

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM470061

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
CARDIAC DIMENSIONS PTY LTD		04/13/2018	Corporation: AUSTRALIA
RECEIVING PARTY DATA			
Name:	OXFORD FINANCE LLC, AS COLLATERAL AGENT		
Street Address:	133 NORTH FAIRFAX STREET		
City:	ALEXANDRIA		
State/Country:	VIRGINIA		
Postal Code:	22314		
Entity Type:	Limited Liability Company: DELAWARE		
PROPERTY NUMBERS Total: 3			
Property Type	Number	Word Mark	
Registration Number:	2644124	CARDIAC DIMENSIONS	
Registration Number:	3584536	CARILLON	
Registration Number:	3667387	CARILLON MITRAL CONTOUR SYSTEM	
CORRESPONDENCE DATA			
Fax Number:	6785532602		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	6785532601		
Email:	jimmarl@gtlaw.com		
Correspondent Name:	LaShana C. Jimmar, Paralegal		
Address Line 1:	Greenberg Traurig, LLP		
Address Line 2:	3333 Piedmont Road, NE, Suite 2500		
Address Line 4:	Atlanta, GEORGIA 30305		
ATTORNEY DOCKET NUMBER:	138179.016600		
NAME OF SUBMITTER:	LaShana C. Jimmar		
SIGNATURE:	/LaShana C. Jimmar/		
DATE SIGNED:	04/16/2018		
Total Attachments: 11			
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INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement is entered into as April 13, 2018, by and among OXFORD FINANCE LLC, a Delaware limited liability company with an office located at 133 North Fairfax Street, Alexandria, Virginia 22314 ("Oxford"), as collateral agent (in such capacity, "Collateral Agent"), and CARDIAC DIMENSIONS PTY LTD ACN 168 165 946 of c/- Johnson Winter & Slattery, Level 25, 20 Bond Street, Sydney NSW 2000 ("Grantor").

RECITAL

- A. Grantor and Collateral Agent are party to that General Security Agreement, dated as of the date hereof ("Security Agreement").
- B. In accordance with the terms of the Security Agreement, Grantor has granted to Collateral Agent, a security interest in certain Copyrights, Trademarks and Patents (as each term is described below) to secure the obligations of Grantor under the Security Agreement.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Security Agreement, Grantor hereby represents, warrants, covenants and agrees as follows:

AGREEMENT

To secure its obligations under the Security Agreement, effective as of the date hereof, Grantor hereby reaffirms the grant and pledge to Collateral Agent under the Security Agreement, for the ratable benefit of the Lenders, a security interest in all of Grantor's right, title and interest in, to and under its intellectual property (all of which shall collectively be called the "Intellectual Property Collateral"), including, without limitation, the following:

(a) Any and all copyright rights, copyright applications, copyright registrations and like protections in each work or authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret, now or hereafter existing, created, acquired or held, including without limitation those set forth on Exhibit A attached hereto (collectively, the "Copyrights");

(b) Any and all trade secrets, and any and all intellectual property rights in computer software and computer software products now or hereafter existing, created, acquired or held;

(c) Any and all design rights that may be available to Grantor now or hereafter existing, created, acquired or held;

(d) All patents, patent applications and like protections including, without limitation, improvements, divisions, continuations, renewals, reissues, extensions and continuations-in-part of the same, including without limitation the patents and patent applications set forth on Exhibit B attached hereto (collectively, the "Patents");

(e) Any trademark and servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of Grantor connected with and symbolized by such trademarks, including without limitation those set forth on Exhibit C attached hereto (collectively, the "Trademarks"); provided, however that Trademarks shall not include any intent-to-use trademarks;

(f) Any and all claims for damages by way of past, present and future infringements of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;

(g) All licenses or other rights to use any of the Copyrights, Patents or Trademarks and all license fees and royalties arising from such use to the extent permitted by such license or rights;

(h) All amendments, extensions, renewals and extensions of any of the Copyrights, Trademarks or Patents; and

(i) All proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.

