

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

ETAS ID: TM462001

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	RELEASE OF SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Credit Suisse AG, Cayman Islands Branch		02/13/2018	Bank: SWITZERLAND
RECEIVING PARTY DATA			
Name:	Duff & Phelps, LLC		
Street Address:	55 East 52nd Street		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10055		
Entity Type:	Limited Liability Company: DELAWARE		
Name:	Ceteris US LLC		
Street Address:	100 East Cook, Suite 201		
City:	Libertyville		
State/Country:	ILLINOIS		
Postal Code:	60048		
Entity Type:	Limited Liability Company: ILLINOIS		
PROPERTY NUMBERS Total: 5			
Property Type	Number	Word Mark	
Registration Number:	2911998	VALUOMETRICS	
Registration Number:	3199998	CVC	
Registration Number:	3052589	CETERIS	
Registration Number:	3882898	IENVISION TECHNOLOGY	
Registration Number:	3882900		
CORRESPONDENCE DATA			
Fax Number:	8009144240		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	800-713-0755		
Email:	Michael.Violet@wolterskluwer.com		
Correspondent Name:	CT Corporation		
Address Line 1:	4400 Easton Commons Way		

OP \$140.00 2911998

Address Line 2:	Suite 125
Address Line 4:	Columbus, OHIO 43219

NAME OF SUBMITTER:	Elaine Carrera
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SIGNATURE:	/Elaine Carrera/
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DATE SIGNED:	02/13/2018
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Total Attachments: 7

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**RECORDATION FORM COVER SHEET
TRADEMARKS ONLY**

To the Director of the U. S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Credit Suisse AG, Cayman Islands Branch

- ☐ Individual(s) ☐ Association
☐ Partnership ☐ Limited Partnership
☐ Corporation- State: _____
☒ Other Bank _____

Citizenship (see guidelines) Switzerland

Additional names of conveying parties attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s) :

Execution Date(s) February 13, 2018

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other Release of Security Interest

2. Name and address of receiving party(ies)

Additional names, addresses, or citizenship attached? ☒ Yes ☐ No

Name: Duff & Phelps, LLC

Street Address: 55 East 52nd Street

City: New York

State: NY

Country: USA Zip: 10055

- ☐ Individual(s) Citizenship _____
☐ Association Citizenship _____
☐ Partnership Citizenship _____
☐ Limited Partnership Citizenship _____
☐ Corporation Citizenship _____
☒ Other LLC Citizenship USA-DE

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☐ No
(Designations must be a separate document from assignment)

4. Application number(s) or registration number(s) and identification or description of the Trademark.

A. Trademark Application No.(s) Text

See Schedule I

B. Trademark Registration No.(s)

See Schedule I

Additional sheet(s) attached? ☒ Yes ☐ No

C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):

5. Name & address of party to whom correspondence concerning document should be mailed:

Name: Elaine Carrera, Legal Assistant

Internal Address: _____

Street Address: c/o Cahill Gordon & Reindel LLP
80 Pine Street

City: New York

State: NY Zip: 10005

Phone Number: (212) 701-3365

Docket Number: _____

Email Address: ecarrera@cahill.com

6. Total number of applications and registrations involved:

5

7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$ _____

- ☐ Authorized to be charged to deposit account
☐ Enclosed

8. Payment Information:

Deposit Account Number _____

Authorized User Name _____

9. Signature:

Elaine Carrera
Signature

February 13, 2018

Date

Elaine Carrera

Name of Person Signing

Total number of pages including cover sheet, attachments, and document: 7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Branch, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

**TRADEMARK
REEL: 006299 FRAME: 0478**

Addendum to Cover Page of Trademarks Form Cover Sheet

2. Name and address of receiving party(ies)

Ceteris US LLC, an Illinois Limited Liability Company
100 East Cook, Suite 201
Libertyville, IL 60048
Citizenship – USA – IL

RELEASE OF SECURITY INTEREST IN INTELLECTUAL PROPERTY

This RELEASE OF SECURITY INTEREST IN INTELLECTUAL PROPERTY (this “Release”), dated as of February 13, 2018 (the “Effective Date”), is made by Credit Suisse AG, Cayman Islands Branch, in its capacity as Collateral Agent (the “Agent”), in favor of each grantor party identified on the signature page hereto (collectively, the “Grantors”).

WHEREAS, pursuant to that certain Security Agreement, dated as of April 23, 2013, by and among the Agent, the Grantors and certain other parties thereto (as amended, amended and restated, supplemented or otherwise modified from time to time, the “Security Agreement”), the Grantors granted to the Agent, in its capacity as Collateral Agent, a security interest in and to certain collateral;

WHEREAS, pursuant to the Security Agreement, the Grantors executed and delivered an Intellectual Property Security Agreement, dated as of April 23, 2013 (as amended, amended and restated, supplemented or otherwise modified from time to time, the “Intellectual Property Security Agreement”), for recordal with the United States Patent and Trademark Office, pursuant to which each Grantor unconditionally granted, pledged, and collaterally assigned to the Agent and its successors and permitted assigns, for the benefit of the Secured Parties, a continuing security interest in, and liens on, such Grantor’s right, title and interest in and to the Collateral, in each case, as to each type of property described below, whether then owned or thereafter acquired by such Grantor, wherever located, and whether then or thereafter existing or arising (the “Collateral”):

