of the Security Agreement, Lender agrees to release its security interest in the Intellectual Property.

Agreement

Now therefore, Lender agrees that it terminates and releases its security interest in the Intellectual Property and reassigns to Company, without warranty or recourse, all interest of Lender in the Intellectual Property.

LENDER:

PACIFIC WESTERN BANK


Name: David Matthews
Title: VP

406 Blackwell Street
Suite 240
Durham, NC 27701

EXHIBIT A

Patents

Lender's security interest in the following patents was recorded at the US Patent and Trademark Office on December 29,2015 at Reel and Frame Number 037377/0056:

<u>Description</u>	<u>Patent Application No./Issued Patent No.</u>	<u>Application / Issue Date</u>
IDENTIFYING AND COMPENSATING FOR MODEL MIS-SPECIFICATION IN FACTOR RISK MODELS	7698202	4/13/10
IDENTIFYING AND COMPENSATING FOR MODEL MIS-SPECIFICATION IN FACTOR RISK MODELS	8315936	11/20/12
SYSTEMS AND METHODS FOR ASYNCHRONOUS RISK MODEL RETURN PORTFOLIOS	12827358	6/30/10
IDENTIFYING AND COMPENSATING FOR MODEL MIS-SPECIFICATION IN FACTOR RISK MODELS	13654797	10/18/12
METHODS AND APPARATUS FOR IMPROVING FACTOR RISK MODEL RESPONSIVENESS	8700516	4/15/14
METHODOLOGY AND PROCESS FOR CONSTRUCTING FACTOR INDEXES	13965621	8/13/13
METHODOLOGY AND PROCESS FOR CONSTRUCTING FACTOR INDEXES	8533089	9/10/13
FACTOR RISK MODELS WITH MULTIPLE SPECIFIC RISK ESTIMATES	13892644	5/13/13
PURIFYING PORTFOLIOS USING ORTHOGONAL NON-TARGET FACTOR CONSTRAINTS	14025127	9/12/13
METHODS AND APPARATUS FOR GENERATING PURIFIED MINIMUM RISK PORTFOLIOS	14051711	10/11/13

ADJUSTED FACTOR-BASED PERFORMANCE ATTRIBUTION	14336123	7/21/14
METHODS AND APPARATUS FOR IMPROVING FACTOR RISK MODEL RESPONSIVENESS	14203807	3/11/14

Lender's security interest in the following patents was recorded at the US Patent and Trademark Office on August 1, 2017 at Reel and Frame Number 043157/0566:

<u>Description</u>	<u>Patent Application No./Issued Patent No.</u>	<u>Application / Issue Date</u>
RETURNS-TIMING FOR MULTIPLE MARKET FACTOR RISK MODELS	8533107	9/10/2013
METHODS AND APPARATUS FOR IMPLEMENTING IMPROVED NOTIONAL-FREE ASSET LIQUIDITY RULES	14519991	10/21/2014
PERFORMANCE ATTRIBUTION FOR PORTFOLIOS WITH COMPOSITE INVESTMENTS	14505258	10/2/2014
RISK FACTOR SPLITTING	14495470	9/24/2014
FACTOR-FACTOR COVARIANCE ESTIMATES FOR RISK FACTOR GROUPS IN FACTOR RISK MODELS	14482685	9/10/2014

EXHIBIT B

Trademarks

Lender's security interest in the following trademarks was recorded at the US Patent and Trademark Office on December 29, 2015 at Reel and Frame Number 5698/0485:

<u>Description</u>	Registration / Serial Number	Registration/ Application Date
FLEXIBLE IS BETTER	77458199	4/25/2008