Grantor hereby represents and warrants that the Copyrights set forth on Exhibit A, the Patents set forth on Exhibit B and the Trademarks set forth on Exhibit C include all Copyrights, Patents and Trademarks of Grantor and its Subsidiaries that are either registered, or for which applications for registration or grant, as applicable, are pending, on the date hereof. Grantor hereby covenants to provide prompt notice of (A) any material change in the composition of the Intellectual Property, and (B) any new the Copyrights, Trademarks or Patents of Grantor or any of its Subsidiaries that are either registered or for which an application for registration or grant is filed.

This security interest reaffirmed herein was granted to Collateral Agent, for the ratable benefit of the Lenders under the Security Agreement. The rights and remedies of Collateral Agent with respect to such security interest hereunder are in addition to those set forth in the Security Agreement and the other Loan Documents, and those which are now or hereafter available to Collateral Agent as a matter of law or equity. Each right, power and remedy of Collateral Agent provided for herein or in the Security Agreement or any of the Loan Documents, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein and the exercise by Collateral Agent of any one or more of the rights, powers or remedies provided for in this Intellectual Property Security Agreement, the Security Agreement or any of the other Loan Documents, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, including Collateral Agent, of any or all other rights, powers or remedies.

[Signature page follows.]

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:

CARDIAC DIMENSIONS PTY LTD (ACN
168 165 946)

By Gregory D. Cascard
Name: Greg Cascard
Title: Director

By Mark Carnegie
Name: Mark Carnegie
Title: Director / Company Secretary

COLLATERAL AGENT AND LENDER:

OXFORD FINANCE LLC

By _____
Name: _____
Title: _____

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:

CARDIAC DIMENSIONS PTY LTD (ACN 168 165 946)

By _____
Name: _____
Title: Director

By _____
Name: _____
Title: Director / Company Secretary

COLLATERAL AGENT AND LENDER:

OXFORD FINANCE LLC

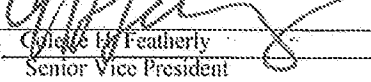
By  _____
Name: Colin G. Featherly
Title: Senior Vice President

EXHIBIT A

Copyrights

Description

Registration/
Application
Number

Registration/
Application
Date

None.

EXHIBIT B

Patents

<u>Description</u>	<u>Registration/ Application Number</u>	<u>Registration/ Application Date</u>
Device Implantable In The Coronary Sinus To Provide MV Therapy	7,591,826	09/22/09
MV Constricting Device/System/Method	2002231342	01/25/06
MV Constricting Device/System/Method	2,433,672	08/04/09
MV Constricting Device/System/Method	4113431	04/18/08
MV Constricting Device/System/Method	1395182	
MV Constricting Device/System/Method	60137724.9-08	02/18/09
MV Constricting Device/System/Method	1395182	02/18/09
MV Constricting Device/System/Method	1395182	02/18/09
MV Constricting Device/System/Method	1395182	02/18/09
MV Constricting Device/System/Method	48572 BE/2009	02/18/09
MV Therapy Device/System/Method	6,800,090	10/05/04
MV Therapy Device/System/Method	7,828,843	11/09/10
MV Therapy Device/System/Method	7,270,676	09/18/07
MV Therapy Device/System/Method	2,447,689	07/21/09
MV Therapy Device/System/Method	4255374	02/06/09
MV Therapy Device/System/Method	1395202	
MV Therapy Device/System/Method	60227514.8-08	07/09/08
MV Therapy Device/System/Method	2 310 210	07/09/08
MV Therapy Device/System/Method	1395202	07/09/08
MV Therapy Device/System/Method	1395202	07/09/08
MV Therapy Device/System/Method	49744 BE/2008	07/09/08
MV Therapy Assembly/Method	6,676,702	01/13/04
MV Therapy Assembly/Method	6,966,926	11/22/05
MV Therapy Assembly/Method	2,447,507	07/21/09
MV Therapy Assembly/Method	4280500	03/19/09
MV Therapy Assembly/Method	1395204	
MV Therapy Assembly/Method	60227663.2-08	07/16/08
MV Therapy Assembly/Method	1395204	07/16/08
MV Therapy Assembly/Method	1395204	07/16/08
MV Therapy Assembly/Method	1395204	07/16/08
MV Therapy Assembly/Method	49781BE/2008	07/16/08
Focused Compression MV Device/Method	6,949,122	09/27/05
Focused Compression MV Device/Method	7,608,102	10/27/09
Adjustable Height Focal Tissue Deflector	7,635,387	12/22/09
Adjustable Height Focal Tissue Deflector	8,439,971	05/14/13
Anchor And Pull MV Device/Method	6,908,478	06/21/05
Device/Method For Modifying The Shape Of A Body Organ - Fig. 3	7,179,282	02/20/07
Device/Method For Modifying The Shape Of A Body Organ - Fig. 3	7,674,287	03/09/10
Device/Method For Modifying The Shape Of A Body Organ - Fig. 3	8,172,898	05/08/12