- i. the patents and patent applications set forth in Schedule 1 hereto (if any);
- ii. the trademark and service mark registrations and applications set forth in Schedule I hereto (provided that no security interest was granted in United States intent-to-use trademark applications to the extent that, and solely during the period in which, the grant of a security interest therein would impair the validity or enforceability of such intent-to-use trademark applications under applicable federal law), together with the goodwill symbolized thereby;
- iii. all copyrights, whether registered or unregistered, then owned or thereafter acquired by such Grantor, including, without limitation, the copyright registrations and applications and exclusive copyright licenses set forth in Schedule 1 hereto (if any);
- iv. all reissues, divisions, continuations, continuations-in-part, extensions, renewals and reexaminations of any of the foregoing, all rights in the foregoing provided by international treaties or conventions, all rights corresponding thereto throughout the world and all other rights of any kind whatsoever of such Grantor accruing thereunder or pertaining thereto;
- v. any and all claims for damages and injunctive relief for past, present and future infringement, dilution, misappropriation, violation, misuse or breach with respect to any of the foregoing, with the right, but not the obligation, to sue for and collect, or otherwise recover, such damages; and
- vi. any and all proceeds of, collateral for, income, royalties and other payments then or thereafter due and payable with respect to, and supporting obligations relating to, any and all of the Collateral of or arising from any of the foregoing;

provided that the security interest created thereby did not extend to, and the term "Collateral" does not include, any Excluded Property;

WHEREAS, the Intellectual Property Security Agreement was recorded with the United States Patent and Trademark Office on April 24, 2013 at Reel/Frame 5012/0883;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Agent hereby agrees as follows:

1. Defined Terms. All capitalized terms used, but not otherwise defined herein, shall have the respective meanings ascribed in or otherwise referenced in the Security Agreement.

2. Release. The Agent, without representation or warranty of any kind, hereby releases, discharges, terminates and cancels all of its security interest in and to, and liens on, the Collateral, including the registrations and applications set forth on Schedule I attached hereto, arising under the Security Agreement and the Intellectual Property Security Agreement. If and to the extent that the Agent has acquired any right, title or interest in and to the Collateral under the Security Agreement and the Intellectual Property Security Agreement, the Agent, without representation or warranty of any kind, hereby re-transfers, re-conveys and re-assigns such right, title or interest to the Grantors.

3. Termination. The Agent, without representation or warranty of any kind, hereby terminates and cancels the Intellectual Property Security Agreement.

4. Further Assurances. The Agent agrees to take all further actions, and provide to the Grantors and their respective successors, assigns or other legal representatives, all such cooperation and assistance (including, without limitation, the execution and delivery of any and all documents or other instruments), reasonably requested by the Grantors, at the Grantors' sole cost and expense, to more fully and effectively effectuate the purposes of this Release.

5. Governing Law. THIS RELEASE SHALL BE GOVERNED BY, AND CONSTRUED IN ACCORDANCE WITH, THE LAW OF THE STATE OF NEW YORK WITHOUT GIVING EFFECT TO THE CONFLICT OF LAWS PRINCIPLES THEREOF, BUT INCLUDING SECTION 5-1401 OF THE NEW YORK GENERAL OBLIGATIONS LAW.

[Remainder of Page Intentionally Left Blank]

IN WITNESS WHEREOF, the Agent has caused this Release to be executed by its duly authorized representative as of the Effective Date:

**CREDIT SUISSE AG, CAYMAN ISLANDS
BRANCH**, as Collateral Agent

By: _____

Name:

John D. Toronto

Title:

Authorized Signatory

By: _____

Name:

Komal Shah

Title:

Authorized Signatory

IN WITNESS WHEREOF, the Agent has caused this Release to be executed by its duly authorized representative as of the Effective Date:

**CREDIT SUISSE AG, CAYMAN ISLANDS
BRANCH,**
as Collateral Agent

By: _____
Name: _____
Title: _____

GRANTORS:

DUFF & PHELPS, LLC

By:  _____
Name: _____
Title: _____

CETERIS US, LLC

By:  _____
Name: _____
Title: _____

[Signature Page to Duff & Phelps Release of Intellectual Property]

Schedule I

Patents:

None

Trademarks:

<u>Grantor</u>	<u>Domain Name/Mark</u>	<u>Country</u>	<u>Reg. No.</u>	<u>Applic. No.</u>	<u>Filing Date</u>	<u>Issue Date</u>
DUFF & PHELPS, LLC	VALUOMETRICS	US	2911998	76417171	06/06/2002	12/21/2004
DUFF & PHELPS, LLC	CVC	US	3199998	76334368	11/06/2001	01/23/2007
CETERIS US LLC	CETERIS	US	3052589	76613440	09/29/2004	01/31/2006
DUFF & PHELPS, LLC	IENVISION TECHNOLOGY	US	3882898	85012877	4/13/2010	11/30/2010
DUFF & PHELPS, LLC		US	3882900	85012900	4/13/2010	11/30/2010

Copyrights:

None