Device/Method For Modifying The Shape Of A Body Organ - Fig. 3	7,857,846	12/28/10
Anchor And Pull MV Device/Method	4326954	06/19/09
Anchor And Pull MV Device/Method	2002364130	02/12/09
Anchor And Pull MV Device/Method	1450733	
Anchor And Pull MV Device/Method	60239145.8	02/09/11
Anchor And Pull MV Device/Method	1450733	02/09/11
Anchor And Pull MV Device/Method	1450733	02/09/11
Anchor And Pull MV Device/Method	1450733	02/09/11
Anchor And Pull MV Device/Method	48690 BE 2011	02/09/11
Fixed Length Anchor And Pull MV Device And Method	6,976,995	12/20/05
Fixed Length Anchor And Pull MV Device And Method	12/016,054	01/17/08
Fixed Length Anchor And Pull MV Device And Method	9,827,098	11/28/17
	15/230,093	08/05/16
	9,827,100	11/28/17
	9,827,099	11/28/17
	15/261,572	
Device/Method For Modifying The Shape Of A Body Organ	6,960,229	11/01/05
Tissue Shaping Device	7,351,260	04/01/08
Tissue Shaping Device	7,828,842	11/09/10
Tissue Shaping Device	8,974,525	03/10/15
Tissue Shaping Device	9,320,600	04/26/16
	15/136,739	04/22/16
	15/465,253	03/21/17
Fixed Length Anchor And Pull MV Device And Method	2003211731	07/16/09
Fixed Length Anchor And Pull MV Device And Method	2,469,460	02/21/12
Fixed Length Anchor And Pull MV Device And Method	2,760,865	01/17/17
Fixed Length Anchor And Pull MV Device And Method	4359660	08/21/09
Fixed Length Anchor And Pull MV Device And Method	1482869	
Fixed Length Anchor And Pull MV Device And Method	1482869	12/02/15
Fixed Length Anchor And Pull MV Device And Method	603 48 309.7	12/02/15
Fixed Length Anchor And Pull MV Device And Method	1482869	12/02/15
Fixed Length Anchor And Pull MV Device And Method	1482869	12/02/15
Fixed Length Anchor And Pull MV Device And Method	1482869	12/02/15
Fixed Length Anchor And Pull MV Device And Method	1482869	12/02/15
Fixed Length Anchor And Pull MV Device And Method	1482869	12/02/15
Fixed Length Anchor And Pull MV Device And Method	15190348.1	10/19/15
Transvenous Staples, Assembly/Method For MV Repair	7,004,958	02/28/06
Device/Assembly/Method For MV Repair	6,797,001	09/28/04
Device/Assembly/Method For MV Repair	7,364,588	04/29/08
Device/Assembly/Method For MV Repair	2003224656	08/28/08
Device/Assembly/Method For MV Repair	2,477,646	11/13/12
Device/Assembly/Method For MV Repair	60326124.8-08	02/11/09
Device/Assembly/Method For MV Repair	1482868	02/11/09
Device/Assembly/Method For MV Repair	1482868	02/11/09
Device/Assembly/Method For MV Repair	1482868	02/11/09
Device/Assembly/Method For MV Repair	48499 BE/2009	02/11/09

Body Lumen Device Anchor, Device/Assembly	6,824,562	11/30/04
Body Lumen Device Anchor, Device/Assembly	8,062,358	11/22/11
Body Lumen Device Anchor, Device/Assembly	15190348.1	10/19/15
Body Lumen Device Anchor, Device/Assembly	15/261,628	09/09/16
Body Lumen Device Anchor, Device/Assembly	9,474,608	10/25/16
Body Lumen Device Anchor, Device/Assembly	7,452,375	11/18/08
Body Lumen Device Anchor, Device/Assembly	7,309,354	12/18/07
Device/Method For Modifying The Shape Of A Body Organ	7,828,841	11/09/10
Device/Method For Modifying The Shape Of A Body Organ	7,311,729	12/25/07
Device/Method For Modifying The Shape Of A Body Organ	2003228865	04/30/09
Device/Method For Modifying The Shape Of A Body Organ	2009201343	05/12/11
Device/Method For Modifying The Shape Of A Body Organ	2,483,024	09/13/11
Device/Method For Modifying The Shape Of A Body Organ	2,744,868	12/29/15
Device/Method For Modifying The Shape Of A Body Organ	2,877,641	01/17/17
Device/Method For Modifying The Shape Of A Body Organ	2,950,492	12/06/2016
Device/Method For Modifying The Shape Of A Body Organ	4351151	07/31/09
Assembly For Effecting The Condition Of A MV Annulus Of A Heart	5038352	07/13/12
Device/Method For Modifying The Shape Of A Body Organ	1513474	
Device/Method For Modifying The Shape Of A Body Organ	60325356.3	12/17/08
Device/Method For Modifying The Shape Of A Body Organ	2318130	12/17/08
Device/Method For Modifying The Shape Of A Body Organ	1513474	12/17/08
Device/Method For Modifying The Shape Of A Body Organ	1513474	12/17/08
Device/Method For Modifying The Shape Of A Body Organ	48043 BE/2009	12/17/08
Device/Method For Modifying The Shape Of A Body Organ	10180626.3	05/02/03
Medical Device Delivery System	7,316,708	01/08/08
Medical Device Delivery System	8,075,608	12/13/11
Percutaneous MV Annuloplasty Delivery System	7,837,729	11/23/10
Percutaneous MV Annuloplasty Device Delivery Method	8,182,529	05/22/12
Medical Device Delivery System	2003295915	12/17/09
Medical Device Delivery System	1599246	
Medical Device Delivery System	60321048.1	05/14/08
Medical Device Delivery System	1599246	05/14/08
Medical Device Delivery System	1599246	05/14/08
Medical Device Delivery System	1599246	05/14/08
Medical Device Delivery System	49296 BE/2008	05/14/08
Medical Device Delivery System	1599246	05/14/08
System/Method To Effect The MV Annulus Of A Heart	6,793,673	09/21/04
System/Method To Effect The MV Annulus Of A Heart	7,503,931	03/17/09
System/Method To Effect The MV Annulus Of A Heart	6,964,683	11/15/05
MV Device Using Conditioned Shape Memory Alloy	7,314,485	01/01/08
MV Device Using Conditioned Shape Memory Alloy	7,758,639	07/20/10
	11/782,527	07/24/07
Device/System/Method To Affect The MV Annulus Of A Heart	7,351,259	04/01/08
Device/System/Method To Affect The MV Annulus Of A Heart	7,300,462	11/27/07
Device/Method For Modifying The Shape Of A Body Organ	7,887,582	02/15/11
Reduced Length Tissue Shaping Device	7,837,728	11/23/10
Device For Modifying The Shape Of A Body Organ	2004308348	04/07/11

Device For Modifying The Shape Of A Body Organ	2,546,523	05/22/12
Device For Modifying The Shape Of A Body Organ	2,775,628	12/17/04
Device For Modifying The Shape Of A Body Organ	4794460	08/05/11
Device For Modifying The Shape Of A Body Organ	1708649	04/06/16
Device For Modifying The Shape Of A Body Organ	1708649	04/06/16
Device For Modifying The Shape Of A Body Organ	60 2004 049 000.8	04/06/16
Device For Modifying The Shape Of A Body Organ	1708649	04/06/16
Device For Modifying The Shape Of A Body Organ	502016 0000 69236	04/06/16
Device For Modifying The Shape Of A Body Organ	1708649	04/06/16
Device For Modifying The Shape Of A Body Organ	1708649	04/06/16
Device For Modifying The Shape Of A Body Organ	1708649	04/06/16
Device For Modifying The Shape Of A Body Organ	1708649	04/06/16
Device For Modifying The Shape Of A Body Organ		02/13/16
Device For Modifying The Shape Of A Body Organ	18153725.9	01/26/18
Tissue Shaping Device With Integral Connector And Crimp	7,794,496	09/14/10
Tissue Shaping Device With Integral Connector And Crimp	7,814,635	10/19/10
MV Annuloplasty Device With Twisted Anchor	9,526,616	12/27/16
	15/368,467	12/02/16
	15/639,426	06/30/17
Tissue Shaping Device	2006206254	05/24/12
Tissue Shaping Device	4926980	02/17/12
MV Annuloplasty Device With Vena Cava Anchor	7,503,932	03/17/09
MV Annuloplasty Device With Vena Cava Anchor	PCT/US2007/066411	04/11/07
Catheter Cutting Tool	8,006,594	08/30/11
Catheter Cutting Tool	8,250,960	08/28/12
Sizing Catheters	15/405,117	01/12/17
	US2018/012296	01/04/18
	15/453,734	03/08/17

EXHIBIT C

Trademarks

<u>Description</u>	<u>Registration/ Application Number</u>	<u>Registration/ Application Date</u>
CARDIAC DIMENSIONS	IR 845587	2/8/2005
CARDIAC DIMENSIONS	TMA774482	8/13/2010
CARDIAC DIMENSIONS	IR 845587	2/8/2005
CARDIAC DIMENSIONS	004282521	1/26/2006
CARDIAC DIMENSIONS	IR 845587	2/8/2005
CARDIAC DIMENSIONS	IR 845587	2/8/2005
CARDIAC DIMENSIONS	IR 845587	2/8/2005
CARDIAC DIMENSIONS	IR 845587	2/8/2005
CARDIAC DIMENSIONS	IR 845587	2/8/2005
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CARDIAC DIMENSIONS	IR 845587	2/8/2005
CARDIAC DIMENSIONS	IR 845587	2/8/2005
CARDIAC DIMENSIONS	IR 845587	2/8/2005
CARDIAC DIMENSIONS	2644124	10/29/2002
CARDIAC DIMENSIONS	IR 845587	2/8/2005
CARDIACDIMENSIONS.XXX (Blocking Domain)		
CARDIAC-DIMENSIONS.XXX (Blocking Domain)		
CARILLON	IR 852251	1/26/2005
CARILLON		
CARILLON		
CARILLON		
CARILLON	IR 852251	1/26/2005
CARILLON	004262689	1/20/2006
CARILLON	IR 852251	1/26/2005
CARILLON	IR 852251	1/26/2005
CARILLON	IR 852251	1/26/2005
CARILLON	IR 852251	1/26/2005
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CARILLON	IR 852251	1/26/2005
CARILLON	IR 852251	1/26/2005
CARILLON	IR 852251	1/26/2005
CARILLON	3584536	3/3/2009
CARILLON	IR 852251	1/26/2005
CARILLON MITRAL CONTOUR SYSTEM	IR 970444	7/2/2008
CARILLON MITRAL CONTOUR SYSTEM		

CARILLON MITRAL CONTOUR SYSTEM	TMA930464	3/3/2016
CARILLON MITRAL CONTOUR SYSTEM	IR 970444	7/2/2008
CARILLON MITRAL CONTOUR SYSTEM	IR 970444	7/2/2008
CARILLON MITRAL CONTOUR SYSTEM	IR 970444	7/2/2008
CARILLON MITRAL CONTOUR SYSTEM	IR 970444	7/2/2008
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CARILLON MITRAL CONTOUR SYSTEM	970444	7/2/2008
CARILLON MITRAL CONTOUR SYSTEM	970444	7/2/2008
CARILLON MITRAL CONTOUR SYSTEM	IR 970444	7/2/2008
CARILLON MITRAL CONTOUR SYSTEM	IR 970444	7/2/2008
CARILLON MITRAL CONTOUR SYSTEM	970444	7/2/2008
CARILLON MITRAL CONTOUR SYSTEM	3667387	8/11/2009
CARILLON MITRAL CONTOUR SYSTEM	IR 970444	7/2/2008
MITRAL CONTOUR SYSTEM		